

***THE CANADIAN  
NEONATAL NETWORK™***

***LE RÉSEAU  
NÉONATAL CANADIEN™***



***Abstractor's Manual***

***Date: March 8, 2023***

***CNN v.3.6***

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## **CHAPTER ONE: INTRODUCTION TO THE CNN**

### ***What is the Canadian Neonatal Network?***

The Canadian Neonatal Network (CNN) is a group of multi-disciplinary Canadian researchers who collaborate on research issues relating to neonatal care. The Network was founded in 1995 by Shoo Lee, MBBS, FRCPC, PhD and now includes members from 29 hospitals and 17 universities across Canada. The Network maintains a standardized neonatal intensive care unit (NICU) database and provides a unique opportunity for researchers to participate in collaborative projects on a national and international scale. Health care professionals, health services researchers and health administrators participate actively in clinical and epidemiological outcomes, health services, health policy and informatics research aimed at improving the efficacy and efficiency of neonatal care. Research results are published in Network reports and in peer-reviewed journals.

### ***Mission Statement of the CNN***

”To be a network of Canadian researchers who conduct leading multi-disciplinary, collaborative research dedicated to the improvement of neonatal-prenatal health and health care in Canada and internationally”.

### ***Specific Goals***

- 1) Establish a national network of multi-disciplinary Canadian researchers interested in neonatal-perinatal research
- 2) Establish and maintain a truly national neonatal-perinatal database and provide the infrastructure to facilitate collaborative research
- 3) Longitudinally study outcomes and variation in medical care that increases costs but does not improve outcomes. This is important because NICU care is one of the largest components of child health expenditures and exhibits large variations in mortality, morbidity and costs.
- 4) Develop innovative research methods that can lead to improvement in health and quality of healthcare.

### ***Database***

1) *Core Database*: The Network will maintain a core Network database. Institutional representatives and the Steering Committee decide policy concerning content and use of the database.

2) *Project Database*: Research projects may request utilization of the Network data acquisition system to collect project related data. Such requests will be approved by the Steering Committee. Access to such data will be limited to members of the research project. Expenses relating to such data acquisition will be the responsibility of the project researchers concerned.

## **CHAPTER TWO: BACKGROUND**

### ***Neonatal Intensive Care***

Newborn intensive care is a rapidly evolving area of medicine in which advancing technology and new treatment techniques have proven very effective in improving infant outcomes. There is constant innovation and introduction of new treatments, equipment and procedures. Despite this rapid progress, there remains a significant mortality and complication rate, particularly for extremely premature infants. It has become evident that there are marked differences in outcome between different Neonatal Intensive Care Units (NICUs). Furthermore, neonatal care is extremely costly, amounting to thousands of dollars spent for each day in the NICU. Due to wide variations in care outcomes, and to the extremely high cost of care it is crucial that both established and novel medical treatments for newborn infants be analyzed for effectiveness and cost-efficiency.

### ***Evaluating Practices***

The effectiveness of many individual treatments (respirators, drugs, formulas, etc.) has been proven in clinical trials. However, when used in combinations, on different populations, or by less skilled clinicians, the effectiveness of these treatments in actual practice may vary. These variations in effectiveness or quality of care may be responsible for the observed variations in outcomes. If true, this presents an important opportunity to improve care, by identifying ineffective care practices.

One method for identifying the most effective practices is to compare the outcomes of patients who undergo different treatment strategies. This approach depends on comparing equivalent patients. For example, if two NICUs use different treatment strategies on babies with the same medical condition, but some babies started out sicker than others, there is no way to accurately assess whether the treatments were used effectively, and which of the treatments was most effective. To permit fair comparisons, it is essential to have an objective way to measure severity of illness.

### ***The SNAP Score***

SNAP (*Score for Neonatal Acute Physiology*) is an illness severity scoring system which sums up the worst physiological derangement in each organ system in the first 12 hours of admission to the NICU. This scoring system has been shown to be highly predictive of neonatal mortality and to be correlated with other indicators of illness severity including therapeutic intensity, physician estimates of mortality risk, length of stay, and nursing workload. SNAP provides a numeric score that reflects how sick each infant is. This scoring system is modeled after similar adult and pediatric scores, which are already widely in use.

### ***The TRIPS Score***

The *Transport Risk Index of Physiological Stability* (TRIPS) is an index which is used to assess changes in patient condition as a result of the transport process. TRIPS looks at four empirically weighed items: temperature, blood pressure, respiratory status and response to age appropriate stimuli. These items are compared just prior to transport, upon admission to the receiving NICU, and twelve hours following admission. TRIPS has been found to be a good predictor of NICU mortality and severe IVH risk. TRIPS is also important in that it can be used to help explain causes for a patient's change in status during transportation and from this new procedures to improve patient care during transport can be identified.

### ***The NTISS Score***

The *Neonatal Therapeutic Intervention Scoring System* (NTISS) is an index used to assess therapeutic interventions a patient is receiving at any time point during day 1 and day 3 of admission. It covers a wide range of pharmacological and non-pharmacological interventions provided during stay in the NICUs. NTISS has been found to be

a good tool for identifying resource utilization and illness severity of patients. Because of data collection on TRIPS and SNAP scores, collection of NTISS is optional in this version of the CNN database.

## **CHAPTER THREE: DATA COLLECTION**

### ***Data Collection***

Data collection will be conducted by either medical chart review or prospectively collecting in real-time. Data collection should occur at the bedside both to maximize the amount of information obtainable (that is, having the ability to clarify confusing or incomplete chart entries by asking attending clinicians), and to save the time involved in tracking down medical records once the patient has left the hospital. In addition, the data collected will be entered directly into computers. Direct data collection combines the steps of data collection and data entry into one task, saving time and reducing the risk of human error in obtaining the data. The requirements for the new CNN application are as follows:

#### Software requirements:

- 1) Windows XP SP2 or later (Windows 2000 is not supported)
- 2) Access 2000/2003 or higher
- 3).NET Framework 3.5 SP1 (Freely downloadable software at <http://tinyurl.com/5m4j75>).

#### Hardware Requirements:

- 1) CPU speed of 2.0 Ghz or higher (Pentium 4, Core2Duo or AMD similar class CPU)
- 2) 512 MB Memory (1GB recommended)
- 3) Screen Resolution of 1024 x 768

### ***Which Babies to Abstract***

Abstractors are responsible for abstracting every eligible admission to the NICU. Eligible babies are babies who stay in the NICU for at least 24 hours OR who die/are transferred to another level 2 or 3 facility within 24 hours and all delivery room deaths of infants  $\geq 22$  weeks gestational age. (Note: For purposes of this database, time of admission is defined as the recorded time that the patient arrived to the NICU. If such timing is not available, use the time of first vital signs recorded in the NICU.) Once a baby has been admitted to your NICU, you will have ultimate responsibility for the data collection on that baby, regardless of outcomes or transfers. Please note once you identify an infant as Delivery Room Death on the first page in the field "CASE TYPE" you will only be required to complete a very small subset of information (Admission, Mother and Resuscitation screens only).

### ***Admission Tracking***

Abstractors should check NICU admission log books and delivery room records daily for new admissions. It is crucial that every eligible baby be abstracted (see "Which Babies to Abstract" above). In order to not miss eligible babies it is best to stay current in your abstraction. It is important to stay on top of your abstracting because: 1) If something is unclear or confusing, you can ask the NICU staff questions and they are likely to know the information. If you wait too long after the baby's discharge, they may not have accurate recall of the needed information. 2) Tracking down medical records once the baby leaves the unit can be time consuming and difficult. It also runs the risk that records may be lost. You may try to arrange with your Institutional Representative to have your NICU staff hold charts of discharged babies for an extra day thus giving you the opportunity to obtain the discharge data before chart removal. If this is arranged, you should check for charts of discharged babies first thing each day to minimize the delay in chart removal.

## **Data Content**

Data collection consists of five major categories of information.

- 1) **Registration Information:** Background information such as birth weight, gender, gestational age and obstetric variable will be recorded on admission to the NICU.
- 2) **Illness Severity:** Illness severity will be recorded using SNAP, a physiology based measure.
- 3) **Transport Information:** Transport details and physiological details as a result of transport situations will be recorded after 12 hours of admission.
- 4) **Diagnosis and Procedures:** Details about various diagnoses and procedures patients underwent during their stay in NICU.
- 5) **Discharge Abstract:** At discharge, abstractors will record a number of outcome variables including discharge date and place and support.

## **General features and use of the new CNN application**

Compared to the old CNN application, the new CNN application has numerous unique features to facilitate data entry and enhance abstractors' productivity. Some of the major improvements are listed below:

- 1) Brand new, more user-friendly data entry interface
  - Intuitive side navigation panel for more efficient data access and updates
  - Dropdown menus and toolbar for easier menu access
- 2) Improved Search Engine
  - New easily accessible search panel with more search fields as criteria
  - Patient list view for browsing search results
- 3) Improved data entry validation
  - Calendar popup date entry, masked edit boxes used to prevent errors at point of entry
  - Floating error message balloons for unobtrusive field validation
  - Validate Case screen with easily understandable error messages
- 4) Record Activation / Deactivation feature
  - Activate / re-activate records instead of using the free-text Comments field
- 5) Unlock record / Log out user feature
  - User can unlock the locked cases and log out users if the application exits suddenly due to PC/application crash
- 6) Improved Upload/Update functions
  - More user-friendly database upload and application updates
- 7) Integrated Help system
  - The CNN manual is now integrated with the CNN application and is automatically updated with new manual revisions during application updates

The following are two examples of the general data entry workflow, one for creating a new case and the other for searching and updating an existing case.

### **Creating a new CNN case:**

- 1) Go to File-New Case or click on the New Case toolbar icon
- 2) Enter the hospital record number and admission date/time.
- 3) User enters admission info in the admission screen that opens up
- 4) Browse through the screens using the left navigation menu (Mother, Resuscitation, Transport...etc) and enter the information required
- 5) Tick the Reviewed checkbox once all the fields have been reviewed by the user
- 6) Go to Validate Case screen to validate the whole CNN case and its status will change to Validated if successful

### **Searching and Updating existing cases:**

- 1) Go to the search panel, enter the search criteria and click Search
- 2) Select the record you want to update by double-clicking on the row in the patient list search results box
- 3) Update the fields as required on the admission screen that opened up
- 4) Browse to any of the other screens to do any updates required
- 5) If the update for the current case is done, select another case to update in the patient list search results box or perform a new search

### ***Scoring Periods***

**SNAP:** SNAP is scored on the day of admission for the first 12 hours following admission. Where the time of admission is defined as the recorded time that the patient arrived to the NICU. If such timing is not available, use the time of first vital signs (at least one vital sign) recorded in the NICU.

**Day 28:** Day 28 data should be recorded as the first data noted after midnight *on day 28 of life* to 23:59 (24 hours).

**Week 36:** Week 36 is 36 weeks post conception (gestational age plus weeks of life). It is computed using the gestational age that is calculated from the best available information on the first page. Please note that if the baby is born at 32 weeks gestational age, the week 36 data will be identical to the day 28 data. Therefore, this data should not be collected if the gestational age is 32 weeks or more, or if the patient dies or is transferred to a level 1 nursery prior to week 36. Data should be recorded by using the first value noted after midnight on the first day of week 36 to 23:59 (24 hours).

### ***Missing SNAP Scores***

If you are missing information from the scoring period either because a flow sheet is missing or because the baby was transferred out, or died during the scoring period complete the SNAP screen using the information that is available, leaving the rest of the fields blank.

### ***Deaths***

For all babies who are admitted to the NICU and die you will need to verify the cause of death by a) asking the attending physician and b) checking the death certificate to see what is listed. Note that the autopsy report may not be completed for several weeks. If a baby dies during the SNAP scoring period you should abstract the score regardless of how many hours of the scoring period the baby lived. Please make a note in the comments box for these cases indicating the length of time the score was based on. You should also talk to your Site Investigator about getting a log of all *delivery room deaths of babies greater than or equal to 22 weeks gestational age (live born babies only)* from delivery room or Pathology. These include babies who die in the resuscitation room or are born following induction of labour for termination purposes and then die in other places in the hospital shortly after birth. Intrauterine deaths or stillbirths are not included. Please enter these infants in the database. We need only basic information for such patients.

### ***Rounding***

Most numeric entries need not be rounded (head circ, temp, pH can all be entered as a decimal). However, some numeric entries that need to be rounded for entry should be rounded as follows: 2.4 and smaller should be rounded to 2; 2.5 and larger should be rounded to 3. Generally, if values are listed as “<”, as in “<2”, score as one less than what is written, e.g. <2 would become 1 (or 1.9 in the case where an integer is not required).

## ***Readmissions***

For those sites just beginning data collection; a “readmission” on the patient log/admission screen can only be scored if this patient has been entered previously in the database. Therefore, any patient who is readmitted to your unit and whose initial admission is prior to the data collection start date would be scored as either inborn or outborn AND not a readmission (given that their initial visit was not recorded in the database) and their case type set to “3 – Readmission from 2009”.

For all readmissions use the same record number (hospital chart number) and indicate which readmission number it is. This is different from the old application where “a” or “b”, “c”..etc was appended to the record number for readmissions.

## ***Patient Unique Identifier (Patient UI)***

Since the CNN sites have separate local databases, the patient Unique Identifier field was created to uniquely identify a patient in CNN when the baby moves across different sites. That ID will be used to link the different admission records entered in the separate local site databases when they are consolidated.

When a new admission is created, there is a unique id generated under the Patient UI field. The following is the workflow that all site abstractors **MUST FOLLOW** to make sure that the patient UI number remains consistent across all the sites.

- 1) A baby is born at CNN site X and gets admitted to CNN with an autogenerated patient UI number A
- 2) The baby then gets admitted to CNN site Y and a patient UI number B is automatically assigned.

The abstractor at site X will need to let the abstractor at site Y know of the patient UI number A and the latter should update the baby’s patient UI from B to A.

The guideline is that the hospital with the first CNN admission is the one which propagates the originally created baby patient UI to the subsequent sites and the abstractor at the transferring site should inform the other abstractor within a reasonable amount of time (for example, within a couple of days to a week maximum) for the patient UI update to take place.

If you are entering a baby who was entered in the previous CNN database and who is now being re-admitted, select Case Type #3 on the admission screen, and **please enter the patient UI from the previous database into the appropriate field in the current program.**

## ***Multiple Births (Twins, Triplets...)***

For cases where a mother has multiple babies, when the second baby is entered into the application, there is a feature to autofill the mother information automatically since they have the same mother. If the “Births this pregnancy” value is more than 1, the “>1 Births” button will appear. When you click on it, a popup window will appear and you can type in the record number of the first baby admission already in the database. The case id, name and date of birth information for the existing baby record for the multiple birth case will be displayed for verification purposes. When you click on OK button, the mother information of the current baby will be autofilled. The retrieved record will be displayed if the “Birth This Pregnancy” field value matches the current baby record. The Date Of Birth retrieved will be in red if it does not match the current baby record to alert the abstractor that the baby from which the mother info is going to be autofilled may be incorrect. Please refer to the “Birth This Pregnancy” field below in the Admission Screen section for a screenshot of the popup.



## ***Missing Values***

Note that you need not record something for each data item when asked, except when mandatory to case validation. If the information asked for is unavailable please leave the associated field blank or indicate that it is unknown. In previous versions of this application missing values such as “99 or 999 etc.” were noted, but this has now been replaced with “unknown” and “N/A” options.

## ***Data Grids***

The new CNN application employs the use of data grids throughout the screens (Diagnosis/Procedures; Cultures/Transfusions; Medications). If errors in entry are made, rows can be deleted in their entirety by clicking on the grey area to the left of the first column of the entry you wish to delete. Once selected, pressing “Delete” on your keyboard will clear the row from the dataset and move remaining entries up.

## ***Glossary***

**THE MEDICAL GLOSSARY IN THIS VERSION OF THE CNN MANUAL HAS BEEN REMOVED. PLEASE REFER TO ONLINE MEDICAL DICTIONARIES (SUCH AS [HTTP://WWW.NLM.NIH.GOV/MEDLINEPLUS/MPLUSDICTIONARY.HTML](http://www.nlm.nih.gov/medlineplus/mplustictionary.html)) IF YOU HAVE QUESTIONS ABOUT MEDICAL DEFINITIONS.**

## **CHAPTER FOUR: ERROR CHECKING**

### ***Computer data checks***

The computer program has several error checking systems in place. At a primary level, the program performs error checks during data entry, to help ensure accurate data capture. For instance, there are checks for “reasonableness”. For example, if you entered ‘66:66’ as a value for time, the computer will generate an immediate error message prompting you that this is not a valid entry, and will require you to change this value before proceeding. At a secondary level, once you have completed an entire patient file and wish to submit your data a final error check (validation) will be run. A third error check occurs after your data has been submitted to the Coordinating Centre (CC), in which you may be contacted by the CNN Coordinator to confirm any unusual entries. If you enter something unusual, please write an explanation in the “comments” section to save the time of rechecking the chart. If you receive an error message that is not self-explanatory, contact the CC for advice.

### ***Abstractor checks***

Some data items are not easily checked by the computer. It is crucial that everything you enter into your computer makes sense to you. If it doesn’t, please ask questions of the NICU staff to protect the data integrity. In addition, please read through each data screen before closing it to make sure you have filled in all the information correctly.

### ***Record Deactivation***

In the old CNN, whenever an admission record was entered wrongly or was invalid, abstractors wrote “To Delete”, “To Filter”, “Pls Ignore” or similar notes under the Comments field. This made identification of those invalid cases (for annual data cleanup and CNN annual report generation) difficult as the Comments field is free-text.

A new “Record Deactivation” feature has been added in the new CNN application to deactivate admission records that are invalid.

Please **DO NOT** enter “To Delete”, “To Filter”, “Pls Ignore” or similar words under the Comments field for the new CNN application, use the Record Deactivation feature instead.

### ***Abstractor organization***

It is important for data abstractors to be organized to help alleviate errors and missed or overlooked data. In order for the CC to determine the difference between missing data that is unavailable from the chart versus data that may simply have been overlooked by the abstractor it is crucial that the abstractor enter appropriate values. Whenever possible, a blank space should be left any time a data field cannot be completed because the information is not directly noted or cannot be inferred from information anywhere in the patient’s complete chart. Abstractors are also advised to keep a separate excel file to keep track of which patient records are complete (following discharge) and which records are still missing information and need to be followed up on. The excel file can also be a way to record which infants have had name changes in order to avoid confusion or duplicate entries. Please note that when babies are transferred to other hospitals it is the responsibility of the first hospital’s data abstractor to provide the UI to subsequent hospital’s data abstractor in a timely fashion (within 3 weeks of transfer to a subsequent facility) so that information on a patient can be linked appropriately. In the Help menu there is a link to the CNN website where you will be able to find list of abstractors and site investigators and their contact details. There is also a listing of site investigators in Appendix VII. Please use these tools to contact the correct person. If you need to talk to the CNN Coordinator or Database Programmer for computer related issues, their contact details are also provided on the CNN website.

## ***Customizability of the CNN Application***

The new CNN application allows users to select from various settings in order to personalize the application environment. To access the settings dialogue window, go to the Tools menu on the upper border of the application and select “Settings...”. Here you can customize the Patient Chart, the Search settings, the default Inborn and Outborn Hospital and the database file location. The Patient Chart customization allows you to change the colours of the Patient Chart grid and to personalize the vertical highlight bar. You can customize default search settings by selecting Date to reflect either Date of Admission or Date of Entry Creation, customize how far back to search, and the status of the cases that will be retrieved. By default, the database file location is the application installation folder, which you may choose to change depending on your local organizational needs. You can restore default settings on all tabs by clicking on the “Clear All” button at the bottom of the Settings window. For more information on these features go to General Database Use on page 12.

## **CHAPTER FIVE: CONFIDENTIALITY AND PROFESSIONALISM**

### ***Confidentiality***

There are several levels of confidentiality that must be maintained. Firstly, the data in the computer represents personal information about patients. To maintain full confidentiality, the computer will encode all information from the medical chart that identifies the patient before it is transmitted to the Coordinating Centre (CC). The CC will be able to identify patients by their CNN Case ID number, but only the Data Abstractor at individual sites will be able to match that number to a specific patient. Secondly, the SNAP can be used to compute a risk of death. However, the predictive accuracy of this estimate has never been tested. Finally, please ensure that the Research Ethics Board of your institute has approved the CNN data collection. Feel free, however, to answer any questions parents or clinicians may have about the general purpose of the data collection.

For data confidentiality and accountability, for the new application, each abstractor will be issued a user id and password, only known to him/her to log in to the application. Every record created or updated using a given user id will be marked in the backend database with that user id and the created/updated date. This audit trail information can be used for security purposes as well as for improving CNN data entry and data quality. This is different from the old CNN application, where one database password was used and shared by all.

Please also note that the mothers P.H.N. will only be kept on your local datasets and will not be transferred to the CNN Coordinating Centre upon upload.

### ***Professionalism***

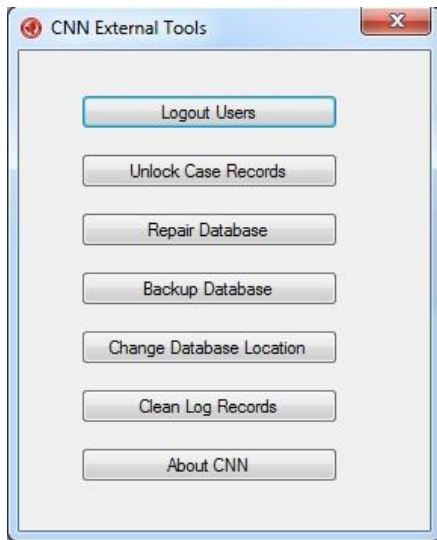
It is important that you maintain a professional image while working in the NICU. This includes making sure you are not disruptive of parents visiting their sick babies, of clinicians caring for the babies, or of any other NICU routines. Your dress should be professional and your appearance neat at all times you are working, even when working evening or weekend hours. In addition, please try to keep your voice low and your behaviour calm so as not to disturb any sensitive babies.

### ***NICU staff interactions***

The NICU staff is often very busy caring for the babies. They are also an excellent resource for information on specific babies or specific medical terminology. In your interactions with the staff, it is important to ask the bedside nurses before using the medical chart, to keep the chart in the immediate area and to make sure the nurses know where to locate the chart if they need it. In addition, be sure that your presence is not interfering with the nurses’ care of patients.

## **CHAPTER SIX: CNN Application - External Tools**

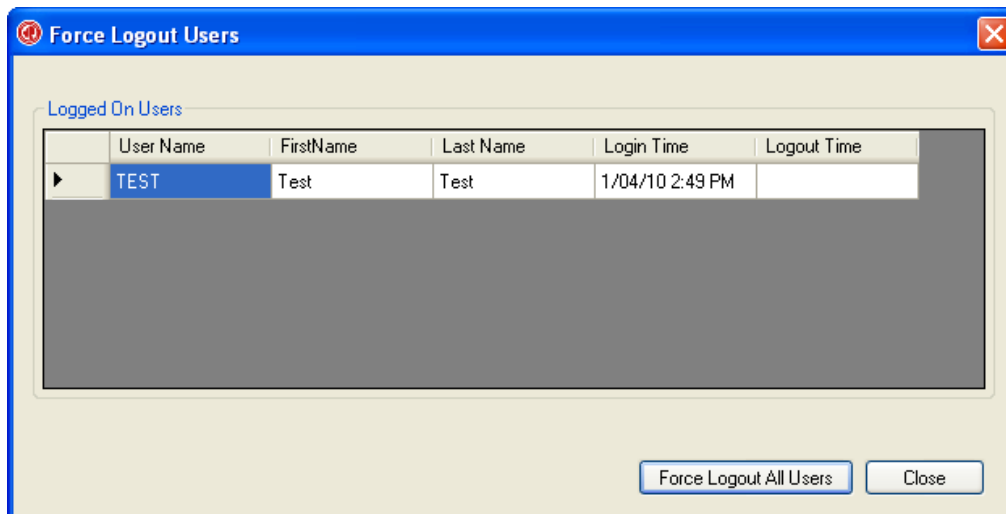
The CNN Application has two tools that are used outside of the application itself. The two tools are “Logout Users” and “Unlock Case Records”.



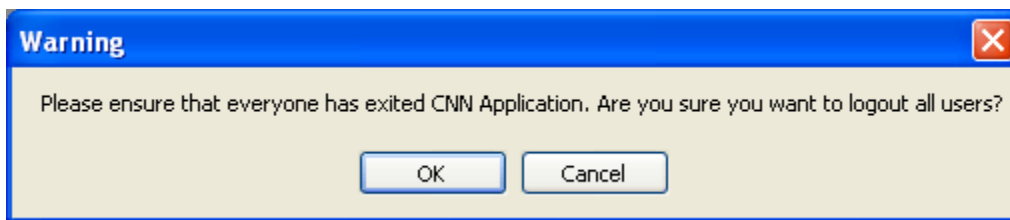
### **Logout Users**

The “Logout Users” external tool is used when a user continues to be logged in to the CNN Application despite the application having been closed. This normally occurs when the application is closed abruptly due to a power failure or an application crash.

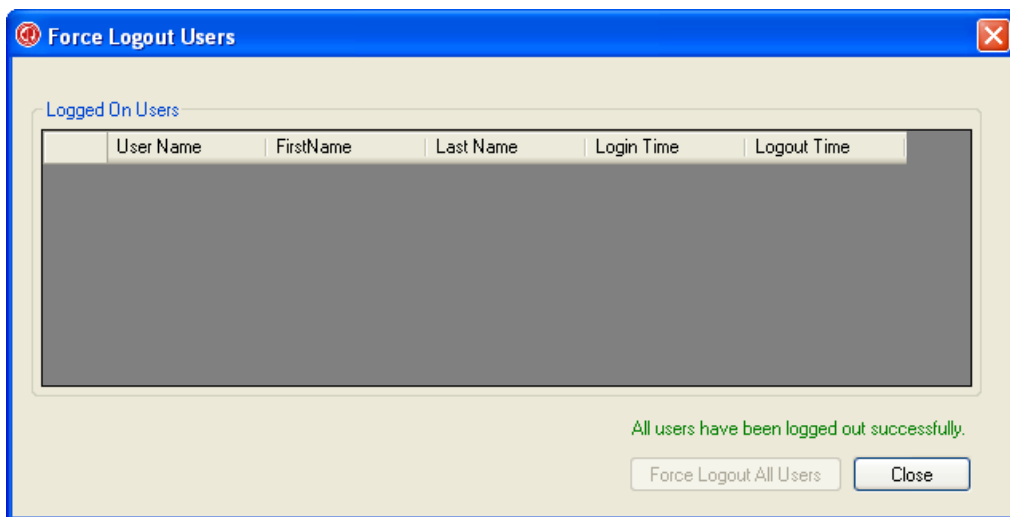
1. Click on the “Force Logout All Users” button.



2. Make sure that the CNN Application is not running and click on the “OK” button.



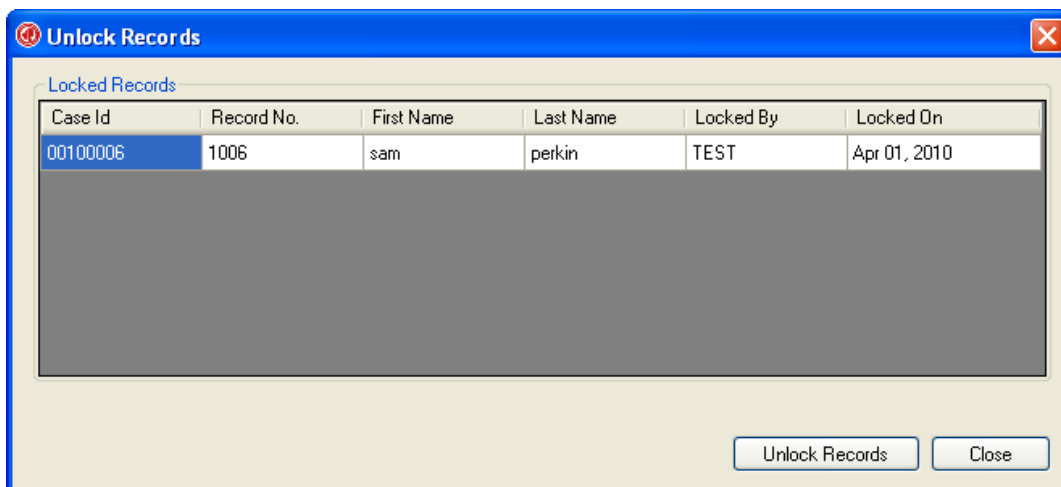
3. A message will appear stating that the users have been successfully logged out. Click the “Close” button to exit the tool.



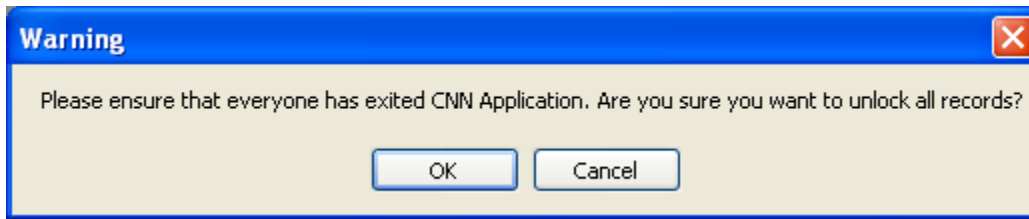
### Unlock Case Records

A patient case is normally locked for other users when one user is currently accessing it. This is done to prevent two users from editing the same record and potential clashing. This tool is used when a patient case continues to stay locked despite the fact that no user is accessing the case. This normally occurs when the application is closed abruptly due to a power failure or an application crash.

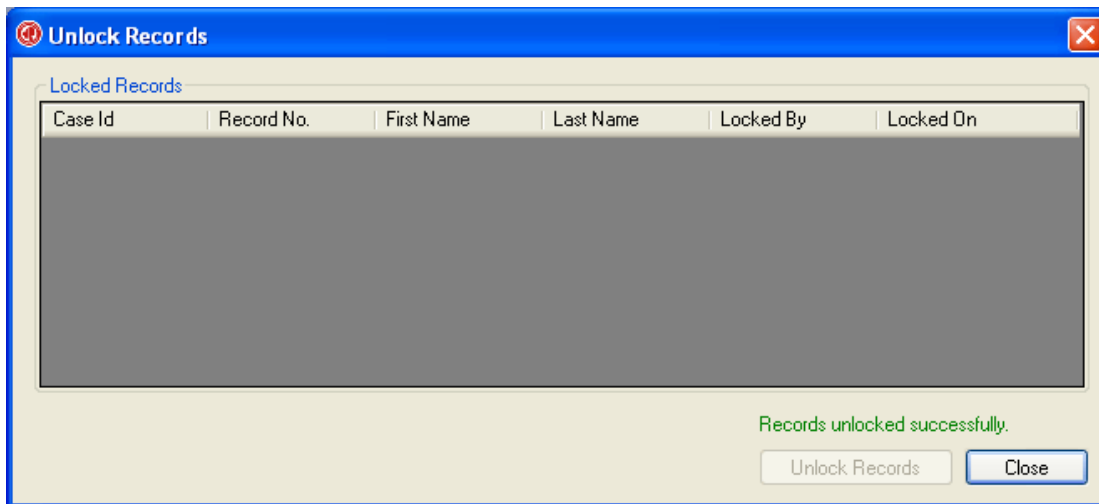
1. Click on the “Unlock Records” button.



2. Make sure that the CNN Application is not running and click on the “OK” button.



3. A message will appear stating that the users have been successfully logged out. Click the “Close” button to exit the tool.

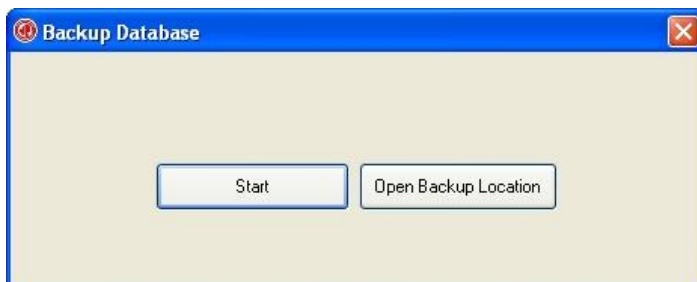


### Repair Database

Database files can grow quickly as you use them, sometimes they would impede performance. They can also occasionally become corrupted or damaged. You can use the **Repair Database** in CNN External Tools to prevent or fix these problems. Please make sure no user is logon to the CNN application before use this feature.

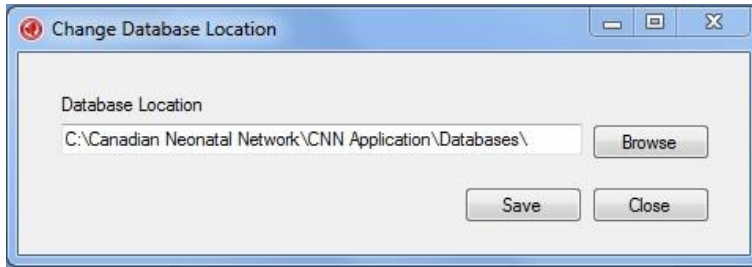
### Backup Database

The “Backup Database” tool is used to back up the database. Click on “Backup Database” button to open up a new window where you can “Start” the back up process or find the location of the back up file with “Open Backup Location”.

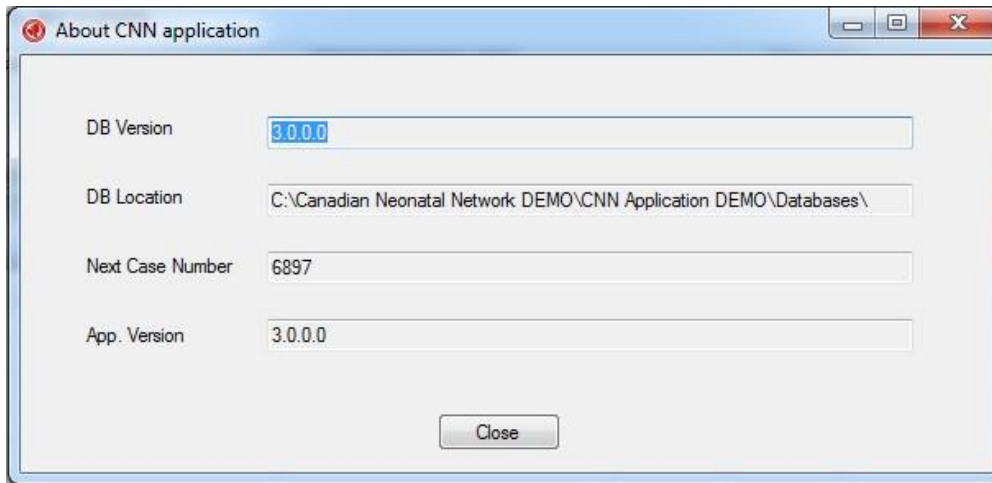


### Change Database Location

Select this tool to change location of the database when the database file path is changed



### About CNN Application



# GENERAL DATABASE USE

## Overview of new CNN user interface

Dropdown menus for the different application functions

Toolbar buttons for frequently accessed functions

Search results patient list box

Search panel criteria input section - can be displayed/ hidden using the Search Case / Hide Search toolbar button

Admission header bar displays

Side menu navigation bar for all the CNN data entry screens – currently selected menu is highlighted

CNN Data Entry screen

**CNN Application - DEMO - [Admission]**

File Reports Tools Help

New Case New Readmission **Hide Search** Save

Search Date Admission Date Total Unvalidated CNN Cases: 0

Past 2 Weeks Past Month Past 3 Months

Specific Date Range From: 2010 Sep 14 To: 2012 Aug 14

Case Status: All Cases First Name: Last Name: Hosp. Record No.: Patient UI: CNN Case ID: Case Type: All Case Types

Clear Search

**Search Results (15 Cases Found)**

Case Id	Record No.	First Name	Last Name	Admission Date	Validated	Created On
00000015	4000	epiq	non cnn	2011 May 08	<input type="checkbox"/>	2011 May 11
00000014	3000	manny	more	2010 Dec 02	<input checked="" type="checkbox"/>	2010 Dec 02
00000013	3000	manny	more	2010 Dec 01	<input type="checkbox"/>	2010 Dec 02
00000012	2001	twin	second	2010 Nov 01	<input type="checkbox"/>	2010 Dec 02
00000011	2000	twin	first	2010 Nov 01	<input type="checkbox"/>	2010 Dec 02

First Name: manny Last Name: more DOB: 2010 Dec 01 DOA: 2010 Dec 02 Case ID: 00000014 Record No: 3000

**Admission**

Mother/Obstetric Resuscitation Transport TRIPS SNAP Patient Chart NTISS Diagnosis/Procedures Encephalopathy IVH/ROP Cultures/Transfusions Medications Discharge Post Transfer Validate Case EPIQ Discharge Summary SCREEN-ROP

**Admission**  Reviewed

Infant Identification Infant First Name: manny Infant Last Name: more Birth Weight (g): 1235 Date / Time(24hr) of Birth: 2010 Dec 01 13:01 Date / Time(24hr) of Admission: 2010 Dec 02 23:23 CNN Case Id: 00000014 Hospital Record Number: 3000 Patient UI: 00000013 Case Type: 2b. Non-CNN - No NICU Moribund On Admission:

Gestational Age 1) Date of Conception Via IVF: [Enter Date] 2) Date of Last Menstrual Period: [Enter Date] 2a) Menstrual Cycle Length: 28 Days 2b) Early Ultra-Sound?  GA when U-S was done: Weeks Days EDC based on U-S: [Enter Date] 3) Neonatal Estimate of GA: 28 Weeks Days 4) Obstetric Estimate of GA: Weeks Days Gestational Age: 28 Weeks 0 Days

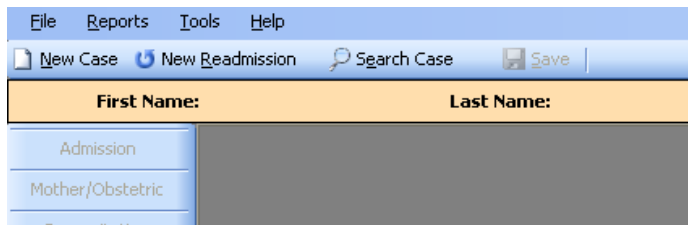
Apgar Score Apgar @ 1 Minute: 1 Apgar @ 5 Minutes: 1 Apgar @ 10 Minutes: 1 Births This Pregnancy: 1 Order: 1 Admission Status:  Inborn  Outborn Redmission No.: 1

Admission Details Admission Head Circ. (cm): 55.5 Admission Temp (°C): 31.1 Admission Weight (g): 2489 Infant Sex:  Male  Female  Ambiguous  Unknown Internal Transfer: Transferred From: Birth Place: ON GTA Mount Sinai Hospital

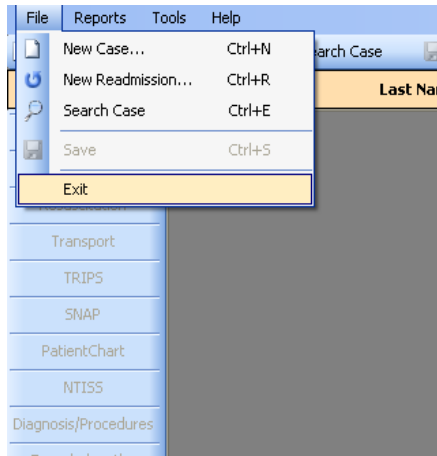
Payor:  Provincial  Insurance  None Comments:



## Application Menu Items



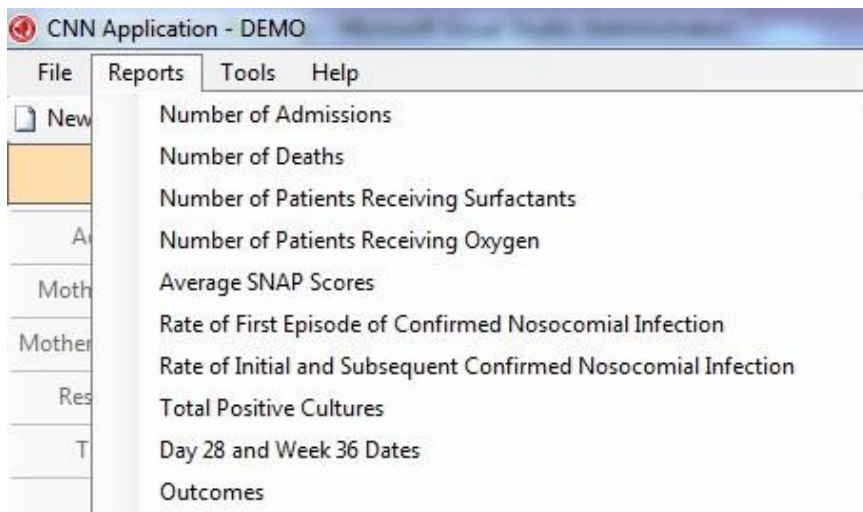
## File



New Case		<p>Selecting this option will open a new dialogue window for the abstractor to enter the details of new case. It will ask you Hospital ID, Date and Time of admission. The Time of admission is optional. When you click OK, it will open up the a new admission screen with the hospital ID, case ID, patient UI and date of admission auto-populated and the user can fill in the rest.</p>
New Readmission		<p>This option will open the new dialogue window specifically for readmitted babies. When you enter the correct hospital Record Number for the first admission of the baby, the case id and names are displayed for confirmation and the OK button is enabled. You enter the date of readmission, readmission number and time if available and click OK.</p> <p>The baby, mother and resuscitation information entered already when the first baby admission was created will automatically be populated in the baby, mother and resuscitation screens of the readmission record. There is no need to re-enter that information.</p>
Show / Hide Search		Shows and Hides the search panel, as desired. You can hide the search panel to have a larger

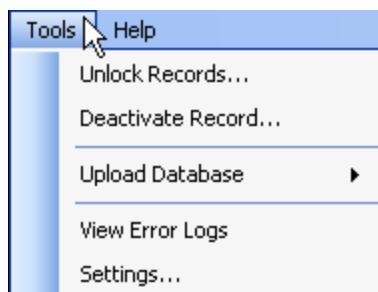
		viewing area for the screens without the need to scroll.
Save		Select this option to save your input.
Exit		Select this option to exit the system. Exiting the application will automatically save any entered data.

## Reports

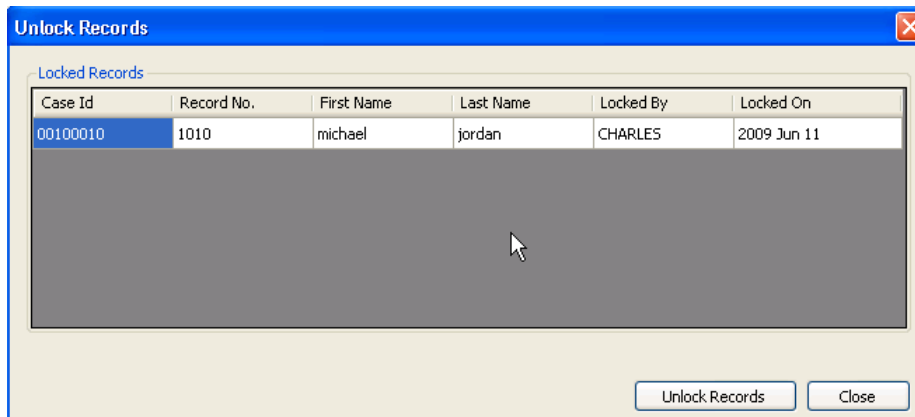


	Number of Admissions	Includes Validation Status; report output includes hospital record number
	Number of Deaths	
	Number of Patients Receiving Surfactants	Measures time from date of birth
	Number of Patients Receiving Oxygen	
	Average SNAP-II and SNAPPE-II Scores for Patients	
	Rate of first episode of confirmed nosocomial infection in a given time period	
	Rate of initial and subsequent confirmed nosocomial infection in a given time period	
	Total Positive Cultures	
	Day 28 and Week 36 Dates	
	Outcomes	Query all babies in the database with mortality or major morbidities for two calendar years. Report is based on local data only and without taking transfers into account.

## Tools



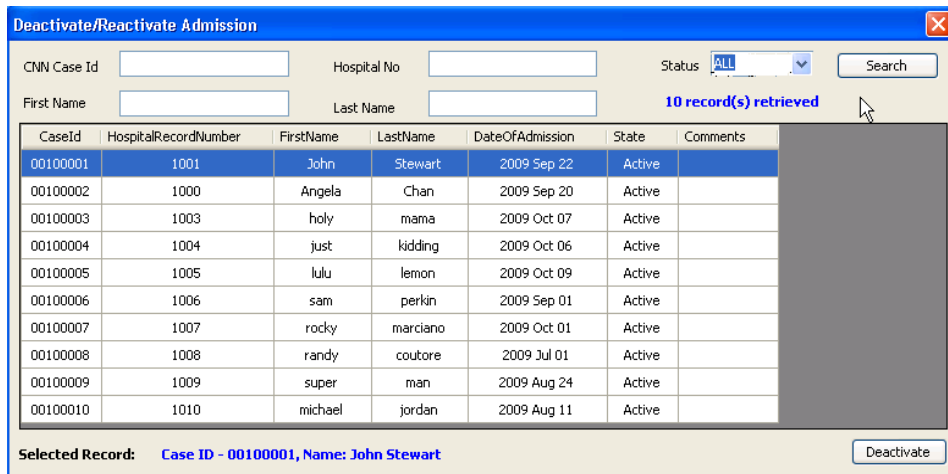
Unlock Records



This option allows you to access a locked record in the event of a system crash.

The screen will show the currently locked records. Please make sure that the record is not really in use before you unlock it.

Deactivate Records

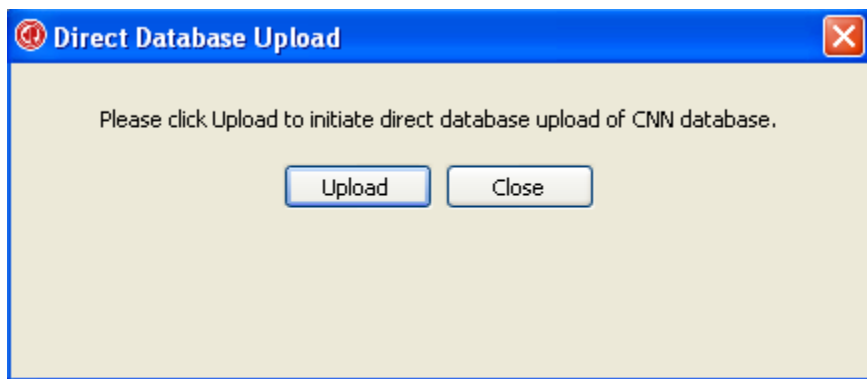


If a record was entered in error, this option allows abstractors to omit submission of the particular case.

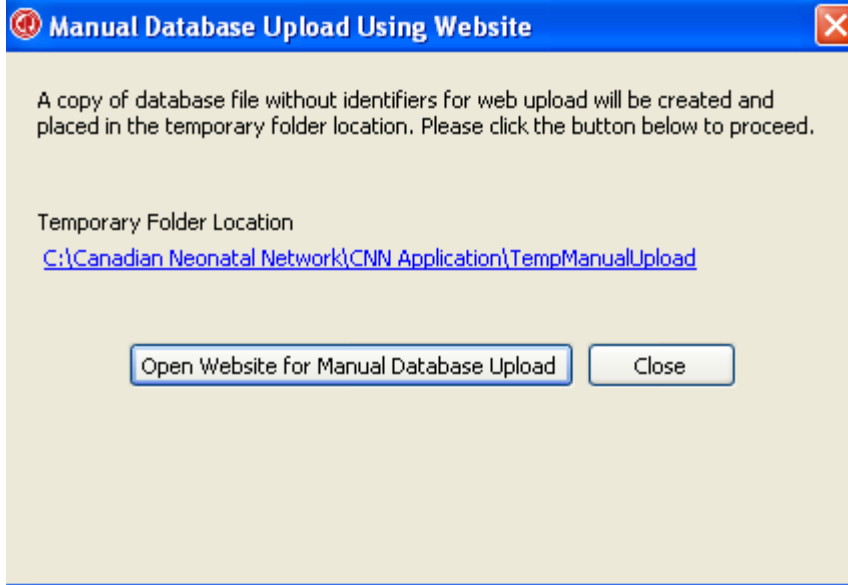
Search and select for the desired record and click Deactivate. Enter the deactivation reason.

To reactivate a record, select it and click Reactivate.

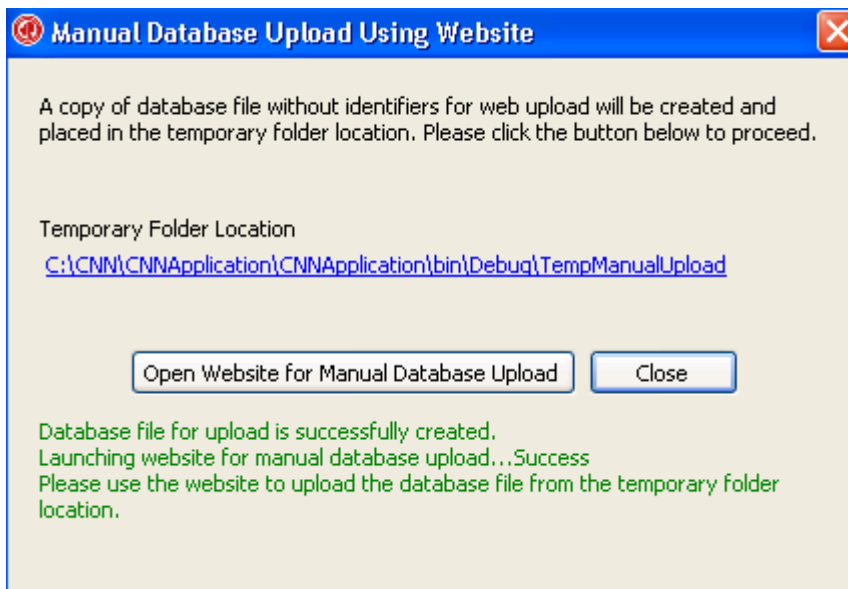
Upload Database



Uploads the database to the Coordinating Centre.

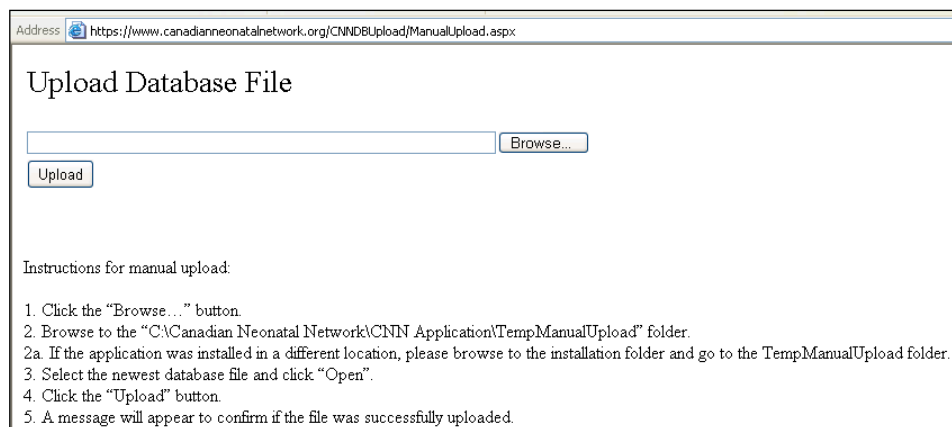


If your site has problems uploading using the direct upload method, please use the manual database upload using our website.

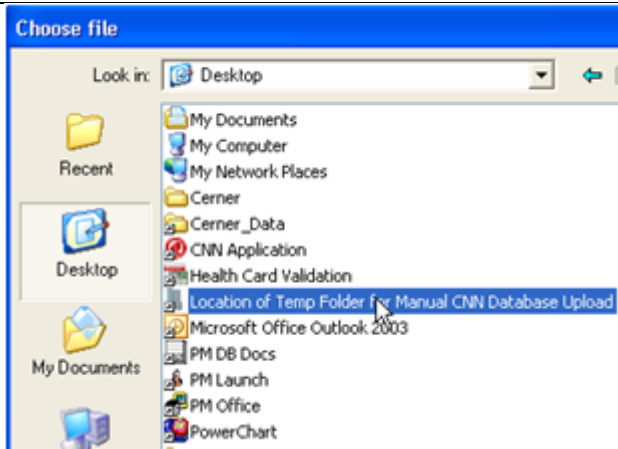


When you click on the “Open Website of Manual Database Upload”, the application will prepare the database file for upload in the application temporary folder and open the web page in Internet Explorer.

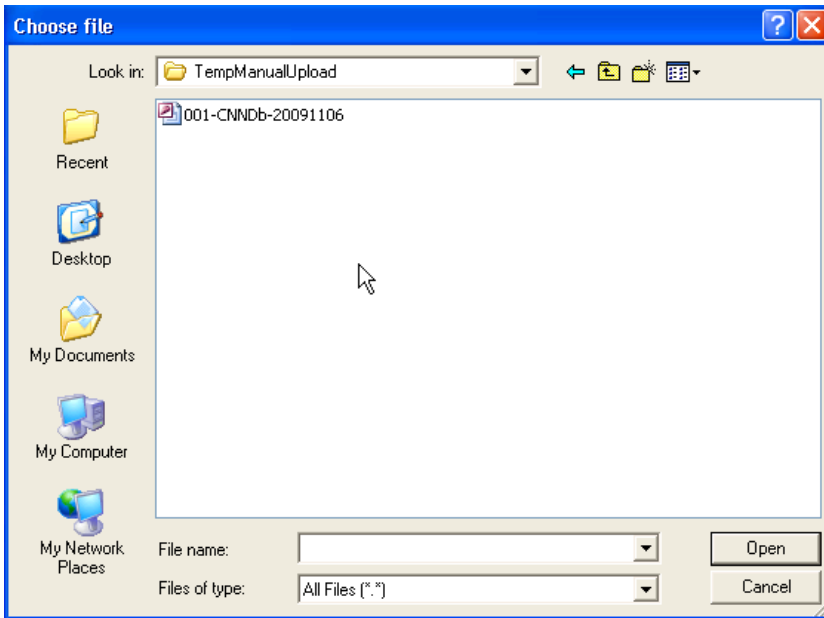
Note that the Temporary folder location where the database upload file will be created is shown on the screen for your easy reference.



Browse to the Temporary Folder location to select the correct database file for the upload.

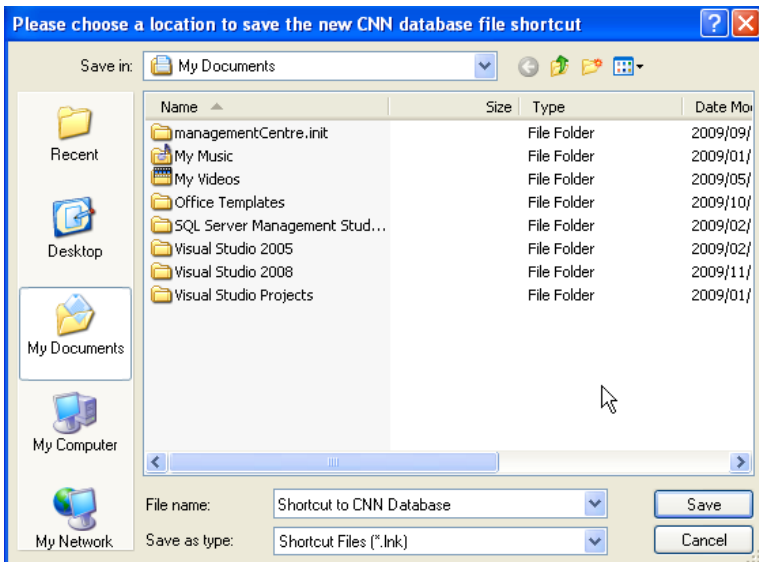


You can make use of the “Location of Temp folder for Manual CNN Database Upload” shortcut that was automatically created during the CNN installation.



Select the created database file with the correct dates for the upload.

Create Database Shortcut



Creates a shortcut to the CNN database at the desired folder location in case the original shortcut is accidentally deleted.

View Error Logs

Allows abstractors to access the error logs in the event of a system crash

Settings

The screenshot shows the 'Settings' dialog box with the 'Patient Chart' tab selected. It contains several color selection boxes: 'Odd Row Colour' (light green), 'Even Row Colour' (light yellow), 'Selection Colour' (blue), and 'Highlight Colour' (pink). There are also checkboxes for 'Row Highlight' (checked) and 'Column Highlight' (set to 'Movable'). 'Save' and 'Close' buttons are at the bottom.

Chooses the color for the patient chart and whether vertical or horizontal highlighting bars are desired.

The screenshot shows the 'Settings' dialog box with the 'Search' tab selected. It includes a 'Use Search Date' checkbox, 'Date to Search By' (set to 'Admission Date'), 'Sort Column' (set to 'Created On'), and 'Sort Direction' (set to 'Descending'). There are also 'Past Date' and 'Case Status' dropdowns, and 'From' and 'To' date pickers.

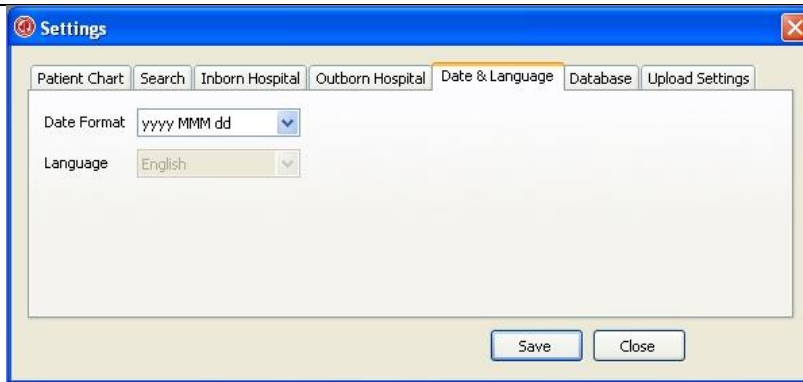
Sets the default searching criteria for the search panel

The screenshot shows the 'Settings' dialog box with the 'Inborn Hospital' tab selected. It features three dropdown menus: 'Province', 'Region', and 'Hospital'.

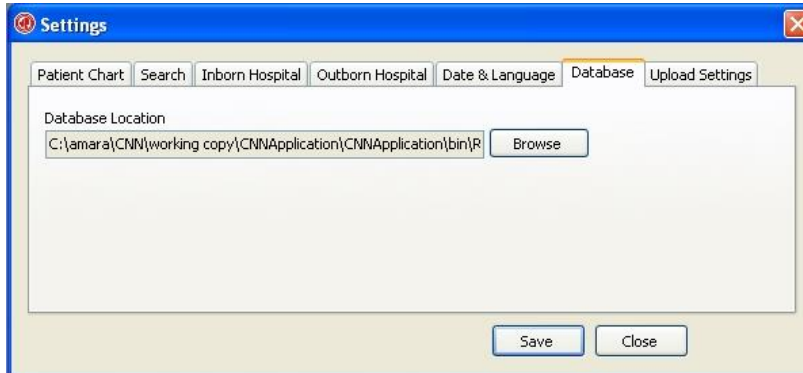
Sets the default hospital if case is inborn

The screenshot shows the 'Settings' dialog box with the 'Outborn Hospital' tab selected. It features three dropdown menus: 'Province', 'Region', and 'Hospital'.

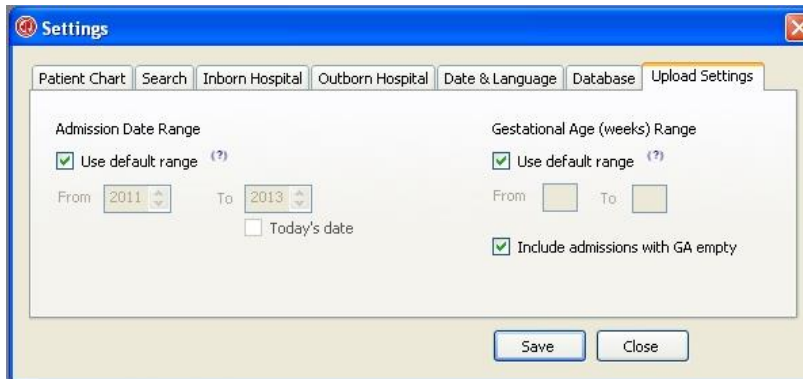
Sets the default hospital if case is outborn



Sets the Date Format and the Language

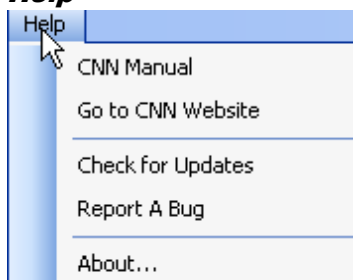


Sets the database location when the database file path is changed



Allows selection of data upload criteria based on time frame of admission and gestational age. This allows for a faster upload by not including data that has already been uploaded.

## Help



CNN Manual	Access the CNN Manual for reference (section anchors pending)
Go To CNN Website	Access the Canadian Neonatal Network™ website for reference
Check For Updates	Go to CNN Website Updates download page
Report A Bug	Go to CNN Bug Reporting / Feedback website
About...	Allows users to view information about the application version

**SCREEN 1: ADMISSION SCREEN DEFINITIONS**

**Admission**
 Reviewed

**Infant Identification**

Infant First Name

Infant Last Name

Birth Weight (g)

Date/Time(24hr) of Birth 2022 Jan 01 00:00

Date/Time(24hr) of Adm. 2022 Jan 01 00:00

CNN Case Id 00000001

Hospital Record Number TEST00001

Patient UI 00000001

Case Type 1a. CNN - NICU Adm (Meets CNN crite)

Moribund On Admission

**Gestational Age**

1) Date of Conception Via IVF [Enter Date]

2) Early Ultra-Sound?

GA when U-S was done  Weeks  Days

EDC based on U-S [Enter Date]

3) Date of Last Menstrual Period [Enter Date]

Menstrual Cycle Length  Days

4) Neonatal Estimate of GA 28 Weeks

5) Obstetric Estimate of GA  Weeks  Days

**Gestational Age** 28 Weeks 0 Days

Documented GA from chart  Weeks  Days

**Apgar Score**

Apgar @ 1 Minute   N/A

Apgar @ 5 Minutes   N/A

Apgar @ 10 Minutes

Births This Pregnancy

Order   N/A

Chorionicity and Amnionicity:

**Admission Status**

Inborn  Outborn

**Admission Details**

Admission Head Circ. (cm)   N/A

Admission Length (cm)

Admission Weight (g)

Admission Temp (°C)

**Infant Sex**

Male

Female

Ambiguous

Unknown

**Internal Transfer**

Transferred From

Birth Place

First NICU

**Comments**

**If GA < 37 weeks**

Primary reason:

**Associated conditions**

**Medically indicated**

Maternal infection

Suspected/confirmed chorioamnionitis

Other infection

Other maternal medical conditions

Fetal indications

IUGR

Non-reassuring fetal status

Following fetal procedure

Uterine anomaly/malformation

Cervical insufficiency/short cervix

Placental insufficiency

PPROM

Spontaneous

**Antepartum hemorrhage**

Placenta previa  Placental abruption

Vasa previa  Other/unknown

Placenta accreta/increta/percreta

Other

Unknown/None reported

Abstractors are responsible for abstracting every eligible admission to the NICU. **Eligible babies are infants who stay in the NICU for at least 24 hours, who die/are transferred to another level 2 or 3 facility within 24 hours, and delivery room deaths of infants ≥22 weeks gestational age.** (Note: For purposes of this database, time of admission is defined as the recorded time that the patient arrived to the NICU. If such timing is not available, use the time of first vital signs recorded in the NICU.) Please include data occurring in the resuscitation room provided that the infant is afterwards ADMITTED TO THE NICU.

**Record only patient data relating to the specified admission to your NICU.** You are not to record treatments/resolved diagnosis provided at another hospital unless specific services that are not provided at your institute such as surfactant administration, PDA ligation, ROP Surgery for which infant was temporarily transferred to another facility and returns back in a reasonable period of time depending upon procedure (this should be individualized as to the procedure – PDA ligation baby if stays for <48 hours, ROP surgery <24 hours, Surfactant administration <24 hours etc.) Please use your discretion as to whether patient stayed longer than expected and how likely is that the other NICU will initiate data collection during the period infant was in other NICU.

**INFANT IDENTIFICATION**

Infant First Name	First name(s) of infant as recorded on the medical record. Do <b>not</b> type in “Baby” “Boy” or “Girl” or their abbreviations. If the infant is not given a first name upon admission to the NICU leave this field blank, you
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	can come back to it and enter it later. If the infant has still not been given a first name upon discharge, simply leave this field blank.
Infant Last Name	Family name of infant as recorded on the medical record. If hyphenated or double name, record both. <b><i>If the baby has a change of last name, do not record the change here or in the comments box. However, you may want to note the change for yourself elsewhere for future reference.</i></b>
Birth weight	Weight in <b>grams</b> at birth as recorded in birth hospital. If birth weight is unavailable, use the first weight taken up to 24 hours of life. If birth weight is only listed as an estimate, record the estimate. If weight was recorded after 24 hours and an estimate was used during first 24 hours, please record estimate (mandatory field)
Date of Birth / Time of Birth	Date of birth according to obstetric and/or admitting records. Enter by selecting from the calendar displayed or by typing in the date in the format YYYYMMDD. (Date of birth is mandatory). Enter time of birth in <b>military time</b> (24 hour clock). If infant is born at midnight, record as 00:00 (where midnight (00:00) is the first minute of a new day; for example: 23:59 on Jan 3 <sup>rd</sup> and 00:00 on Jan 4 <sup>th</sup> is 1 minute later).
Date of Admit / Time of Admit	Date of admission to the study NICU. This may be different than date of birth for late admissions or out-born babies. Auto-populated from initial screen and should not be changed carelessly as this will clear the patient chart. Time of admission is defined as the recorded time that the patient arrived to the NICU. If such timing is not available, use the time of first vital signs (at least one vital sign) recorded in the NICU. Do not include time in transport for out-born infants, or time in the delivery room for inborn infants. Write time of admission in military time. If time of admission is midnight record as 00:00 (mandatory field).
CNN Case ID	This is an auto-generated Case Identification number. It uniquely identifies a baby admission record in CNN. You do not need to enter any data in this field. <b><i>All screens are linked via this number</i></b> (mandatory field).
Hospital Record Number	Medical record number of the infant at the study hospital. When a patient is <b>readmitted</b> simply use the same record number and indicate the readmission number in the field that is displayed on the right hand side. To change this number from the one previously entered, click the button on the right of the field and proceed as instructed (mandatory field).
Patient UI	Patient Unique identifier (UI) number is assigned automatically by the program. No data entry required. It is the unique identifier for a patient and is used when transferring patients between hospitals. To change this number from the one previously assigned, click the button on the right of the field and proceed as instructed. Patient UI can be reset to its original value, if necessary.
Case Type	There are four types of patients collected under this screen. (1a) CNN / NICU admission – which will be the commonest and default regular option (1b) delivery room death - delivery room deaths of babies greater than or equal to 22 weeks gestational age (live born babies only). These include babies who die in the resuscitation room or are born following induction of labour for termination purposes and then die in other places in the hospital shortly after birth. Intrauterine deaths and stillbirths are not included. (2a) Non-CNN / NICU admission not meeting CNN criteria - Certain sites collect babies in the database who are not eligible for CNN, such as short stay babies; (2b) Non-CNN / non-NICU admission – admitted to other hospital unit; (2c) Non-CNN / non-NICU admission – not admitted to our hospital; (3) Readmission from 2009 (mandatory field)
Moribund on admission	A patient will be classified as moribund at admission if patient is receiving only comfort care, without intubation, mechanical ventilation, pressor treatment, or cardiac compressions (mandatory field). Moribund at admission cannot be selected for delivery room death cases (case type 1b).

### **GESTATIONAL AGE (APPEAR IN ORDER OF ACCURACY)**

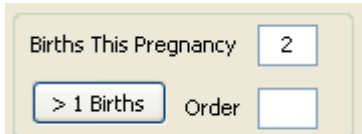
Note that this is a change from the previous CNN application. This program is set in such a way that it will calculate GA based on the best information that is available. Abstractor will complete as **much information available from charts as they can**, even if the dates or values in the chart are estimates. Please leave field blank if information is not available. If the Neonatal and Obstetrical estimated GA is reported as weeks + then just report weeks and leave days as zero. If Neonatal estimation spans more than one week enter earlier estimate (i.e. if GA is estimated as 30-31 weeks enter 30 weeks and zero days). **GA refers to gestational age at BIRTH - and NOT the Corrected GA**


Date of conception via IVF	Use the date of embryo transfer as the date of conception after adjusting the age of embryo (in days) at time of transfer. If the age of embryo is unknown, assume the embryo is 5 days of age.  Example 1: If the embryo is 3 days old at time of embryo transfer, then take away 3 days from the date of embryo transfer, and use that as the date of conception. Example 2: If the embryo is 5 days old at time of embryo transfer, then take away 5 days from the date of embryo transfer, and use that as the date of conception.
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	Example 3: If the embryo age is unknown, then minus 5 days from the date of embryo transfer, and use that as the date of conception.
Date of last menstrual period	Enter from maternal chart or history the date of last menstrual period.
Menstrual cycle length	Enter if this information is available.
Early Ultrasound	Click this field if mother had early ultrasound done. Early is defined as any ultrasound prior to 24 weeks.
GA when US was done	If there was an early ultrasound examination recorded, enter what was the estimated gestational age based on such an early ultrasound examination. Field is mandatory if early ultrasound is selected.
EDC based on US	Enter estimated date of confinement (delivery) based on the early ultrasound mentioned above. Note that this field is <b>not</b> Estimated Date of Conception.
Neonatal estimate of GA	Enter the estimate based on neonatal assessment as to how many weeks gestational age infant appears, generally by the Ballard or Modified Ballard examination. If there is no Neonatal estimate listed in the chart but the baby is referred to as a 'term baby', enter 40 weeks. (In weeks only)
Obstetric estimate of GA	Enter obstetric estimate of GA here in weeks and days.
Gestational Age	This field will be automatically populated based on input from the other fields. You do not need to enter any data here. Check that the value generated in this field is within a reasonable range of the best estimate of the infant's gestational age according to the patients chart. If there is a discrepancy, recheck data entry above.
Documented GA from chart	Enter the gestational age as documented from chart.

### **APGAR SCORE**

Apgar at 1 minute	One minute Apgar score. Select "N/A" if the value is missing or not available. Mandatory field unless "N/A" is checked.
Apgar at 5 minutes	Five minute Apgar score. Select "N/A" if the value is missing or not available. Mandatory field unless "N/A" is checked.
Apgar at 10 minutes	Ten minute Apgar score if recorded. The recording of such a score usually denotes a worrisome event in the delivery room (depressed infant) and is noted as a measure of recovery or prolonged depression. If missing or not available, leave the field blank.

Births this pregnancy	<p>Total number of births in this pregnancy. For example triplets=3, twins=2. If fetal death occurs at or before 20 weeks, this is <b>not</b> counted under births this pregnancy. If the chart does not specify date of fetal death, use the date the death was discovered. If fetal death of a twin occurs in utero, make a note in the comments box (mandatory field).</p> <p>When this field is more than 1, a new "&gt; 1 births" will appear. Upon clicking it, the following popup will appear for the user to choose the other existing baby admission record for that multiple birth case in order to find the existing mother record to link that baby to.</p> 
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Birth Order	Indicate the order in which the baby was born if it is part of a multiple birth. If fetal death has occurred at or before 20 weeks GA, do not count in birth order. If the baby is a singleton, please leave this blank. Select “N/A” if the birth order is not available or unknown.
Chorionicity and amnionicity for twins and triplets	<p>For twins and triplets, specify the type of multiples:</p> <p>Twins: Dichorionic/Diamniotic  Twins: Monochorionic/Diamniotic  Twins: Monochorionic/Monoamniotic  Twins: Unknown or other type</p> <p>Triplets: Trichorionic/Triamniotic triplets  Triplets: Monochorionic/Diamniotic twins pair with ‘singleton’ (also Dichorionic/Triamniotic)  Triplets: Monochorionic/Monoamniotic twins pair with 'singleton'  Triplets: Unknown or other type</p> <p>Chorionicity/amnionicity information is not collected for higher order multiples. This field is enabled and mandatory only if the number of births for this pregnancy is 2 or 3.</p>

**ADMISSION DETAILS**

Admission Status	<p>Admission status at the study hospital. Score as inborn or out-born (transferred in). If out-born, specify the external transfer location in the “transferred from” field. If a patient is born at your hospital, discharged home a couple of days later (without admission to the NICU) then admitted to the NICU from home this is considered an “<i>inborn late admission</i>”. Score simply as inborn.</p> <p>If a patient is discharged to another hospital for 24 hours or less for surgery or other medical treatments not provided at your hospital, then this does <b>not</b> count as a readmission. For patients transferred out longer than 24 hours, you will need to begin a new data set and consider this now a readmission (mandatory field).</p> <p>If an infant was admitted from home (because born at home), score as an out-born; and under “Transferred From”, enter OT &gt; Others &gt; “non-medical facility”.</p>
Admission Head Circumference	The first Occipito-Frontal Circumference (OFC) (Head Circumference) measured in the <i>first 7 days</i> after admission, as noted in the physician or nursing notes. Record in cm. If discrepancy between two measurements, select the measurement made by the nurse. Select “N/A” if the first recorded head circumference is after the <i>first 7 days</i> of admission, or if value is not available. Mandatory field unless “N/A” is checked.
Admission Length	The length of infant in centimeters measured in the <i>first 7 days</i> after admission, as noted in the physician or nursing notes. If discrepancy between two measurements, select the measurement made by the nurse. Select “N/A” if the first recorded length is after the first 7 days of admission, or if value is not available. Mandatory field unless “N/A” is checked. Range is valid between 20 and 65 cm.

Admission Weight	Weight in grams as recorded at admission to the study NICU. When no admission weight is recorded – take birth weight as admission weight if baby was admitted on the first day after birth AND if baby was admitted after the first day and not weighed on admission, take weight measured in the first 24 hours after admission. If no weight is taken in the 24 hours following admission enter estimated weight on which treatment is based (mandatory field).
Admission Temp.	Body temperature in Celsius as recorded at admission to the study NICU. <b>Record the first temperature listed within five hours of admission.</b> Select “N/A” if the first recorded temperature is after 5 hours of admission, or if admission temperature is not available or unknown. For readmissions, record the temperature at the time of this second admission to the study NICU. Use axillary or rectal, but not skin probe temperatures (temperature of the baby taken by the incubator). If the temperature is recorded as “<36” score as 35.9. Mandatory field unless “N/A” is checked.
Infant Sex	Record sex of infant. If sex is listed as ambiguous, enter it as such; however, if later baby was identified as Male or Female, correct it before final submission. If not listed or unknown, select “unknown” (mandatory field).
Internal Transfer	Indicate from which department within your hospital the baby was transferred, if applicable. This field is optional and should only be used by sites that wish to have this data. The list of selectable items are the following.  <ol style="list-style-type: none"> <li>1. PICU/PCCU (Pediatric Intensive Care Unit / Pediatric Critical Care Unit)</li> <li>2. L2N/SCN (Level 2 Nursery / Special Care Nursery)</li> <li>3. Operating Room</li> <li>4. Outpatient Clinic</li> <li>5. Emergency Department</li> <li>6. CCU/CCCU (Critical Care Unit / Comprehensive Cardiac Care Unit)</li> <li>7. Labor &amp; Delivery</li> <li>8. Mother/Baby Unit</li> <li>9. Pediatric Ward</li> <li>10. Others</li> <li>11. Unknown</li> </ol>
Transferred From	Record the name of the facility ( <b>outside your hospital</b> ) the infant was transferred from <b>most recently</b> . Do <b>not</b> complete this item for inborn late admissions from home or from any other area within your hospital. If an infant was admitted from home (because born at home), score as an out-born and enter OT > Others > “non-medical facility” here (this is NOT considered an inborn late admission from home).  For non-hospital areas or non-Canadian hospitals, you will be able to choose from the following: <ol style="list-style-type: none"> <li>1. Non-medical facility (home, school, mall, taxi, truck...etc)</li> <li>2. Non-hospital medical facility</li> <li>3. US Hospital</li> <li>4. Non-US Hospital Outside Canada</li> </ol> If a baby is transferred from a community hospital (or home) to your hospital emergency department which then assesses the baby condition and decides to transfer the baby to your NICU, the transferred from field refers to the <b>external place</b> from which the baby comes from when it first reached the hospital.  For those types of cases, DO NOT enter the transport data in the transport screen since there was no direct transfer and admission to your NICU.  For inborn cases where baby is born and then directly transferred to the NICU in the same hospital stay, leave the field empty.
Birth Place	If baby was inborn, your hospital will automatically appear here if the Settings are set appropriately (refer to page 11, “Customizability of CNN Application”). The list of selectable items is the same as for the “Transferred From” field. If an infant was admitted from home (because born at home), enter OT > Others > “non-medical facility”. If the birth place is unknown, enter OT>Others>unknown. (mandatory field)
First NICU	For outborn babies, what was the first CNN hospital of admission? Select from list. Default is your own hospital.

Comments	<p>Please enter comments for the CC here (maximum 255 characters). Do not record notes to yourself in this box. Use this item sparingly, it is not necessary to note additional maternal/obstetric information not required on the maternal screen. If you are unsure of the classification of a given congenital anomaly, diagnosis, or have a question regarding data collection please contact the CC for advice, <b>do not enter your question here.</b></p> <p><b>To indicate a record is invalid or erroneous, DO NOT enter “To Delete”, “To Filter”, “Please Ignore” or similar comments here. Use the new Deactivation feature available to deactivate invalid cases.</b></p>
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**GA <37 WEEKS - REASONS FOR PRETERM BIRTH**

This section is enabled when the calculated GA on Admission Screen is < 37 weeks.

<p>If GA &lt; 37 weeks – Primary reason</p>	<p>Select the <b>primary reason</b> for THIS preterm birth. <i>(Select only one from list)</i> Consult the local MFM/OB Site Investigator if the primary reason for this preterm birth was not documented.</p> <p><b><u>Multiple pregnancy</u></b> Select if multiple pregnancy was the primary reason for this preterm birth.</p> <p><b><u>Medically indicated</u></b> <b>Maternal hypertension/preeclampsia/eclampsia:</b> Includes HTN, PIH, HELLP and PET. <b>Maternal infection:</b></p> <ul style="list-style-type: none"> <li><b>i. Suspected/confirmed chorioamnionitis:</b> <i>Chorioamnionitis</i> is defined as inflammation of the chorion and amnion. Select this option if either suspected chorioamnionitis or pathology confirmed chorioamnionitis was the primary reason for this preterm birth.</li> <li><b>ii. Other maternal infection:</b> Score this if any other type of systemic infection was found in mother during peri-partum period. These include, but not limited to, urinary tract infection, pneumonia, septicemia etc.</li> </ul> <p><b>Other maternal medical conditions:</b> Score this if the chart has documented any other maternal medical condition which was the primary reason for the preterm birth.</p> <p><b>Fetal indications:</b> <i>Only score the affected fetus for preterm delivery of multiples.</i></p> <ul style="list-style-type: none"> <li><b>i. IUGR:</b> Intrauterine growth restriction, which will also appear as fetal growth restriction. This includes suspected IUGR as documented in chart.</li> <li><b>ii. Non-reassuring fetal status</b> or compromised fetal status: Includes non-reassuring fetal heart rate tracing, non-reassuring biophysical profile or other such as Doppler, or evidence of fetal compromise.</li> <li><b>iii. Following fetal procedure:</b> If preterm birth occurred within 72 hours after fetal procedures such as transfusion, laser, shunt placement, amnioreduction, surgery, catheterization, fetal reduction etc.</li> </ul> <p><b><u>Uterine anomaly/malformation</u></b> Includes Müllerian abnormality, Unicornuate uterus, Uterus didelphys (also uterus didelphis), Bicornuate uterus, Septated uterus.</p> <p><b><u>Cervical insufficiency/short cervix</u></b> Includes cervical insufficiency, cervical incompetence and short cervix. Cervical insufficiency might also be written as: “silent cervical dilation”, “painless cervical dilation”, or “bulging membranes without contractions”. If any intervention was applied for cervical insufficiency, such as cervical suture or pessary, they would be documented on the Mother/Obstetric screens.</p> <p><b><u>Placental insufficiency</u></b> Select if placental insufficiency was the primary reason for this preterm birth.</p> <p><b><u>PPROM</u></b> Score if Preterm Premature Rupture of Membranes was the primary reason for this preterm birth. This includes prelabour rupture of membranes that occurred before 37 weeks gestation. Do not score if membranes were ruptured artificially by health care provider prior to birth.</p> <p><b><u>Spontaneous</u></b> Score this if spontaneous preterm labour was documented as the primary reason for this preterm birth.</p> <p><b><u>Antepartum hemorrhage</u></b></p>
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	<p>Select if antepartum hemorrhage was the primary indication for this preterm birth and the cause of antepartum hemorrhage was classified as any of the below. This information can usually be found in the chart/antenatal record, operative note or delivery note. Do NOT score this variable if the mother has any of placenta previa, vasa previa, or placenta accreta/increta/percreta but does not hemorrhage. Do NOT include chronic abruption bleed that occurs early in pregnancy but “resolves” or no longer bleeding around time of birth.</p> <ul style="list-style-type: none"> <li><b>i. Placenta previa:</b> Information can be found under “Preoperative Diagnosis”, “Indication for cesarean section” or operative note.</li> <li><b>ii. Vasa previa:</b> Information can be found under “Preoperative Diagnosis”, “Indication for cesarean section” or operative note.</li> <li><b>iii. Placenta accreta/increta/percreta:</b> Information can be found under “Preoperative Diagnosis”, “Indication for cesarean section” or operative note.</li> <li><b>iv. Placental abruption:</b> Information can be found in maternal chart close to time of delivery as abruption or marginal abruption.</li> <li><b>v. Other/unknown antepartum hemorrhage:</b> Antepartum hemorrhage not otherwise specified by one of the above. Score this if the chart has documented any other type of antepartum hemorrhage which was the primary reason for the preterm birth. Score this if reason for antepartum hemorrhage is unknown.</li> </ul> <p>If antepartum hemorrhage was selected as primary reason for preterm birth, and it was associated with vasa previa / placenta previa / etc., please select the option "antepartum hemorrhage" in <b>associated condition</b> field with the corresponding condition.</p> <p><b><u>Other</u></b> Select this if the primary reason for this preterm birth was documented but cannot be classified into any of the above.</p> <p><b><u>Unknown</u></b> Select if the primary reason for this preterm birth could not be determined despite consultation with the local MFM/OB Site Investigator.</p>
<p>If GA &lt; 37 weeks - Associated conditions</p>	<p>Select all conditions mentioned in the chart that were or may be associated with THIS preterm birth. Exclude if these events occurred only in the past and in another pregnancy. <i>(Select all that apply)</i></p> <p><b><u>Medically indicated</u></b> <b>Maternal infection:</b></p> <ul style="list-style-type: none"> <li><b>i. Suspected/confirmed chorioamnionitis:</b> <i>Chorioamnionitis</i> is defined as inflammation of the chorion and amnion. Score if suspected chorioamnionitis was mentioned in the chart, or if pathology report confirmed chorioamnionitis.</li> <li><b>ii. Other maternal infection:</b> Score this if any other type of systemic infection was found in mother during peri-partum period. These include, but not limited to, urinary tract infection, pneumonia, septicemia etc.</li> </ul> <p><b>Other maternal medical conditions:</b> Score this if the chart has documented any other maternal medical conditions which may be associated with the preterm birth.</p> <p><b>Fetal indications:</b> <i>Only score the affected fetus for preterm delivery of multiples.</i></p> <ul style="list-style-type: none"> <li><b>i. IUGR:</b> Intrauterine growth restriction, which will also appear as fetal growth restriction. This includes suspected IUGR as documented in chart.</li> <li><b>ii. Non-reassuring fetal status</b> or compromised fetal status: Includes non-reassuring fetal heart rate tracing, non-reassuring biophysical profile or other such as Doppler, or evidence of fetal compromise.</li> <li><b>iii. Following fetal procedure:</b> If preterm birth occurred within 72 hours after fetal procedures such as transfusion, laser, shunt placement, amnioreduction, surgery, catheterization, fetal reduction etc.</li> </ul> <p><b><u>Uterine anomaly/malformation</u></b> Includes Müllerian abnormality, Unicornuate uterus, Uterus didelphys (also uterus didelphis), Bicornuate uterus, Septated uterus.</p> <p><b><u>Cervical insufficiency/short cervix</u></b> Check this if “cervical insufficiency”, “cervical incompetence” or “short cervix” is documented in the chart. “Cervical insufficiency” might also be written as: “silent cervical dilation”, “painless cervical dilation”, or “bulging membranes without contractions”. If any intervention was applied for cervical insufficiency, such as cervical suture or pessary, they would be documented on the Mother/Obstetric screens.</p>

**Placental insufficiency**

Check this if documented in chart of having “placental insufficiency”. Usually this is associated with fetal growth restriction or IUGR particularly when associated with oligohydramnios.

**PPROM**

Preterm Premature Rupture of Membranes: Score this if prelabour rupture of membranes occurred before 37 weeks gestation. Do not score if membranes were ruptured artificially by health care provider prior to birth.

**Spontaneous**

Score this if spontaneous preterm labour was documented.

**Antepartum hemorrhage**

Select if the cause of antepartum hemorrhage is classified as any of the below. This information can usually be found in the chart/antenatal record, operative note or delivery note. Do NOT score this variable if the mother has any of placenta previa, vasa previa, or placenta accreta/increta/percreta but does not hemorrhage. Do NOT include chronic abruption bleed that occurs early in pregnancy but “resolves” or no longer bleeding around time of birth.

- i. **Placenta previa:** Information can be found under “Preoperative Diagnosis”, “Indication for cesarean section” or operative note.
- ii. **Vasa previa:** Information can be found under “Preoperative Diagnosis”, “Indication for cesarean section” or operative note.
- iii. **Placenta accreta/increta/percreta:** Information can be found under “Preoperative Diagnosis”, “Indication for cesarean section” or operative note.
- iv. **Placental abruption:** Information can be found in maternal chart close to time of delivery as abruption or marginal abruption.
- v. **Other/unknown antepartum hemorrhage:** Antepartum hemorrhage not otherwise specified by one of the above. Score this if the chart has documented any other type of antepartum hemorrhage which may be associated with preterm birth. Score this if reason for antepartum hemorrhage is unknown.

**Other**

Select this if any other conditions were documented to be associated with preterm birth.

**Unknown / None reported**

Select if information is unknown or not available. Mandatory field for babies with GA <37 weeks.

## SCREEN 2: MOTHER/OBSTETRIC SCREEN DEFINITIONS

### Mother / Obstetric Reviewed

**Mother Identification**

Mother First Name

Mother Last Name

Date of Birth [Enter Date]

Maternal Age (If DOB Unknown)

Chart Number

P.H.N.

Residential Postal Code

Type of Birth Mother Genetic

**Prenatal Care**

None  Some  Unknown

Antenatal Visit before 20 weeks

Yes  No  Unknown

**Antenatal**

Gravida  Para  Total Abortions

**Antenatal Corticosteroid TX**

Did the mother receive antenatal corticosteroids?

Yes  No  Unknown

If Yes

1st Dose Date/Time(24hr) [Enter Date]

Completed course within last week prior to birth

Completed course prior to 1 week before birth

Completed course but timing unknown

Partial within last 24 hours

Partial > 24 hours ago

Partial course but timing unknown

Unknown course & unknown timing

SNACS trial participant

Rescue dose of steroids

Did the mother receive more than one complete course of antenatal steroids?

Yes  No  Unknown

If Yes, how many complete courses of antenatal steroids were given?

**Birth Summary**

ROM

Date / Time(24hr)

[Enter Date]

< 24 Hours  > 1 Week

24 Hours to 1 Week  Unknown

**Labour Initiation**

No Labour  Induced

Spontaneous  Unknown

Augmented

**Antenatal Intervention?**

Yes

No

Unknown

If Yes:

Fetal Transfusion

Laser Ablation

Amnioreduction/Decompression

Shunt Placement

Fetal Reduction

Chorionic Villus Sampling (CVS)

Amniocentesis <20 weeks

Open fetal surgery

Other

**Risks**

Yes  No  Unknown

Alcohol

Cigarettes

Marijuana/Cannabis

Other substance

ART

IVF  ICSI

Egg donation  Other ART

Sperm donation  Unknown

**Cervical Sutures**

Yes  No  Unknown

Gestational Age

**Systemic antibiotics given to mother within 24hr before birth**

Yes  No  Unknown

**Histological Chorioamnionitis**

Yes  Not Done

No  Unknown

**Suspected Chorioamnionitis**

Yes  No  Unknown

**Maternal fever within 24 hours before birth**

Yes  No  Unknown

**Maternal leukocytosis**

Yes  No  Unknown

**Purulent discharge from cervix**

Yes  No  Unknown

**Fetal tachycardia**

Yes  No  Unknown

**Obstetric Diabetes**

Yes  Gestational diabetes

No  Type 1 or juvenile onset diabetes

Unknown  Type 2 or adult onset diabetes

Unknown type

**Insulin**

Yes  No  Unknown

**Maternal Hypertension/Preeclampsia**

Yes  Pre-existing hypertension

No  Gestational hypertension

Unknown  Hypertension but timing unknown.

**Preeclampsia/eclampsia**

Yes  No  Unknown

**Presentation** Presentation

**Delivery Type**

Vaginal  Cesarean  Unknown

Low transverse

Classical or vertical

T or J or low transverse with vertical extension

Unknown/other

**Did Mother receive MgSO4 during labor?**

Yes  No  Unknown

If Yes:

Neuroprotection  Tocolysis

Pre-eclampsia/Eclampsia  Unknown

Record information on this screen according to the birth mother's information. Birth mother refers to the woman whose uterus carries the child, even in the case of surrogate pregnancy. If an infant is placed in foster care, DO NOT record details regarding the foster family here.

### **MOTHER IDENTIFICATION**

Mother First Name	First name of mother as recorded on medical records. Do not enter abbreviations. Leave blank if unknown.
Mother Last Name	Family name of mother as recorded on the medical records.
Date of Birth	Mother's date of birth. If mother's date of birth is unknown, enter maternal age at time of admission. At least one of these fields must be entered.



Maternal Age	If mother's date of birth is unknown, enter maternal age. At least one of these fields must be entered.
Date of Admit	Mother's date of admission to <i>birth</i> hospital. If date of admission is unknown leave the field blank.
Chart Number	Mother's hospital record number for all inborn infants. For out-born infants leave the field blank.
P.H.N.	Mother's personal health number if mother has provincial coverage. If mother does not have provincial coverage or PHN is unknown leave the field blank (this information is kept locally, not transferred to CNN).
Lone Parent	Record whether the child is cared for by a lone parent (mandatory field). If a spouse (irrespective of sex) is regularly (i.e. daily) involved in the social care of this child (not financial), score "no". If no one other than the mother fits this description, score "yes". If this is unclear, score "unknown".
Residential Postal Code	Postal Code of mother's primary residence. Record the 6 digit number/letter code in the correct sequence (eg A1A 1A1). This should be completed for all babies, including out-born. If unknown, leave the field blank.
Type of birth mother	Record the type of birth mother: Genetic, Non-genetic, Surrogate, or Unknown. Default is "Genetic". Mandatory field

## **RISKS**

Risks <ul style="list-style-type: none"> <li>- Alcohol</li> <li>- Cigarette</li> <li>- Marijuana</li> <li>- Other substance</li> </ul>	<p>Indicate whether or not the mother used alcohol, cigarettes (include e-cigarettes), marijuana/cannabis or other substances <i>during this pregnancy</i>. Do not score if the mother used these substances prior to this conception or during a previous pregnancy. Include all routes of administration (e.g. smoked, vaporized, oral, topical etc.). Also include both legal and illegal use.</p> <p><b>Other substances</b> include all recreational drugs (i.e. cocaine, heroin, etc.) as well as abused prescription drugs known to do damage to a developing fetus (i.e. opioids, codeine, methadone etc.).</p> <p>Select the "Yes" checkbox if the mother had exposure to alcohol, cigarette, marijuana/cannabis or other substances during this pregnancy. Also score "yes" if the mother had "suspected exposure" and test was not done or unavailable. Then select from the dropdown menu for each of the four categories.</p> <p>Select the "No" checkbox if the mother had no exposure to alcohol, cigarette, marijuana/cannabis or other substances during this pregnancy. The dropdown menus for the four categories below would be disabled and defaulted to "No".</p> <p>Select the "Unknown" checkbox if it was unclear whether mother had any exposure for alcohol, cigarette, marijuana/cannabis or other substances during this pregnancy. The dropdown menus for the four categories below would be disabled and defaulted to "Unknown if exposed".</p> <p><b><u>If the "Yes" checkbox was selected, enter the usage information for each of the four categories:</u></b> Score "No" if the mother did not use the substance during this pregnancy.</p> <p>Score "Yes, but quit during pregnancy" if the mother used the substance but quit once she became aware of this pregnancy. As this option does not define quantity, <b>do</b> record substance use even if described as social use only.</p> <p>Score "Yes, and use is ongoing" if there was ongoing use of the substance, or if mother quit and restarted, during this pregnancy. As this option does not define quantity, <b>do</b> record substance use even if described as social use only.</p> <p>Score "Yes, but timing is unknown" if the mother used the substance during this pregnancy but usage information is not available.</p> <p>Score "Unknown if exposed" if it was unclear whether the mother had exposure to the substance during this pregnancy or information was not available.</p>
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## **OBSTETRIC / ANTENATAL HISTORY**

Assisted Reproductive Technology (ART)	Score if Assisted Reproductive Technology was used in this pregnancy. If ART was clicked, select the type (mandatory): <b>IVF:</b> <i>In Vitro</i> Fertilization – Select if IVF was used in this pregnancy. If yes, then select egg or sperm donation as applicable. Leave blank if information regarding egg donation and sperm donation is unknown. <b>ICSI:</b> Intracytoplasmic Sperm Injection <b>Other ART:</b> This includes Intrauterine Insemination (IUI), embryo donation, surrogates, gestational carriers, ovulation induction with Clomid or other fertility drugs <b>Unknown ART:</b> Score this when Assisted Reproductive Technology was used but technique unknown.
Cervical Sutures	Was cervical suture in place? Select yes, no or unknown (mandatory). If yes, enter the fetus GA in completed weeks at the time of suture. If more than one suture was placed, record the first. GA ranges between 8 to 36 weeks. Do not round up GA for incomplete weeks. Leave blank if GA is unknown.
Systemic antibiotics given to mother within 24hr before birth	Record whether systemic antibiotics were given to the mother <b>within 24 hours before birth</b> . This includes antibiotics given only enterally or parentally, not topical antibiotics. If unknown check the unknown box (mandatory field).
Maternal fever	Score yes if any of these conditions existed within the 24 hours before birth. Reason for fever would not be a factor in this determination. 1. Any maternal fever of $\geq 39.0^{\circ}\text{C}$ recorded one or more times. 2. Any maternal fever of $> 38.0^{\circ}\text{C}$ recorded twice at least 30 minutes apart.
Maternal leukocytosis	Maternal white cell count $> 15000/\text{mm}^3$ (or sometimes recorded as $> 15$ ) for blood sample collected within the 24 hours before birth, regardless if mom was in labour.
Purulent discharge from cervix	Definite purulent discharge within the 24 hours before birth, as documented in chart or intrapartum notes. It might be written as discharge that is ‘yellow’, ‘green’, ‘foul-smelling’ or ‘malodourous’.
Fetal tachycardia	Documented fetal tachycardia with fetal heart rate $> 160$ bpm within the 24 hours before birth. Abnormal fetal heart rate may be documented on maternal partogram charting of fetal heart rate and intrapartum progress notes. Score if “fetal tachycardia” is documented in chart, even when the fetal heart rate is not available.
Chorioamnionitis	<b>Histological Chorioamnionitis:</b> (mandatory) Chorioamnionitis is defined as inflammation of the chorion and amnion.  <ul style="list-style-type: none"> <li>• Yes – placental pathology/histological report indicates positive for chorioamnionitis</li> <li>• No – placental pathology/histological report indicates negative for chorioamnionitis</li> <li>• Not Done – placental pathology/histological investigation was not done</li> <li>• Unknown – placental pathology/histological report was not available, report inconclusive, or unknown if report was done</li> </ul> <b>Suspected chorioamnionitis:</b> (mandatory if histological chorioamnionitis is No, Not Done or Unknown) Was “chorioamnionitis” or “suspected chorioamnionitis” documented in the chart? (regardless of other indicators)  <ul style="list-style-type: none"> <li>• Yes – select if chorioamnionitis or suspected chorioamnionitis was documented in chart</li> <li>• No – select if chorioamnionitis or suspected chorioamnionitis was not documented in chart</li> <li>• Unknown - information not available</li> </ul>
Prenatal Care	If the mother had <b>at least one</b> prenatal care visit prior to hospital admission during which delivery occurred, score as ‘some’. Otherwise, score as ‘none’. If a pregnancy is dated by ultrasound (U/S) (other than on this admission) or if the notes indicate the mother had prenatal screens (rubella immune or hepatitis status) assume that there was some prenatal care. If there are no obstetric records, select “unknown” (mandatory field).
Antenatal Visits before 20 weeks	Check the box if mother had prenatal visit before 20 weeks of gestational age. If mother had first trimester screen, nuchal translucency measured, anatomy scan performed, or screening for genetic anomaly performed (triple screen) then score “yes” (mandatory field).

Gravida	The number of times a woman has been pregnant, including all abortions, live and still births. Note that this number includes the current pregnancy, therefore if this is the mother's first pregnancy enter '1'.
Para	The total number pregnancies delivering at greater than or equal to 20 weeks. This includes both live born and stillborn infants. Multiple pregnancies are counted only once (i.e. not 2 for twins or 3 for triplets). There is no weight criteria for this metric.
Total Abortions	The total number of both spontaneous (miscarriages including stillbirths) and therapeutic (planned) abortions of mother to date. The pregnancy would end before 20 weeks gestation (i.e. up to 19 + 6). This includes both live born and stillborn infants. Multiple pregnancies are counted only once (i.e. not 2 for twins or 3 for triplets). There is no weight criteria for this metric.
Antenatal Corticosteroid TX	<p>Antenatal corticosteroid treatment given to mother prior to delivery (mandatory field). These include any of the following: betamethasone, beta celestone, dexamethasone, cortisone, dihydrocortisone, but <b>not</b> prednisone</p> <p><b>If Yes:</b> score as below</p> <ol style="list-style-type: none"> <li>Complete course within last week prior to birth [defined as receipt of at least two doses of corticosteroids 24 hours or more but within one week of birth]</li> <li>Complete course before 1 week of birth (defined as receipt of at least two doses of corticosteroids initiated more than one week prior to birth)</li> <li>Complete course but timing unknown (If no dates of administration are given, but the chart refers to "complete" or if chart specifies two doses were given)</li> <li>Partial course within last 24 hours (defined as any dose given less than 24 hours prior to birth)</li> <li>Partial course &gt;24 hours ago (defined as one dose given &gt;24 hours ago and was not repeated)</li> <li>Partial course but timing unknown (If no dates of administration are given, but the chart refers to "partial" or if chart specifies that only one dose was given)</li> <li>Course and timing unknown (select if antenatal corticosteroid was given but no other details were available. I.e. Complete vs partial course and timing were unknown.</li> <li>SNACS trial participant</li> </ol> <p><b>Long course of corticosteroid for mother:</b> For Mothers who received systemic (oral or parenteral) corticosteroids for indications other than for lung maturity (e.g. fetal heart block, suspected congenital adrenal hyperplasia or for maternal indication etc.) for a prolonged period of time (&gt;2 weeks), score the appropriate "Completed course" option and enter the Number of Courses as "10". If steroid was continued until the date of delivery, enter "Completed course within last week prior to birth". If it was stopped 1 week prior to birth, then enter "Completed course before 1 week of birth". Please enter the detailed reason for multiple steroid administrations in the comment box on the Admission Screen.</p>
First dose of antenatal steroid	If antenatal steroids were given to mother, select "Yes" and provide date/time of first dose. Also, do complete other information under Antenatal Corticosteroid Treatment such as complete/partial courses. Information may be available on maternal charts.
Rescue dose of steroids	This field is enabled only if "complete course" is selected above. The practice of giving a rescue dose of steroid is adopted in some units. This means that mother has received a complete course of steroid >1 week ago when she presented with threatened preterm birth. However, she settled and did not deliver at that time. She then presented with another episode of threatened preterm birth and rather than repeating a course of steroid, practitioner gave a single dose of steroid as rescue dose prior to current preterm birth. Usually this is a single dose within 12 hours of birth.
Number of Courses	If mother has received multiple complete courses (at least one complete and more) mark yes and indicate the number of antenatal corticosteroid courses given to mother prior to delivery. If not mentioned or unknown, select unknown. If mother has received complete and partial courses in the past, only enter courses that were complete (as defined above) and ignore partial courses.

**BIRTH SUMMARY (TOP RIGHT-HAND CORNER)**

Rupture of membranes (ROM)	Rupture of maternal membranes (ROM), either artificial or natural, releasing the amniotic fluid and indicating the onset of labour.
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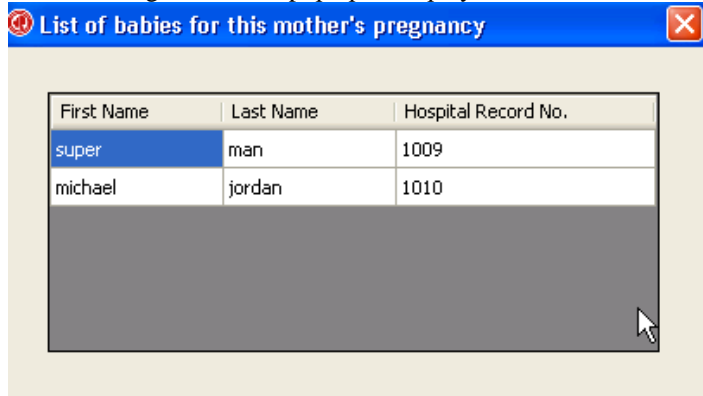
	<p>Enter the date and time when rupture of membranes occurred. <i>There is no further need to classify the timing below if the date/time is available.</i></p> <p>If exact timing is unknown but information is available to classify the time between rupture of membranes and time of birth, select from the 3 groups below: &lt;24 hours; 24 hours to 1 week; &gt;1 week</p> <p>Mark unknown if information is not available. (mandatory field)</p>
Labour Initiation	Type of labour initiation, whether none, spontaneous, augmented (speeding up labour), or induced (getting labour started). If unknown record as such. Augmentation is defined as medications given to increase the strength and/or speed of contractions (mandatory field).

### **ANTENATAL INTERVENTION**

Antenatal Intervention?	<p>Indicate if there were any interventions during this pregnancy to treat a fetal condition. If “Yes” was selected, indicate which intervention was performed.</p> <p>Amniocentesis and Chorionic Villus Sampling are performed to rule out chromosomal abnormalities and other genetic disorders (e.g. Down Syndrome and neural tube defects). Shunt placement includes pleuroamniotic shunt, vesicoamniotic shunt, antenatal placement of shunt for reduction of hydrocephalus, or shunt anywhere in the body to drain fluid collection. If there was only tapping of fluid from cavity and no shunt was placed, mention it in the “Other” category. If the intervention is not listed, click “Other” and free text the intervention (maximum 50 characters).</p>
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### **OBSTETRIC (BOTTOM RIGHT-HAND CORNER)**

Diabetes and Type	<p>Answer yes, no or unknown regarding mother’s status as a diabetic. This includes both gestational diabetes as well as previous maternal diabetes (i.e. prior to conception). Impaired Glucose Tolerance is not included. (Mandatory field).</p> <p>If Yes, select the type:</p> <ol style="list-style-type: none"> <li>1. Gestational diabetes: Diabetes which was first time diagnosed during this pregnancy</li> <li>2. Type 1 diabetes or juvenile onset diabetes: Diabetes diagnosed at younger age</li> <li>3. Type 2 diabetes or adult onset: Diabetes diagnosed during adulthood</li> <li>4. Unknown type: Reported as diabetes but type is unknown.</li> </ol>
Insulin	Answer yes, no or unknown regarding whether or not mom received insulin for the treatment of diabetes.
Maternal Hypertension	<p>Record whether maternal hypertension is present or not, or whether this information is unknown. If obstetric information is noted, but maternal hypertension is not mentioned, select “no.” If there is no obstetric data in the chart, select “unknown.” Common abbreviations for this include: HTN, PIH, <i>HELLP</i> and PET. “Questionable HTN,” “question of HELLP syndrome” or “rule out PET” without more information should be scored as unknown (mandatory field).</p> <p>If Yes, select type:</p> <ol style="list-style-type: none"> <li>1. Pre-existing hypertension: Hypertension that was preexisting before current pregnancy</li> <li>2. Gestational hypertension: Hypertension diagnosed first time during this pregnancy. It may have been reported in previous pregnancy but mother was not hypertensive in between pregnancies.</li> <li>3. Hypertension but timing unknown: Reported as hypertension but timing unknown</li> </ol>
Preeclampsia/ Eclampsia	Record whether preeclampsia or eclampsia is present or not, or whether this information is unknown.
Maternal MgSO4	<p>Enter YES if MgSO4 is given at any time during gestation when mom had threatened preterm labour. This includes previous MgSO4 given weeks before actual birth when mother might have been admitted for threatened preterm labour but not given birth.</p> <p>If Yes, select the reason.</p>

	<p><b>Neuroprotection:</b> Administration of MgSO<sub>4</sub> for prevention of cerebral palsy in infants. This is given when mother is admitted in threatened preterm labour. MgSO<sub>4</sub> given for neuroprotection in all previous admissions for threatened preterm labour should be included. Check this if MgSO<sub>4</sub> is intended for neuroprotection, irrespective of maternal conditions. Under most circumstances this is given for up to 24 hours in the event of suspected or threatened preterm labour, and stopped if mother does not progress to labour.</p> <p><b>Pre-eclampsia/Eclampsia:</b> Administration of MgSO<sub>4</sub> for the treatment of maternal pre-eclampsia or eclampsia. This treatment can last for longer than 24 hours and should be mentioned as a reason in maternal charts.</p> <p><b>Tocolysis:</b> Administration of MgSO<sub>4</sub> for the purpose of relaxing the uterus when mother presents with contractions and threatened preterm birth.</p> <p><b>Unknown:</b> When reason cannot be determined, identified or not known.</p>									
Presentation	<p>Fetal presentation at birth (mandatory field). This should be recorded as:</p> <p><b>VERTEX:</b> Head first, includes OP (occiput posterior), hand presentation with head coming with hand, or <b>BREECH:</b> All types - footling, frank, etc.; or</p> <p><b>OTHER:</b> Includes shoulder, transverse, brow, face, oblique vertex, and compound (not vertex or breech but has other more than one parts as presenting parts) presentations.</p> <p>If there is no mention of presentation select “unknown.” If a baby was converted to vertex presentation for delivery by c-section, <i>score the initial position of the baby</i>. If vertex presentation can be inferred (e.g. “tried vacuum extraction”), score vertex.</p>									
Delivery Type	<p>Record whether the delivery was vaginal or by cesarean section (mandatory field). If obstetric information is noted, but delivery type is not mentioned, “vaginal” may be assumed. If vaginal can be inferred (e.g. “vacuum extraction”), score vaginal. If there are no obstetric records, select “unknown.”</p> <p><b><u>Type of uterine incisions (mandatory for cesarean delivery)</u></b></p> <p>The following options are enabled when <b>cesarean delivery</b> is selected:</p> <ol style="list-style-type: none"> <li>1. Low transverse</li> <li>2. Classical or vertical</li> <li>3. "T" or "J" or low transverse with vertical extension</li> <li>4. Unknown/other – if information is not available</li> </ol> <p><i>Information may be found in operative records.</i></p>									
	<p>The following screen will pop up to display the list of babies for this mother’s current pregnancy.</p>  <table border="1" data-bbox="418 1318 1029 1577"> <thead> <tr> <th>First Name</th> <th>Last Name</th> <th>Hospital Record No.</th> </tr> </thead> <tbody> <tr> <td>super</td> <td>man</td> <td>1009</td> </tr> <tr> <td>michael</td> <td>jordan</td> <td>1010</td> </tr> </tbody> </table>	First Name	Last Name	Hospital Record No.	super	man	1009	michael	jordan	1010
First Name	Last Name	Hospital Record No.								
super	man	1009								
michael	jordan	1010								

## SCREEN 3: MOTHER / OBSTETRIC (G) SCREEN DEFINITIONS

### Mother / Obstetric (GA < 29 weeks)

Reviewed

Transferred from another tertiary CNN hospital  Yes  No

<p><b>Maternal</b></p> <p><b>Maternal Employment Status</b></p> <p><input type="checkbox"/> Employed  <input type="checkbox"/> Unemployed  <input type="checkbox"/> Student  <input type="checkbox"/> Full-time homemaker  <input type="checkbox"/> Unknown  <input type="checkbox"/> Other</p> <p><input type="text"/></p> <p><b>Severe Pre-existing Maternal Medical conditions (Exclude Diabetes and Hypertension)</b></p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p><input type="checkbox"/> Severe Cardiovascular Disease  <input type="checkbox"/> Severe Renal Disease  <input type="checkbox"/> Severe Nervous System Disorder  <input type="checkbox"/> Severe Rheumatological Disease  <input type="checkbox"/> Other Severe Maternal Conditions</p> <p><b>Maternal mental health condition during pregnancy</b></p> <p><input type="checkbox"/> None <input type="checkbox"/> Schizophrenia  <input type="checkbox"/> Anxiety <input type="checkbox"/> Other  <input type="checkbox"/> Depression <input type="checkbox"/> Unknown  <input type="checkbox"/> Bipolar disorder</p>	<p># Previous preterm births <input type="text"/></p> <p># Previous live births <input type="text"/></p> <p># Previous stillbirths <input type="text"/></p> <p># Previous pregnancies with multiples <input type="text"/></p> <p><b>Pre-pregnancy or early antenatal weight</b></p> <p><input type="checkbox"/> Pounds <input type="checkbox"/> Kilos <input type="checkbox"/> Unknown</p> <p><input type="text"/> (lb) <input type="text"/> (kg)</p> <p><b>Mother's weight before birth</b></p> <p><input type="checkbox"/> Pounds <input type="checkbox"/> Kilos <input type="checkbox"/> Unknown</p> <p><input type="text"/> (lb) <input type="text"/> (kg)</p> <p><b>Height</b></p> <p><input type="checkbox"/> Feet/Inches <input type="checkbox"/> Centimeters <input type="checkbox"/> Unknown</p> <p><input type="text"/> / <input type="text"/> (cm)</p> <p><b>Pre-pregnancy or early antenatal BMI</b> <input type="text"/></p>	<p><b>Partner</b></p> <p><b>Partner Employment Status</b></p> <p><input type="checkbox"/> Employed  <input type="checkbox"/> Unemployed  <input type="checkbox"/> Student  <input type="checkbox"/> Full-time homemaker  <input type="checkbox"/> Unknown  <input type="checkbox"/> Other</p> <p><input type="text"/></p> <p><b>Progesterone</b></p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p><b>Daily Aspirin</b></p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p><b>Severe Maternal Outcome</b></p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown</p> <p><input type="checkbox"/> Require higher level of care  <input type="checkbox"/> Transfusion ≥4 units  <input type="checkbox"/> Septic Shock  <input type="checkbox"/> Require operation  <input type="checkbox"/> Maternal Death</p>
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Record information on this screen according to the birth mother's information. Birth mother refers to the woman whose uterus carries the child, even in the case of surrogate pregnancy. This screen is enabled for babies with GA < 29 weeks.

Transferred from another tertiary CNN hospital	Was the infant transferred from another tertiary CNN hospital which had the first CNN admission? Select yes or no. Remaining screen is disabled if yes is selected.
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### **MATERNAL**

Maternal Employment Status	<p>Record the mother's employment status. (Mandatory field)</p> <p><b>Employed:</b> Select if employed full- or part-time or if self-employed.</p> <p><b>Unemployed:</b> Select if mother is available to work but does not have paid employment. If mother is not available for work due to childcare and other household responsibility, select <i>Full-time Homemaker</i>. If mother is not available for work due to injury or disability, select <i>Other</i>.</p> <p><b>Student:</b> Select if mother spends most of the time attending classes or works part-time while attending full-time classes. However, if mother works full-time but attends night classes, select <i>Employed</i>.</p> <p><b>Full-time Homemaker:</b> Select if mother is not available for paid employment due to childcare and household responsibilities.</p> <p><b>Unknown:</b> Select if employment status cannot be determined or unknown.</p> <p><b>Other:</b> Score this if none of the above applies. Specify in free text field.</p>
Severe pre-existing maternal medical conditions (exclude diabetes and hypertension)	<p>Record whether or not the mother had pre-existing medical conditions, NOT including diabetes and hypertension. (Mandatory field)</p> <p>Select "Unknown" if this information was not documented.</p> <p>If "Yes", select the appropriate condition(s) if they were documented.</p>

	<p><b>Severe Cardiovascular Disease</b> includes Left Ventricular dysfunction "LV" dysfunction, Ejection Fraction or EF &lt;45%, "Heart Failure" or "NYHA class &gt;II", Complex Congenital Heart Disease (NOT repaired Atrial Septal Defect (ASD) or repaired Ventricular Septal Defect (VSD); would include Cyanosis or cyanotic, Tetralogy of Fallot, Transposition of the Great Arteries, "Eisenmenger's ", Pulmonary Hypertension, Ventricular Fibrillation, Ventricular Tachycardia, or other severe (e.g. angina or heart attack) Myocardial Infarction, MI, Mechanical Valve, Aortic Root Dilation &gt;45 mm, cardiac transplant, cardiac ablation).</p> <p><b>Severe Renal Disease</b> includes "dialysis", renal failure (acute or chronic), pyelonephritis during the current pregnancy, or other severe transplant (e.g. renal/kidney).</p> <p><b>Severe Nervous System Disorder</b> includes epilepsy ("not well controlled", "poorly controlled", frequent seizures during pregnancy), stroke during the current pregnancy (Cerebrovascular Accident (CVA), "Brain Haemorrhage"), Transient Ischemic Attack (TIA), brain tumour diagnosed in pregnancy or intracranial hemorrhage.</p> <p><b>Severe Rheumatological Disease</b> includes severe lupus (SLE), severe rheumatoid arthritis (RA) or any other severe type of rheumatologic disease. Do not include any type of mild, well controlled, controlled or stable conditions, regardless of medications used.</p> <p><b>Other Severe Maternal Condition(s)</b> include cystic fibrosis, severe asthma requiring hospitalization during pregnancy, ulcerative colitis on medications but poorly controlled, Crohn's on or off medications but poorly controlled, cancers, endocrine disorders (e.g. thyroid storm, prolactinoma), haematological disorders (e.g. anemic sickle cell). Do not include hyperemesis gravidarum requiring hospitalization in "Other severe maternal condition".</p>
Maternal mental health conditions during pregnancy	<p>Indicate the maternal mental health conditions that are ongoing problems during current pregnancy. Include pre-existing or pregnancy-related mental health conditions of the mother that occurred during this pregnancy only. (Mandatory field)</p> <p>Do not include previous postpartum depression or risk of postpartum depression as they are not "ongoing".</p> <p>Select from <b>anxiety, depression, bipolar disorder and schizophrenia</b>. Select "<b>other</b>" for maternal mental health condition not otherwise specified by one of the above. Select "<b>unknown</b>" if information is not available.</p>
Number of previous Preterm Births	<p>Preterm refers to birth before 37+0 weeks gestation; therefore, the gestational age of previous children must be &lt; 36+6 weeks to be included here. This includes both live born and stillborn infants. Leave blank if information is not available or unknown. This field is auto-populated with "zero" when Gravida=1.</p>
Number of previous live births	<p>Record the total number of previous live births, both term and preterm. Exclude the baby who is being abstracted. A live birth is the complete expulsion or extraction from the mother after at least 20 completed weeks gestation or after attaining a weight of at least 500 grams, or a product of conception in which, after the expulsion or extraction, there IS breathing, beating of heart, pulsation of umbilical cord or unmistakable movement of voluntary muscle.</p> <p>Leave blank if information is not available or unknown. This field is auto-populated with "zero" when Gravida=1.</p>
Number of previous stillbirths	<p>Death prior to the complete expulsion or extraction from the mother of a product of conception, irrespective of the duration of pregnancy; the death is indicated by the fact that after such separation the fetus does not breathe or show any other evidence of life, such as beating of the heart, pulsation of the umbilical cord, or definite movement of voluntary muscles. Only fetal deaths where the product of conception has a birth weight of 500 grams or more or the duration of pregnancy is 20 weeks or longer are registered in Canada.</p>
Number of previous pregnancies with multiples	<p>Record the number of previous pregnancies with multiples, irrespective of livebirth or stillborn. This is not the number of children, but the number of pregnancies with multiples.</p> <p>Leave blank if information is not available or unknown. This field is auto-populated with "zero" when Gravida=1.</p>

Pre-pregnancy or early antenatal weight	<p>Record the pre-pregnancy weight of the mother or weight measured at the first antenatal visit (if &lt;13 weeks gestation) using either the imperial or metric system.</p> <p>Imperial system range: 30 lbs to 500 lbs (if more than 500lbs, then enter 500). Metric system range: 20 kg to 250 kg (if more than 250Kg, enter 250 kg)</p> <p>If pre-pregnancy weight was not documented and mother's weight before 13 weeks gestation was not measured or documented, select "Unknown". (Mandatory field)</p>
Mother's weight before birth	<p>Record the mother's weight last measured before this current birth , using either the imperial or metric system. Timing between measurement and delivery was irrelevant. Record the weight measured closest to birth, irrespective of where it was measured.</p> <p>Imperial system range: 30 lbs to 500 lbs (if more than 500lbs, then enter 500). Metric system range: 20 kg to 250 kg (if more than 250Kg, enter 250 kg)</p> <p>If pre-delivery weight was not documented, select "Unknown". (Mandatory field)</p>
Maternal height	<p>Record the height of the mother using either the imperial or metric system.</p> <p>Imperial system range: 3 feet to 7 feet (if more than 7 feet, enter 7 feet) Metric system range: 90 cm to 210 cm (if more than 210cm, enter 210cm)</p> <p>If maternal height was not documented, select "Unknown". (Mandatory field)</p>
Pre-pregnancy or early antenatal Body Mass Index (BMI)	<p>Record the pre-pregnancy BMI of the mother or BMI documented at the first antenatal visit (if &lt;13 weeks gestation). Leave field blank if pre-pregnancy/early antenatal weight AND height were both entered. Leave blank if not documented or unknown.</p>

Progesterone	<p>Progesterone intake including oral, per vagina (pv), or intramuscular. Record whether or not progesterone was given at any time during pregnancy. Select "unknown" if information was not available or unknown. (Mandatory field)</p>
Daily Aspirin	<p>Record if mother was given daily aspirin during pregnancy for prevention of preeclampsia, SGA/IUGR, or other conditions. This does not include the use of aspirin for headache or other pain/inflammation management. The usual dosing is either 80 mg or 160 mg daily.</p> <p>This information can usually be found in antenatal record, under Medications and also on an initial consult under Medications or in the Plan/Recommendation and/or the admission history for birth, under Medications. (Mandatory field)</p>

**PARTNER**

Partner's Employment Status	<p>Record the partner's employment status. The partner is the person whom the mother has identified in the antenatal record. Select unknown if partner was not identified, information was not available or in case of surrogate pregnancy. (Mandatory field)</p> <p>Employed: Employed full- or part-time or if self-employed. Unemployed: Partner is available to work but does not have paid employment. If partner is not available for work due to childcare and other household responsibility, select Full-time Homemaker. If partner is not available for work due to injury or disability, select Other. Student: Partner spends most of time attending classes or works part-time while attending full-time classes. However, if works full-time but attends night classes, select Employed. Full-time Homemaker: Partner is not available for paid employment due to childcare and household responsibilities. Unknown: Employment status cannot be determined, unknown or in the case of a surrogate pregnancy. Other: Score this if none of the above applies. Specify in free text field.</p>
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**OBSTETRIC**

Severe maternal outcome	<p>Indicate whether there was severe maternal morbidity or mortality within 4 weeks postpartum, regardless of the location of these events. Collect information during the birth admission and any readmissions due to pregnancy-related complications. Information can usually be found in discharge summary. (Mandatory field)</p> <p>If Yes, select from following options:</p> <ul style="list-style-type: none"><li>- Required higher level of care including Intensive Care (ICU) and High Dependency Unit (HDU): Include transfer of the mother to another institution that provides higher level of maternal care.</li><li>- Transfusion <math>\geq 4</math> units of any blood products</li><li>- Septic shock</li><li>- Required operation: mother returned to operating room post C-section or required operation after vaginal birth.</li><li>- Maternal Death: Exclude accidentals</li></ul>
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# SCREEN 4: RESUSCITATION / STABILIZATION / TRANSITION

## SCREEN DEFINITIONS

### Resuscitation / Stabilization / Transition Reviewed

Date of Birth: 2022 Jan 01    Time of Birth: 00:00    Date/Time 30 Minutes After Birth: 2022 Jan 01 00:30

**Please record if the following occurred in the first 30 minutes of birth**

**Resuscitation Details**

- No active resuscitation needed
- Unknown
- Suction and/or mild stimulation
- Free flow
- CPAP
- PPV via bag and mask or T Piece
- PPV via ET tube
- Chest compression >=30 seconds
- Epinephrine (ET or IV)
- Palliative care or DNR order

**If Palliative Care or DNR Order**

- Extreme immaturity     Major malformation
- Other     Unknown

**If Resuscitation provided**

Initial gas provided for resuscitation

- Air/oxygen = 21%
- Supplemental Oxygen > 21%     %     N/A
- 100% Oxygen
- Unknown

Maximum of oxygen in % provided during resuscitation         N/A

Discontinuation of all resuscitation measures due to no or poor response (time in minutes)

**Deferred cord clamping attempted?**

- Yes     seconds     N/A
- No
- Unknown

**Umbilical cord milking**

- Yes     No     Unknown

Record information on this screen according to the first 30 minutes of birth. At least one checkbox under Resuscitation Details must be selected for validation to be complete. You do not have to fill this information out for readmissions, but do for all outborns.

Resuscitation Details	<p>Provide resuscitation details that the infant received in the first 30 minutes after birth irrespective of location of resuscitation. Note all that apply i.e. if infant required suction, stimulation, bag and mask and intubation; mark all items for the infant (mandatory field).</p> <ol style="list-style-type: none"> <li>1. <b>No active resuscitation needed:</b> Infant did not require any resuscitation. These are typically babies with Apgar scores higher than 8.</li> <li>2. <b>Unknown:</b> If the details of resuscitation are completely unknown. If the infant is intubated at birth, then infer intubation rather than unknown even if details of resuscitation before intubation are unknown. "Unknown" categories are to be used for infants for whom no details are known and cannot be inferred from available information.</li> <li>3. <b>Suction and/or mild stimulation:</b> If infant required oropharyngeal and/or nasal suction and/or stimulation then code here. Typically majority of infants who require resuscitation beyond this stage have this stage completed.</li> <li>4. <b>Free flow:</b> Check this box if infant received free flow oxygen at any point during resuscitation.</li> <li>5. <b>CPAP:</b> Check this box if the infant is given CPAP without artificial inflations or positive pressure ventilation.</li> </ol>
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	<p>6. <b>PPV via bag and mask or T piece:</b> Check this box if positive pressure ventilation is performed, including artificial inflations, using bag and mask or T piece.</p> <p>7. <b>PPV via ET tube:</b> Check this box if positive pressure ventilation is performed on an infant who received endotracheal intubation. Note that ETT insertion for the purpose of suction of meconium is excluded and should not be marked here. However, if the same infant after suction requires intubation and receives positive pressure ventilation, then such infants would classify as yes in this category.</p> <p>8. <b>Chest compression for <math>\geq 30</math> seconds:</b> For chest compressions provided for <math>&lt; 30</math> seconds, do not check box. If duration is unknown and can not be inferred from supplemental information such as need for multiple doses of epinephrine etc; leave this unchecked. Compressions for <math>&lt; 30</math> seconds are not collected.</p> <p>9. <b>Epinephrine (ET or IV):</b> If the baby received epinephrine via ETT or PIV or umbilical catheter, then check this box.</p> <p>10. <b>Palliative care or DNR order:</b> If the infant was planned to have palliative care after birth due to life limiting diagnoses and no active resuscitation was planned because of extreme prematurity or any other causes, check this box. Distinguish this clearly from first category where infant does not need resuscitation (maximum 50 characters in “Other” category).  <b>Enter the reason for palliative care or DNR order.</b> Select from extreme immaturity, major malformation, other or unknown. (Mandatory if palliative care or DNR order is selected)</p>
Initial gas provided for resuscitation	If the infant received any form of active resuscitation, you would indicate what was the initial gas used during resuscitation (air, supplemental oxygen or 100% oxygen). This would be the amount of oxygen the infant received at first attempt of resuscitation. Only enter value that was used during initiation irrespective whether it was increased within a few seconds or not. Usually your unit policy should clearly indicate this. For Supplemental Oxygen $> 21\%$ , enter a value between 22 – 99%. Select N/A if the value is not available or unknown. (Mandatory field if Supplemental Oxygen is selected)
Maximum oxygen in % provided during resuscitation (note resuscitation may last $< 30$ minutes)	Indicate what was the maximum concentration of oxygen used during resuscitation (only during resuscitation). If initial gas provided during resuscitation is 100%, this field will be automatically populated indicating that maximum concentration was 100%. If any other concentration was used, you need to indicate maximum oxygen given during resuscitation. Select N/A if the value is not available or unknown. (Mandatory field) Choose the highest known FiO <sub>2</sub> during the time period. Ignore times when the FiO <sub>2</sub> is unknown.
Discontinuation of resuscitation due to no or poor response	For some unfortunate infants, resuscitative measures are not successful and at times resuscitation is discontinued. Note after how many minutes of resuscitation these measures were discontinued (note that this may be longer than 30 minutes).
Deferred cord clamping  Formerly labelled as “Delayed cord clamping”	Indicate whether deferred cord clamping was attempted. Select “yes” if cord was clamped $\geq 5$ seconds after birth. If deferred cord clamping was mentioned without a specific time, select “yes” and “N/A” for the “seconds” box. Select “no” if cord was clamped $< 5$ seconds after birth, or if immediate cord clamping was mentioned. If yes, enter the duration of the delay in seconds. Valid range from 5 to 300 seconds. Enter 300 if duration was longer than 300 seconds. Enter the lowest number if a range was given. Select “N/A” if the duration is not available or unknown. (Mandatory field if deferred cord clamping is yes)
Umbilical cord milking	Umbilical cord milking is defined as milking of the cord 3-5 times from placenta towards the baby at a rate of 5-10cm/second. Record “yes” if it is documented as cord milking was performed irrespective of number of times and rate of milking.

## SCREEN 5: TRANSPORT SCREEN DEFINITIONS

### Transport Reviewed

**Transport Data Available**  
 Yes  No

**If Yes: Transport Details**

Distance of transport  (km)  N/A

Departure date and time from transferring NICU  [Enter Date]  :   N/A

Date and time upon arrival to referred NICU  [Enter Date]  :   N/A

Mode of transport  Air  Ground  Unknown

Transport Team  Yes  No  Unknown

Transport personnel  MD  RT  
 RN  EMT  
 CNS/NNP  Other  
 Unknown

**Pre-Transport**

Data Not Available

Temperature (°C)  
 .   Unknown

Systolic BP (mm Hg)  
  Unknown

**Respiratory Status**  
 Severe (apnoea, gasping, intubated)  
 Mod. (RR > 60/min and/or SpO2 < 85)  
 None (RR <= 60/min and SpO2 >= 85)  
 Unknown

**Gestational age appropriate response to stimuli**  
 None, seizure, muscle relaxant  
 Lethargic response, no cry  
 GA appropriate response when handled  
 Unknown

**TRIPS Score =** N/A

**Complete the Transport Sheet for all outborn or readmitted infants transported into the study NICU from another hospital.**

Do **not** complete, but select “No” for Transport Data Available and check “Data not available”, for:

- inborn late admissions (see definition under Admission Status, pg. 22)
- patients transported between wards within your hospital
- patients admitted for the first time from home
- those born at home and transported to the hospital by ambulance
- infants transported out of your hospital
- cases admitted via emergency department or other internal departments.

Transport Data Available	Indicate whether or not any data to complete this screen is available for the infant. The rest of the fields will be activated only if the selection is “yes” (mandatory field).
Distance of transport	Refers to the distance <b>between</b> the referring (departing) hospital and the destination (arriving) hospital, <b>one way, entered in km</b> . If distance is unavailable, approximate the distance for both ground and air transport. Select N/A if information is unknown. (Mandatory field)
Departure date and time from transferring NICU	Date of transport from the transferring NICU. If transport occurred over midnight (i.e. two days) record the date that transport began Also record the time at which the transport team left the departing hospital. Do <b>not</b> record the time at which the transport team first arrived at the departing hospital. <b>Note: the time at which the transport team first begins recording vitals is NOT necessarily the departure time</b> . If at midnight, record as 00:00 (where midnight (00:00) is the first minute of a new day; for example: 23:59 on Jan 3 <sup>rd</sup> and 00:00 on Jan 4 <sup>th</sup> is 1 minute later). Select N/A if information is unknown. (Mandatory field)
Date and time upon arrival to referred NICU	Date of transport into the study NICU and the time at which the transport team arrives at the receiving hospital and vitals are being taken by your study hospital. The arrival time is often analogous to the admission time and the field is auto-populated with such. Select N/A if information is unknown. (Mandatory field)

Mode of transport	Record mode of transport as air or ground. Indicate both methods of transport by checking both boxes if applicable. Select unknown if information is not available.
Transport Team	Score “yes” if there was clear use of a critical care transport team to transport the patient on arrival to your NICU for admission.  If “yes”, select the name of transport team from the dropdown menu.  Score “no” if personnel in attendance were not part of a specific critical care transport team. Critical Care Transport teams often have dedicated staff and specific transport team documentation forms. Do not use these fields for any critical care transport team used on departure of the patient from your NICU.  Score “unknown” if information was not available. (Mandatory field)
Team Personnel	Record personnel in attendance throughout transport. MD (Doctor), RN (Registered Nurse), RT (Respiratory Therapist) EMT (Emergency Medical Technician; paramedic), Clinical Nurse Specialist/Neonatal Nurse Practitioner (CNS/NNP), other or unknown. Indicate multiple types of personnel in attendance by checking multiple boxes. Select “other” if the personnel category did not fit into any of the available options. Select “unknown” if information about personnel was not available or unclear. (Mandatory field)

### PRE-TRANSPORT

Refers to the outcomes on arrival of the transport team to the referring (departing) hospital (i.e. the condition in which the team finds the infant on arrival). If, for some reason, the transport team does not assess the patient for a particular item, use measurements taken from the referring hospital within two hours of the team’s arrival. If no measurement within two hours is available for a particular item, check “unknown”. A TRIPS score will be generated after all necessary information has been entered (i.e. no “unknown”) and you click the Save button.

If baby was not transported, select “Data Not Available” (mandatory field) in the first box.

Select “unknown” for all fields if baby was transported but information is not found.

Temperature	Body temperature in Celsius. Range valid from 25°C to 45°C. Use axillary or rectal, but not skin probe (temperature of the baby taken by the incubator). If no appropriate recording select unknown (mandatory field).
Systolic BP	Systolic blood pressure in mm Hg. Range valid from 5 mm Hg to 300 mm Hg. Arterial line pressures and cuff pressures should be weighted equally. If more than one blood pressure is recorded, score the average blood pressure. If no appropriate recording is available select unknown (mandatory field).
<b>Respiratory Status:</b> Severe	Record if infant is intubated and receiving mechanical ventilation. Also record if the infant is not intubated, but suffers from apneic spells or gasping or if the infant is being bagged.
<b>Respiratory Status:</b> Moderate	Record if respiratory rate is <i>greater than</i> 60 resps per minute <b>OR</b> oxygen saturation recording (SPO2) is <i>less than</i> 85 regardless of mode of ventilation (i.e. CPAP or oxygen treatment). Therefore, a patient breathing less than or equal to 60 resps per minute but who is actually on CPAP will be scored as ‘respiratory status-none’. This is because we are looking to capture changes in patient condition as opposed to severity of the condition itself. If both severe and moderate symptoms are displayed, score as severe (the higher of the two).
<b>Respiratory Status:</b> None	Record if respiratory rate is <i>less than</i> or equal to 60 breaths per minute <b>AND</b> oxygen saturation recording (SPO2) is <i>greater than</i> or equal to 85 regardless of mode of ventilation (i.e. CPAP or oxygen treatment). Therefore, a patient breathing less than 60 resps per minute but who is actually on CPAP will be scored as ‘respiratory status-none’. This is because we are looking to capture changes in patient condition as opposed to severity of the condition itself.
<b>Respiratory Status:</b> Unknown	Record if respiratory rate is missing. Selecting one field in Respiratory Status is mandatory.
<b>Gestational age appropriate response to stimulus:</b> None	Record if infant shows no gestationally appropriate response to stimulus. <b>Also record</b> if the infant has had <b>seizures</b> or been given <b>muscle relaxants</b> (i.e. pancuronium) within the last few hours of the scoring time.
<b>Gestational age appropriate response to stimulus:</b> Lethargic response, no cry	Record if infant has a lethargic gestationally appropriate response (i.e. slow to respond, no crying, reduced activity, poor response to painful stimuli such as heel prick or venepuncture, etc.) when exposed to a stimulus.

<p><b><i>Gestational age appropriate response to stimulus:</i></b> GA appropriate response when handled</p>	<p>Record if infant shows a normal gestationally appropriate response when exposed to a stimulus.</p>
<p><b><i>Gestational age appropriate response to stimulus:</i></b> Unknown</p>	<p>Record if a gestationally appropriate response to stimulus is missing.</p> <p><b>Selecting one field in Gestational age appropriate response to stimulus is mandatory.</b> <b>Score the most intense response demonstrated or documented.</b></p>

## **SCREEN 6: TRIPS SCREEN**

### TRIPS

Reviewed

Day of Admission: 2020 Dec 01 Time of Admission: 05:55

TRIPS on admission to NICU

Data Not Available

Temperature (°C)

Unknown

Systolic BP (mm Hg)

Unknown

Respiratory Status

Severe (apnoea, gasping, intubated)  
 Mod. (RR > 60/min and/or SpO2 < 85)  
 None (RR <= 60/min and SpO2 >= 85)  
 Unknown

Gestational age appropriate response to stimuli

None, seizure, muscle relaxant  
 Lethargic response, no cry  
 GA appropriate response when handled  
 Unknown

TRIPS Score = N/A

**TRIPS score data are mandatory collection for all inborn and outborn infants.**

### **TRIPS on admission to NICU**

(a) Data are collected upon arrival to your NICU for outborn infants, and from first set of vitals collected after stabilization and admission to NICU for inborn infants.

(b) For each of the four items, **use the first available measurement within two hours of admission**. The four items may be obtained from different time points, but must be within two hours of admission.

E.g. If baby is admitted at 0800 hr to your NICU, use the measurements taken as close to 0800 hr as possible. Include measurements taken up to and including 1000 hr.

(c) If measurement is not available within two hours of admission for a particular item, check “unknown”.

The same four items are recorded here as in pre-transport on the transport sheet: temperature, systolic blood pressure, respiratory status and response to a gestational age appropriate stimulus.

Refer to the definitions listed under the Transport Screen for these items, but remember to record them in the appropriate scoring time period. The TRIPS scores will be generated automatically after all necessary information has been entered (i.e. no “unknown” selections) and you click the Save button or go to another screen.

## SCREEN 7: SNAP SCREEN DEFINITIONS

**SNAP**
 Reviewed

Day of Admission: 2022 Jan 03
Time of Admission: 00:00
Date/Time 12 Hours After Admission: 2022 Jan 03 12:00

Transferred/Died within 12 hours

**In the first 12 hours of admission**

	Highest	Lowest	N/A
Mean Blood Pressure		<input type="text"/>	<input type="checkbox"/>
Heart Rate	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>

**Arterial Blood Gases**

		FiO2	pO2	If Low Flow FiO2 Flow
With Lowest pO2	<input type="checkbox"/> N/A	<input type="text"/>	<input type="text"/>	<input type="text"/>
With Highest MAWP	<input type="checkbox"/> N/A	<input type="text"/>	<input type="text"/>	<input type="text"/>
With Highest FiO2	<input type="checkbox"/> N/A	<input type="text"/>	<input type="text"/>	<input type="text"/>

**In the first 12 hours of admission**

Lowest Temperature	<input type="text"/>	<input type="checkbox"/> N/A
Lowest Serum pH	<input type="text"/>	<input type="checkbox"/> N/A
First Lactate	<input type="text"/>	<input type="checkbox"/> N/A
Urine (cc)	<input type="text"/>	<input type="checkbox"/> N/A

**Umbilical Cord Gases**

		pH	pCO2	pO2	HCO3	Base Deficit	Base Excess
UA	<input type="checkbox"/> N/A	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
UV	<input type="checkbox"/> N/A	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Unspecified	<input type="checkbox"/> N/A	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Seizures**

 None   
  Single   
  Multiple   
  Unknown

SNAP II Score = N/A

SNAP II PE Score = N/A

*SNAP data on day one should be scored from the time of admission (defined as the recorded time that the patient arrived to the NICU. If such timing is not available, use the time of first vital signs recorded in the NICU.) for twelve (12) hours. Values occurring during an operation should be included. If you are missing information from the scoring period either because a flow sheet is missing, because the baby was transferred out, or died during the scoring period, complete the screen using the information that is available. The SNAP II and SNAP II PE scores are generated automatically once appropriate information is entered and the user clicks Save or moves to another screen. If the baby is transferred/died within the 12 hours, tick the checkbox at the top of the screen accordingly. If it is ticked, the SNAP score will not be calculated since it is based on twelve hours only.*

### **Vital Signs:**

Vital signs recorded while a baby is in the most stable condition possible. Score only non-zero values recorded in the chart for babies who are dying (i.e. **do NOT score the low heart rate as zero for babies who die during a scoring period**).

Values listed as a range should be scored as the midpoint. Values listed as “< a certain value” should be scored as point one (0.1) less than the value listed (e.g. a low temp of <34 should be scored as 33.9). Similarly, values listed as “> a certain value” should be scored as point one (0.1) more than the value listed.

### **Lab Values:**

Lab values should be included in the scoring period if they are **drawn during the scoring period**. Time of draw should be taken from the flow sheet when this is explicitly recorded. If the time of the draw is not explicitly recorded on the flow sheet, assume the time the lab received the samples is within 15 minutes of the draw (in other words, include values listed as occurring within 15 minutes of the end of the scoring period).

Computer values should generally be considered more accurate than flow sheet/progress note values unless they are clearly being discounted by the clinicians. Lab values discounted by clinicians should not be recorded on SNAP. Hemolyzed values are acceptable. Pathology blood draws, cord specimens and other non-blood draws (CSF, urine) should **not** be scored on SNAP. Do not score “diluted” lab values or samples that are contaminated.



Transferred / Died within 12 hours	If this applies to the patient, check the box
Mean Blood Pressure: Low	Lowest mean arterial pressure (MAP), also called mean blood pressure (MBP), during the <b>time period</b> , as recorded in the nursing flow sheet. Arterial line pressures and cuff pressures should be weighted equally. If these values are very different, ask a staff member in the NICU or contact the CC for advice. If only systolic and diastolic pressures are recorded, assume <b>mean blood pressure = diastolic + 1/3 (systolic - diastolic)</b> . E.g. 55/43: MBP = 43 + 1/3 (55-43) = 47. If only one blood pressure is recorded during the scoring period, enter this value as both the high and low value. <b>Do NOT score the low blood pressure as 0 for babies who die during a scoring period.</b> Select N/A if information is not available or unknown. (Mandatory field)
Heart Rate: High	Highest heart rate during the <b>time period</b> sustained for more than one minute continuously. Do not include transient heart rate values that reflect bradycardia associated with apnea/desats. If only one heart rate is recorded during the scoring period, enter this value as both the high and low value. Select N/A if information is not available or unknown. (Mandatory field)
Heart Rate: Low	Lowest heart rate during the <b>time period</b> sustained for more than one minute continuously. Do not include transient heart rate values that reflect bradycardia associated with apnea/desats. If only one heart rate is taken during the scoring period, enter this value as both the high and low value. <b>Do NOT score the low heart rate as zero (0) for babies who die during a scoring period.</b> Select N/A if information is not available or unknown. (Mandatory field)
Lowest Temperature	Lowest body temperature during the time period (axillary or rectal but not skin probe, which is the baby's temperature recorded through the isolette) recorded in Celsius. Select N/A if information is not available or unknown. (Mandatory field)
Lowest Serum pH	Lowest pH during the <b>time period</b> . This may be obtained by arterial (ABG), capillary (CBG) or venous (VBG) blood gases. Select N/A if information is not available or unknown. (Mandatory field)
First Lactate	Record the first lactate or lactic acid value reported from baby's blood. It could be venous, capillary or arterial. Do not include cord blood values. Select N/A if information is not available or unknown. (Mandatory field)
Urine CCs	Total CCs of urine output during the <b>time period</b> . <b>Do not</b> divide by birth weight. <b>If notes indicate that 20% or more of the total output for the time period was lost/unmeasured</b> (recorded as mixed with stool, "VOID", or overflow) <b>then select N/A</b> . To calculate whether 20% was lost, if all urine output values list specific numbers, determine whether the uncertain values (CCs recorded as mixed with stool or overflow) make up 20% of the total CCs. If some values are not recorded at all (recorded as "VOID") then determine whether the uncertain values (unmeasured diapers) make up 20% of the total number of diapers. Select N/A if information is not available or unknown. (Mandatory field)
Seizures	If only one seizure was confirmed, score as "single." If more than one seizure was confirmed, score as "multiple." Confirmed is defined as witnessed by two (2) or more clinicians or diagnosed by EEG or amplitude integrated EEG. Use of antiepileptics (phenobarbital) ALONE is not enough evidence for diagnosis. However, if antiepileptics are ordered by one clinician, and seizure is observed by a DIFFERENT clinician, assume the seizure is confirmed. Score "none" if the infant did not have seizures during the SNAP scoring period. Select "N/A" if information is not available or unknown. (Mandatory field)

### **ARTERIAL BLOOD GASES**

Record only **arterial** blood gases. Select N/A if information is not available or unknown. If there is only one arterial blood gas available, enter the information required in the first line of blood gas with lowest pO<sub>2</sub>, and select N/A for the remaining rows. When two values are available for blood gases (original and corrected for body temperature), use the corrected values. At least one item per row is required, or N/A must be selected.

Arterial Blood Gas with lowest pO <sub>2</sub>	Select the <b>arterial</b> blood gas (ABG) with the lowest pO <sub>2</sub> . If there are several blood gases at the same lowest pO <sub>2</sub> , record the one occurring first. Record the FiO <sub>2</sub> (21% - 100%) at the time blood was drawn, and the PO <sub>2</sub> from this blood gas.  <b>FiO<sub>2</sub></b> should be left blank if the baby was on blow-by oxygen at the time of the draw or if the FiO <sub>2</sub> is unavailable. If the baby was on room air, record FiO <sub>2</sub> as 21. FiO <sub>2</sub> s listed while "bagging" should be recorded.  If the baby is on low flow oxygen during SNAP scoring period, please enter the value of the flow of additional gas in ml/min in the last column. At the same time you will need to enter how much oxygen concentration is given to the baby in the flow mixture. <b>Examples:</b>
--	---

	<p>1. If baby is getting 20 cc of low flow air - enter 20 cc in the last column and 21% in the FiO2 column.</p> <p>2. If baby is getting 20 cc of low flow oxygen - enter 20 cc in the last column and 100% in FiO2 column.</p> <p>3. If baby is getting 20 cc of air and 50% oxygen mixture - enter 20 cc in the last column and 50% in FiO2 column.</p>
Arterial Blood Gas with highest MAWP	<p>Select the <b>arterial</b> blood gas with the highest mean airway pressure. If this is the same gas recorded above in the lowest PO2 row, select the gas with the next highest MAWP instead. If there are several blood gases at the same highest MAWP, record the one with the lowest PO2. If there are several gases with the same highest MAWP <b>and</b> the same lowest PO2, record the gas occurring first. If MAWP is '0' for the entire scoring period because the baby was never on assisted ventilation or no MAWPs are available, leave this row blank.</p> <p>Record the FiO2 (21% - 100%) at the time blood was drawn, and the PO2 from this blood gas.</p> <p><b>FiO2</b> should be left blank if the baby was on blow-by oxygen at the time of the draw or if the FiO2 is unavailable. FiO2s listed while "bagging" should be recorded.</p> <p>If the baby is on low flow oxygen during SNAP scoring period, please enter the value of the flow of additional gas in ml/min in the last column. At the same time, you will need to enter how much oxygen concentration is given to the baby in the flow mixture.</p> <p><b>Examples:</b></p> <p>1. If baby is getting 20 cc of low flow air - enter 20 cc in the last column and 21% in the FiO2 column.</p> <p>2. If baby is getting 20 cc of low flow oxygen - enter 20 cc in the last column and 100% in FiO2 column.</p> <p>3. If baby is getting 20 cc of air and 50% oxygen mixture - enter 20 cc in the last column and 50% in FiO2 column.</p> <p><b>MAWP</b>-If on CPAP only, you may use CPAP value as MAWP if there is no MAWP listed. No distinction is made between nasal (facial) and endotracheal CPAP. If MAWP recordings do not correspond with blood gas times, assume constant MAWP between recordings.</p>
Arterial Blood Gas with highest FiO2	<p>Select the <b>arterial</b> blood gas with the highest FiO2. If this is the same gas recorded above in the lowest PO2 row OR in the highest MAWP row, select the gas with the next highest FiO2 instead. If there are several blood gases with the same highest FiO2, select the one with the lowest PO2. If there are several gases with the same highest FiO2 <b>AND</b> the same lowest PO2, select the gas occurring first.</p> <p>Record the FiO2 (21% - 100%) at the time blood was drawn, and the PO2 from this blood gas.</p> <p><b>FiO2</b> should be left blank if the baby was on blow-by oxygen at the time of the draw or if the FiO2 is unavailable. FiO2s listed while "bagging" should be recorded.</p> <p>If the baby is on nasal canula or oxygen hood during SNAP scoring period, please enter the value of the flow of additional gas in ml/min in the last column. At the same time you will need to enter how much oxygen concentration is given to the baby in the flow mixture.</p> <p><b>Examples:</b></p> <p>1. If baby is getting 20 cc of low flow air - enter 20 cc in the last column and 21% in the FiO2 column.</p> <p>2. If baby is getting 20 cc of low flow oxygen - enter 20 cc in the last column and 100% in FiO2 column.</p> <p>3. If baby is getting 20 cc of air and 50% oxygen mixture - enter 20 cc in the last column and 50% in FiO2 column.</p>

### **UMBILICAL CORD GASES**

Record umbilical cord gases values from venous and arterial samplings. Cord gases values are auto-populated for readmissions of each baby. Select N/A if information is not available. At least one item per row is required or N/A must be selected.

**UA: Umbilical Arterial**

**UV: Umbilical Venous**

**Unspecified: Unspecified source**

**Base Excess / Base Deficit:** Record either Base Excess **or** Base Deficit as found on the lab report, and leave the other field blank. Each centre should be consistently reporting one of these two variables. Valid range from -30.0 to 30.0.

## SCREEN 8: PATIENT CHART SCREEN DEFINITIONS

Jan-2022
 

 Gestational Age: 28 Weeks 0 Days
  Reviewed

Item	03	04	05	06	Total
Other Facility					
HFOV					
HFJV					
IPPV					
NI Ventilation					
CPAP					
High Flow					
Oxygen					
Low Flow Air					
NO					
TPN					
UA					
PAL					
UV					
Surgical CVL					
PICC					
PIV					
Transfusion					
Narcotic Infusion					
Sedatives					
Inotropes					
Antibiotics					
NPO					
Breast Milk					
Donor Milk					
Formula					
VTV					
Item	03	04	05	06	Total

This chart describes daily resource utilization by individual patients (absolute calendar day value. Day is considered from 00:00 hours to 23:59 hours on that calendar date). Resuscitation details which occur in the first 30 minutes after birth should be recorded in the Resuscitation Screen.

You need to click only boxes that apply to your patient. The chart will start from the date of admission and can be moved forward or backward as needed in terms of time. Until you submit the data this chart is editable. You can easily correct mistakes made in data entry. A group of respiratory support variables are included. Please read the explanation carefully as we would like to collect only the highest support received to a patient on a particular day. The chart is customizable for colour of row and column highlight as well as day to start and complete database. Please note that you can select multiple consecutive days by clicking on the start date, pressing and holding down the "Shift" key, and clicking again on the end date to automatically select all in between. ***Therapies administered during an operation should be included.***

Item	Standard list of treatment items to select if patient is on item on a given day. Up to four additional items can be added for an individual site's own data collection upon request to CC.
Day column	Day on which an item is administered.  If the selected month is the admission month, the first day column displayed will be the admission day.  If the selected month is the current month and baby is not discharged, the last day column shown will be today.  If the selected month is the current month and the baby is discharged already, the last day column shown will be the discharge day.  This is the absolute calendar day value. Day is considered from 00:00 hours to 23:59 hours on that calendar date. The Day Of Admission and Day Name can be displayed if you hover over the header cell.
Total	Total number of days <b>during the entire patient stay</b> that a certain item was administered. This value is updated dynamically when cells are checked/unchecked.

Below are details and explanation of what should be included in each grid of data collection. Note that for respiratory support, you will have to select the highest support received by infant on a particular day and not all types of support that the patient received.

#	Item	Description of Item and Selection Criteria	
1	Other facility	If patient has gone to other facility for >a majority of part of 24 hours and returned back to your facility click this box. Do not use this box if patient is discharged from your hospital and is not expected/not returned within 24 hours. This box is only for those patients who have left your unit temporarily and are likely to return or have returned to your institute within 24 hours.	
2	HFOV (High frequency oscillatory ventilation)	<p><b>For patient chart days in 2020 and onwards, select HFOV or HFJV where it applies.</b></p> <p><i>Only one of HFOV and HFJV can be selected for any given day on the patient chart.</i></p>	<p>These items are indicative of respiratory support. <b>Only one of these items should be checked for a particular day. The highest support received by an infant should be checked for each day.</b> Please do not include bagging for the treatment of apnea.</p> <p>The hierarchy would be HFV/HFOV/HFJV followed by IPPV, followed by NI Ventilation, followed by CPAP and then High Flow. Enter the maximum support even if baby did not respond to the highest support.</p>
3	HFJV (High frequency jet ventilation)		
4	IPPV		
5	NI Ventilation	Intermittent positive pressure ventilation (IPPV): This includes all forms of mechanical ventilation with endotracheal tube (except HFOV and HFJV), as well as Neurally Adjusted Ventilatory Assist (NAVA), Synchronized Intermittent Positive Pressure (SIMV), Pressure Support Ventilation (PSV) and Volume Guarantee (VG).	
		Non invasive ventilation: This includes all forms of non-invasive positive pressure support such as nIPPV, SNIPPV (synchronized nasal intermittent positive pressure ventilation), where on the ventilator machine the respiratory rate is set. NIHFV (non-invasive high frequency oscillation ventilation) and non-invasive NAVA (Neurally Adjusted Ventilatory Assist) are included.	
6	CPAP	Continuous positive airway pressure (CPAP): Includes bubble CPAP, infant flow driver CPAP, BiPAP (Biphasic positive airway pressure), SiPAP (Sigh-positive airway pressure). Please note that in SiPAP mode of ventilation, the rate set is for cycling between two levels of CPAP and not rate of ventilation. CPAP is scored regardless of route administered. CPAP can be scored if it is administered via any of: tracheostomy, endotracheal tube or prongs.	

7	High Flow	Click this if the infant is receiving oxygen or air at a rate >1.5 litres/minute. Also score if infant is getting humidified air at >1.5 litres/minute but in room air (e.g. AIRVO).
8	Oxygen	Click this if infant is receiving oxygen higher than 21% at any given time of day. This is irrespective of whether patient is receiving mechanical ventilatory support or not. This includes if patient is receiving oxygen only for suctioning or while bottle feeding etc. This will also capture low flow oxygen as only this box will be checked. Also score if infant is getting additional oxygen (higher than 21%) via connected flow (e.g. AIRVO).
9	Low Flow Air	Click this if infant is receiving low flow air via nasal cannula and not oxygen. Low flow air can be selected only if the patient is on low flow air without additional oxygen (i.e. FiO <sub>2</sub> =21%) and is not receiving any of items 2-7 (HFOV, HFJV, IPPV, NI ventilation, CPAP, or High Flow) at any time during the 24 hour period.
10	NO	Use of nitric oxide on that day
11	TPN	Use of total parenteral nutrition (aminoacids with or without lipids) on that day
12	UA	Umbilical arterial catheter on that day. Only report if it was being used in NICU.
13	PAL	Peripheral arterial catheter on that day. Only report if it was being used on that day. Patients with two catheters on same day will be marked once only.
14	UV	Umbilical venous catheter on that day. Only report if it was being used on that day. Mark even if it is only saline locked or heparin locked. Patients with two catheters on same day will be marked once only.
15	Surgical CVL	Central venous catheter other than umbilical venous catheter inserted surgically or by cutdown. This includes surgically placed catheters, broviac lines, HICKMAN™ catheters, central portacath etc. Only report if it was being used on that day. Patients with two catheters on same day will be marked once only. This also includes PICC lines inserted via “cut down” in the NICU or OR.
16	PICC	Peripherally inserted central catheter typically placed in the NICU. It may be placed by image guided therapy by a radiologist. Only report if it was being used on that day. Mark even if it is only saline locked or heparin locked. Patients with two catheters on same day will be marked once only.
17	PIV	Peripheral intravenous catheter (including intraosseous line) on that day. Only report if it was being used on that day. Mark even if it is only saline locked or heparin locked. Patients with two catheters on same day will be marked once only.
18	Transfusions	Click the day when blood or platelet transfusion was initiated. Do not include any other blood products. <b>Only click the day of initiation.</b> Do not click subsequent day(s) when the transfusion spanned over multiple days.  Note: The total number of transfusions is collected in the Cultures/Transfusion Screen.  <i>Packed red blood cells – yes</i> <i>Whole blood (regardless of reason) – yes</i> <i>Platelets – yes</i> <i>Fresh Frozen Plasma - no</i> <i>Cryoprecipitate - no</i> <i>Albumin - no</i> <i>Immunoglobulin – no</i>
19	Narcotic Infusion	Days when infant is receiving narcotic infusion. This includes morphine, fentanyl, alfentanil and newer narcotics. Continuous infusion and not bolus infusion. Do not include epidural given post-operatively and epidural Fentanyl.
20	Sedatives	Days when infant is receiving sedatives such as midazolam, chloral hydrate, dexmedetomidine etc. This could be oral or parenteral, and intermittent or infusion.
21	Inotropes	Days when infant is receiving dopamine, dobutamine, epinephrine, norepinephrine, milrinone, vasopressin and phenylephrine. Use of epinephrine for resuscitation does not count in this field.
22	Antibiotics	Days when infant is receiving treatment doses of systemic antibiotics. This includes antibiotics used in pre- and post-surgery, and antibiotics for Congenital Toxoplasmosis.

		<u>Excludes:</u> topical antibiotics, antibiotics used for other conditions (such as Trimethoprim for reflux, UTI prophylaxis, Fluconazole prophylaxis for antifungal). In Patient Chart Screen, DO NOT score antibiotics given for prophylactic interventions.
23	NPO	Days when infant is not fed anything orally over entire 24 hour period. If infant is receiving any oral feeds, including sham feeds, do not score this item. NPO and any of Breast Milk, Donor Milk and Formula cannot be selected on any given day.
24	Breast Milk	Use of biological mother's breast milk $\geq 0.5$ ml given enterally irrespective of frequency during a given day. Do not include Oral Immune Therapy.
25	Donor Milk	Use of donor human breast milk $\geq 0.5$ ml (including that from non-biological mother) given enterally irrespective of frequency during a given day.
26	Formula	Use of formula $\geq 0.5$ ml given enterally irrespective of frequency during a given day.

**Note: For items 19-22, please indicate the days on which medication was actually administered.**

## SCREEN 9: DIAGNOSIS/PROCEDURES SCREEN DEFINITIONS

### Diagnosis / Procedures

Reviewed

**Prophylactic Interventions**

Indomethacin

Antifungal

Probiotics

Other

**PDA**

Yes  No  Unknown

If Yes:

Treated  Not Treated

If Treated:

Acetaminophen  Ibuprofen

Indomethacin  Ligation

Diagnosed By

Clinical  Echo

Surgical  [Enter Date]  N/A

Device Closure  [Enter Date]  N/A

**Any Time Operations/Procedures**

Yes  No  Unknown

ECMO  Ostomy

Laparotomy  Other CNS surgery

Thoracotomy  Others

Reservoir/Drain  [Enter Date]  N/A

VP Shunt  [Enter Date]  N/A

**CNS Seizures**

None  Definite

Suspected  Unknown

Treated  Yes  No

**Gastro-Intestinal Perforation**

None

NEC Related  SIP Date and Treatment [Enter Date]

Spontaneous/Other

Unknown  Surgical  Peritoneal Drainage

**HIE**

None  Stage II

Not Available  Stage III

Unknown Stage

Stage I

**Thrombosis**

Yes  No  Unknown

If Yes:

Arterial  Venous  Cardiac  Location Unknown

Aortic  Umbilical venous

Renal  Portal

Cerebral  Renal

Other  Cerebral venous

Unknown  Other  Unknown

**NEC Stage 2 or Higher**

Yes  No

If Yes:

NEC Date (First episode)  [Enter Date]

Diagnosed By

Autopsy/Biopsy

Clinical

X-Ray

Ultrasound

Unknown

Stages

II

III

Unknown

NEC Treatment

Surgical  Peritoneal Drainage

Were there multiple episodes?

Yes  No  Unknown

**Lungs RDS**

None  Uncertain

Definite  NA / Unknown

**Pneumothorax**

Pneumothorax

If Yes:

Pneumothorax Date  [Enter Date]

Treatment

No Intervention  Chest Tube

Needle Drainage  Unknown

**Treatment**

Conservative

Catheter (line) removal

Standard Heparin

Low molecular weight heparin

Other anticoagulants

Other treatment

Unknown

**Method of diagnosis**

Clinical

Imaging

Unknown

Congenital Anomalies  Other Diagnosis

	Congenital Anomaly Type	System
*		

**Score all major diagnoses and procedures that that you are aware for the infant on this screen.** If a baby is transferred into your NICU, score only issues pertaining to the baby **at the time of transfer** into your NICU. If the initial diagnosis of a current issue occurred at the transferring hospital, use the admission date to your NICU as the diagnosis date.

Likewise for readmission records, you are not required to record diagnoses that have already been resolved in the initial admission. Note that only ongoing and new issues pertinent to this particular (readmission) visit need be recorded. Do **not** score questionable diagnoses except where the data item has an uncertain/suspected category (i.e. RDS, seizures). **If there are conflicting diagnoses, where available use autopsy findings as they are more reliable than diagnostic tests.**

<b>Prophylactic Interventions</b>	Check off any applicable interventions that have been given to the patient. These interventions have to be given for prophylactic purposes only. They have to be administered before a relevant diagnosis is ascertained. These interventions are usually given in the first 24-36 hours after birth. For interventions not listed, select "other" and write the intervention in the free text box (maximum 50 characters).
Indomethacin	Check this if indomethacin was used within the first 24 hours of life and was not used for the purpose of PDA treatment. There would have been a unit policy to give indomethacin for the prevention of IVH.
Probiotics	Check this if infant was started on any form of probiotics for prevention of NEC and/or sepsis.
Antifungal	Administration of antifungal agent e.g. Fluconazole, Nystatin or other antifungal agent from birth or immediately after birth with explicit interest of <b>prevention</b> of fungal infection. Initiation of antifungal agent for suspected fungal infection should <i>not</i> be counted here. This is usually based on unit policy.
Other	If infant was commenced on any other intervention that were reported to be of prophylactic nature.
CNS: <i>Seizures</i>	<p>Occurrence of seizures at any time during the hospital stay.</p> <p><b>Score "None"</b> if there were no seizures or seizure-like movements mentioned during the hospital stay.</p> <p><b>Score "Suspected" if:</b></p> <ul style="list-style-type: none"> <li>➤ observed by only one clinician</li> <li>➤ there were movements of uncertain significance observed by more than one person. Descriptions of seizure like movements should be considered movements of uncertain significance when not accompanied by a diagnosis of seizures or administration of phenobarbital.</li> </ul> <p><b>Score "Definite" if:</b></p> <ul style="list-style-type: none"> <li>➤ witnessed by 2 or more clinicians</li> <li>➤ diagnosed by EEG or a-EEG</li> <li>➤ there is one clinical observation of seizure like movements coupled with administration of phenobarbital <i>or</i> with a diagnosis of seizures by a <i>different</i> clinician. The use of antiepileptics/ anticonvulsants (i.e. phenobarbital) is not alone evidence of definitive diagnosis, but can be considered as confirmation if prescribed by a second clinician.</li> </ul> <p>When an EEG is normal and contradicts a seizure diagnosis, score according to attending physician/neurologist diagnosis made <i>after</i> reviewing the EEG results.</p> <p><b>Score "Unknown"</b> if information is not available not unknown.</p> <p>Indicate whether the seizure was treated by checking the appropriate box to the right.</p>
CNS: <i>Hypoxic-Ischemic encephalopathy (HIE)</i>	<p>Score this section <b>only</b> if all of the following preliminary conditions are met</p> <ol style="list-style-type: none"> <li>1. Documented evidence of an acute perinatal event such as fetal distress, cord prolapse, uterine rupture, reduced fetal movements, abruption, antepartum hemorrhage or emergency cesarean section due to fetal distress</li> <li>2. Evidence of intrapartum hypoxia: at least one of: <ol style="list-style-type: none"> <li>a. Apgar score of <math>\leq 5</math> at 10 minutes;</li> <li>b. Mechanical ventilation or resuscitation within 10 minutes;</li> <li>c. Cord pH <math>&lt; 7.00</math> (venous or arterial), or an infant arterial pH <math>&lt; 7.00</math> or base deficit <math>\geq 12</math> within 60 minutes of birth</li> </ol> </li> </ol> <p><b>Record data for encephalopathy if the above criteria are met.</b></p> <ol style="list-style-type: none"> <li>1. <b>None:</b> either baby does not meet entry criteria, or baby meets <u>some</u> criteria but has <u>no</u> evidence of encephalopathy</li> <li>2. <b>Not available:</b> baby died before a diagnosis could be made or data is unavailable</li> <li>3. <b>Unknown Stage:</b> (either of) <ol style="list-style-type: none"> <li>a. baby meets entry criteria but data on staging could not be ascertained</li> <li>b. baby did not meet entry criteria but hypothermia treatment initiated</li> </ol> </li> <li>4. If the chart mentions staging of encephalopathy, use the highest grade at any time during the stay in NICU (Stage I = <b>Mild</b>; Stage II = <b>Moderate</b> and Stage III = <b>Severe</b>)</li> <li>5. If seizures are present, record as Stage II or Moderate encephalopathy</li> <li>6. If the chart is unclear about staging, check for data on neurological examination and record <ol style="list-style-type: none"> <li>a. Stage I or Mild: irritability, jitteriness, hyperalertness</li> <li>b. Stage II or Moderate: lethargy, hyper-reflexia, miosis, bradycardia, seizures, abnormal tone, weak suck, incomplete Moro reflex, decreased activity, distal flexion, complete extension, hypotonia (focal or general), constricted pupils and periodic breathing</li> <li>c. Stage III or Severe: stupor, coma, flaccidity, deviated, dilated or small to mid-position pupils which react poorly to light, decreased stretch reflexes, hypothermia, absence of Moro reflex and sucking, decerebrate posture, variable heart rate and apnea</li> </ol> </li> </ol> <p>The Encephalopathy screen will activate only when Mild, Moderate, Severe, or Unknown Stage is selected.</p>



<p>PDA</p>	<p>First question would be whether infant has PDA or not, or if it is unknown.          If infant has no clinical suspicion of PDA – enter no.          If infant received treatment for PDA – enter yes.          If infant had clinical or echocardiographic suspicion of PDA – enter yes.</p> <p><b><i>Do not score PDA if the ductus arteriosus is artificially kept open due to critical cardiac defect</i></b>, which is done by giving Prostaglandin/Alprostadi/Prostin. In such scenario, PDA is not an anomaly. It happens when the patient has duct dependent cardiac malformation such as transposition of great vessels, hypoplastic left heart, and condition associated with single ventricle physiology.</p> <p><b><i>If PDA was detected, then was it treated? yes or no</i></b></p> <p>If it was treated – how? (select all that applies)</p> <ol style="list-style-type: none"> <li>1. Acetaminophen</li> <li>2. Ibuprofen</li> <li>3. Indomethacin</li> <li>4. Ligation (<b><i>also score as Operations/Procedures “Others”</i></b>)</li> </ol> <p>If PDA ligation was performed, select the relevant procedure(s) and the date(s):</p> <ol style="list-style-type: none"> <li>1. Surgical closure and date</li> <li>2. Device closure (a device was inserted by cardiac catheterization for ductal occlusion) and date</li> </ol> <p>Select N/A if the procedure date is not available.</p> <p><b>Indicate how PDA was diagnosed</b> – clinical or via echocardiography. Do not score only for the fact that infant had an echocardiogram, but score if PDA was diagnosed by this route. You can select both options if applicable.</p> <p>If PDA was diagnosed and the gestational age at birth was more than or equal to 37 weeks, also score PDA as a congenital anomaly.</p> <p>If PDA ligation was performed, please also select "Thoracotomy" under Any Time Operations/Procedures if applicable.</p>
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RDS	<p><b>Respiratory distress syndrome (RDS)</b>, sometimes called hyaline membrane disease (HMD), should be diagnosed within the first two days after birth (ie on day 1 or 2). The classical signs of RDS are less commonly seen today because of the use of exogenous surfactants for RDS prophylaxis and early rescue. RDS most commonly occurs in preterm babies but can occur in term babies.</p> <p>RDS is recorded by clinical certainty and not by severity.</p> <p>There is a hierarchy to the identification of a baby with RDS: firstly, the chest x-ray report from day 1 or 2; secondly according to physician diagnosis; and thirdly, if there is surfactant administration after 2 hours of age (on day 1 or 2). This hierarchy is to be used when there are conflicting diagnoses. However, if any one of the sources is definite, and the others are not, score RDS according to what definite information you have. If the evidence is conflicting, you may seek clarification from an involved physician.</p> <p>Score “<b>Definite</b>” if:</p> <p>(1) a chest x-ray or ultrasound report from day 1 or 2 reports definite RDS, Hyaline membrane disease (HMD) OR</p> <p>(2) clinicians specify definite RDS based on typical symptoms and signs (grunting and retractions and/or oxygen requirement), and/or a typical chest x-ray (diffuse granularity, “ground glass”), OR</p> <p>(3) exogenous surfactant is administered beyond 2 hours of age (on day 1 or 2) but not for meconium aspiration syndrome or pneumonia or pulmonary hemorrhage.</p> <p>If the CXR or ultrasound expresses doubt about the diagnosis, review the physician diagnosis with attention to dates of diagnoses as compared with x-ray dates, and find out if surfactant was given.</p> <p>Score “<b>None</b>” if:</p> <p>(1) the clinicians describe “respiratory distress” without a specific diagnosis of RDS, no radiological confirmation, and no administration of surfactant, OR</p> <p>(2) there is a confirmed alternative pulmonary condition (for example “transient tachypnea of the newborn”, “meconium aspiration syndrome”, “congenital pneumonia”) and no RDS according to any of the three definitions, OR</p> <p>(3) if there is no respiratory distress and none of the three definitions are satisfied.</p> <p>Score “<b>Uncertain</b>” if:</p> <p>(1) there was respiratory distress in the first 2 days of age but the clinicians recorded doubt about the diagnosis of RDS, OR</p> <p>(2) surfactant was given in the first 2 hours after birth and there was no subsequent confirmation of diagnosis of RDS by CXR/ultrasound or clinically, and no further treatment with surfactant.</p> <p>Do not score uncertain if you are unsure about what the clinicians are saying. In this case, investigate further in the chart, or ask one of the clinicians in the NICU about RDS.</p> <p>Score “<b>Unknown/NA</b>” if the baby died shortly after birth and no diagnosis of RDS was made.</p>
Pneumothorax	Occurrence of pneumothorax, as diagnosed by chest x-ray, thoracentesis with documented removal of air or autopsy report. While placement of a chest tube is a common response, it is not necessary for diagnosis. This field does not include pulmonary interstitial emphysema (PIE).
Pneumothorax date and treatment	<p>Date of occurrence of the <b>first definite</b> pneumothorax by chest x-ray, documented needle aspiration <b>or autopsy report</b>. <b>If the first time a pneumothorax is diagnosed is by autopsy report, score the date of death (not the date of autopsy) as the pneumothorax date.</b> If the baby is transferred in with a pneumothorax, record the pneumothorax date as the admission date and record the date <b>of the first pneumothorax diagnosed</b> at the transferring hospital in the comments box, if available. If date of first pneumothorax is unknown, leave the field blank. If pneumothorax is not diagnosed during this hospital visit, leave blank.</p> <p><u>Treatment of pneumothorax:</u> (mandatory if pneumothorax is selected)</p> <ol style="list-style-type: none"> <li>1. No intervention was performed</li> <li>2. Needle drainage, needle paracentesis or needle thoracentesis: This means draining air from pleural space with a needle and syringe and not leaving any permanent drainage.</li> <li>3. Chest tube placement: This means placing a tube in chest wall and draining air via negative pressure suction.</li> <li>4. Unknown</li> </ol>

Neonatal Thrombosis - Location	<p>Yes, no or unknown</p> <p>The report should clearly mention about the presence of a thrombus. Presence of infarct, necrosis or ischemia does not necessarily mean that there was a thrombus. Please review the report completely for the reporting of "thrombus" or "clot".</p> <p>If Yes, select location (multiple selections are permissible):</p> <ol style="list-style-type: none"> <li>1. Arterial</li> <li>2. Venous</li> <li>3. Cardiac</li> <li>4. Location unknown</li> </ol> <p>For Arterial, select from the following options where appropriate (multiple selections are permissible):</p> <ol style="list-style-type: none"> <li>1. Aortic</li> <li>2. Renal</li> <li>3. Cerebral (includes anterior, middle, posterior cerebral arteries etc.)</li> <li>4. Other: (includes carotid, brachial, femoral arteries etc.)</li> <li>5. Unknown</li> </ol> <p>For Venous, select from the following options where appropriate (multiple selections are permissible):</p> <ol style="list-style-type: none"> <li>1. Umbilical venous</li> <li>2. Portal</li> <li>3. Renal</li> <li>4. Cerebral Venous</li> <li>5. Other (includes brachiocephalic, axillary, femoral, common iliac veins etc.)</li> <li>6. Unknown</li> </ol>
Neonatal Thrombosis - Method of Diagnosis	<p>Select from (multiple selections are permissible):</p> <ol style="list-style-type: none"> <li>1. Clinical (signs and symptoms include loss of pulsation, change in color, palpation of clot in the vessel and other symptoms depending upon location of thrombus)</li> <li>2. Imaging (includes but not limited to ultrasonography, angiography, Doppler, CT scan or MRI etc.)</li> <li>3. Unknown</li> </ol>
Neonatal Thrombosis - Treatment	<p>Select from (multiple selections are permissible):</p> <ol style="list-style-type: none"> <li>1. Conservative (includes elevation of limb, compresses and careful observation etc.)</li> <li>2. Catheter (line) removal (includes removal of catheter after diagnosis of clot)</li> <li>3. Standard Heparin (unfractionated heparin usually used as continuous infusion, DO NOT include prophylactic use of heparin to prevent thrombus)</li> <li>4. Low molecular weight heparin (This includes Enoxaparin, Dalteparin, Tinzaparin, Nadroparin, Bemiparin, Centoparin, Nadroparin, Parmaparin or Reviparin etc.)</li> <li>5. Other anticoagulants (This includes Warfarin or other thrombolytic therapy such as urokinase)</li> <li>6. Other treatment (This includes surgical removal of thrombus, or image guided therapy to snare thrombus and any other method specifically designed to remove or dissolve thrombus not specified above)</li> <li>7. Unknown</li> </ol>

**OPERATIONS / PROCEDURES**

\*Record operations that occurred at any time during infant’s stay. Some operations may not have been performed in your hospital (such as PDA ligation or ROP surgery). These should be documented in patient charts as for some of them an infant may go to another hospital for less than 24 hours and the data may not be captured. If relevant make a note of these instances in the comments box. If multiple operations were performed under the same anesthesia episode, include them all.

Operations/Procedures	Did the infant have any operations or procedures at any time during this NICU admission? Select yes, no or unknown. If yes, then at least one procedure must be selected. (Mandatory field)
ECMO	On extra corporeal membrane oxygenation (ECMO) at any time during the hospital stay. ECMO given as part of an operation should be scored here, but a note should also be made in the comments box that ECMO was given as part of an operation and not as a procedure unto itself.

Laparotomy	Laparotomy ( <b>abdominal exploration</b> ) for surgical resolution of a variety of problems, excluding laparoscopy. For a complete list of procedures included under laparotomy, see appendix II.
Thoracotomy	Thoracotomy ( <b>chest exploration</b> ) for surgical resolution of a variety of reasons, excluding thorascopy. For a complete list of procedures included under thoracotomy, see appendix II.
Reservoir/Drain	Reservoir/Drain includes any type of CSF drainage procedure other than VP shunt. It includes ventriculostomy.
Reservoir/Drain Date	Record the date of first reservoir/drain. Select N/A if information is not available or unknown.
VP shunt	Placement of reservoir or shunt for drainage of cerebro-spinal fluid (CSF).
VP shunt Date	Record the date of first VP shunt. Select N/A if information is not available or unknown.
Ostomy	Score this if ostomy was performed at any time during the hospital stay (include ileostomy or colostomy, but not tracheostomy or gastrostomy). Score regardless of where the original ostomy surgery occurred. Ostomy at time of discharge/transfer is also collected under the Discharge Screen.
Other CNS Surgery	Other CNS surgery includes myelomeingocoele repair or spina bifida related surgeries.
Others	Check box for any other operation. You can score operations such as BAS, surgically placed catheters, gastrostomy tube insertions, hernia repairs, rectal biopsies, PDA ligations and ROP therapies. Refer to appendix II for a list of operations that can be included in Others.
Gastro-Intestinal Perforation	<p>Presence of free air under diaphragm or aspiration of intestinal contents from abdominal cavity suggest intestinal perforation . (Mandatory field) Possible causes are:</p> <ol style="list-style-type: none"> <li>1. <b>NEC related:</b> Perforation in a patient who is also diagnosed as having NEC (see below)</li> <li>2. <b>Spontaneous/Other:</b> Typically this occurs in the first week after birth in a patient who does not show evidence of NEC. Include perforations which are related to medical devices (e.g. nasogastric tube insertion) or congenital anomalies.</li> <li>3. <b>Unknown:</b> If the type is not identified then select unknown.</li> </ol> <p>This also includes gastric perforation.</p> <p>SIP Date and Treatment is enabled when “Spontaneous” is checked above.</p> <p><b>Spontaneous Intestinal Perforation (SIP):</b> Approximately 50% of neonates who are diagnosed with intestinal perforation are now classified as SIP. This means that on further clinical, sonographic and operative assessment revealed no signs of ischemia or inflammation in baby diagnosed with perforation. This entity is termed as SIP. Treatment for this is similar to NEC related perforation with peritoneal drainage alone, surgery alone or peritoneal drainage followed by surgery (3 options available).</p>
NEC Stage 2 or Higher	<p>Necrotizing enterocolitis (NEC) according to Bell’s criteria, stage 2 or higher (mandatory field). If there is <b>definite pneumatosis (air in the bowel wall) or portal/hepatic air (air in the liver)</b> diagnosed by x-ray or other imaging techniques, or if there is a surgical or autopsy diagnosis of NEC. If surgical/autopsy diagnosis conflicts with x-ray diagnosis, the surgical/autopsy diagnosis takes priority. <b>X-rays showing free air WITHOUT pneumatosis do NOT count as NEC diagnoses.</b> Bloody stools without pneumatosis may lead to a suspected diagnosis and treatment, but is <b>not</b> counted as NEC diagnosis. <b>Score “No” if:</b> there was no NEC diagnosed according to our definition during the hospital stay.</p> <p>If yes, mention stages:</p> <ol style="list-style-type: none"> <li>1. Stage II: medical suspicion confirmed by pneumatosis</li> <li>2. Stage III: Perforation</li> <li>3. Unknown: If it is not known whether to call stage II or III.</li> </ol>
NEC Date	Date of the first definitive diagnosis of NEC (pneumatosis diagnosed by x-ray, other imaging techniques or by surgery). Record as YYYYMMDD. If pneumatosis is suspected on medical imaging and then NEC is diagnosed definitively by surgery/autopsy, score the date of onset of NEC as the date of the first medical imaging that showed a suspicion of pneumatosis. If unknown, leave the field blank.

NEC Diagnosed By	If pneumatosis was found, record whether it was diagnosed by autopsy/biopsy, clinical, x-ray or ultrasound. Select all that apply. Select unknown if information is not available.
NEC Treatment	Record if patient required surgical treatment or peritoneal drainage. Surgical treatment includes laparotomy (please also select "Laparotomy" under <i>Any Time Operations/Procedures</i> if applicable). Peritoneal drainage alone is not considered surgical treatment. However, if your unit practices "mini-laparotomy" it should be included under surgical treatment and operations. You will be able to select both if patient initially had peritoneal drainage and later went for surgery.
Multiple Episodes	Indicate if more than one episode of NEC occurred prior to discharge.
Acquired Stricture	If patient was later diagnosed as stricture possibly related to NEC, mention it here. Patients may or may not have stage 2 or stage 3 NEC diagnosis in the early period (mandatory field).
Exchange transfusion	Check if patient received single volume or double volume or partial <b>exchange transfusion</b> (mandatory field).

### **CONGENITAL ANOMALIES / OTHER DIAGNOSIS**

Congenital Anomaly Type	Record all diagnosed congenital anomalies of a patient. Record only confirmed anomalies, anomalies that are "questionable" or "pending" should <b>not</b> be recorded. Do not enter if a baby returns for readmissions and the anomaly was fully repaired <u>prior</u> to this readmission. Anomalies are grouped under the systems they relate to and then listed alphabetically. Selecting the appropriate system from the system scroll down list will limit the anomalies that appear in the type scroll down list. If you cannot find a specific anomaly listed here check appendix IV for additional help in identifying how a given anomaly is recorded in the database. If you think a major anomaly is not listed, or if it requires specification, contact the CC for advice.
Other Diagnosis	Record additional major diagnoses included in the scroll down list provided. Record only confirmed diagnoses, diagnoses that are "questionable" or "pending" should <b>not</b> be recorded. Note that some diagnoses may be worded differently at various hospitals. For example a diagnosis of "hypoglycemia" is listed on the scroll down list as "hypoglycaemia". If you cannot find a given diagnosis in the list, check appendix III for additional help in identifying how a given diagnosis may be recorded in the database. <u>Optional:</u> For any diagnoses that are not listed in the "Diagnosis Type" scroll down menu, "Other" may be chosen and a free text field (maximum characters=100) for "Other Diagnosis Description" may be entered.

## SCREEN 10: ENCEPHALOPATHY SCREEN DEFINITIONS

### Encephalopathy Reviewed

Did patient receive hypothermia treatment?  
 Yes     No     Unknown

If yes

Timing

Initiation of active/passive hypothermia    [Enter Date] [▼] [ : ] [ : ]

Initiation of re-warming    [Enter Date] [▼] [ : ] [ : ]

Temperature

Target temperature (°C)    [▼]

Lowest recorded temperature during hypothermia (°C)    [ : ] [ : ]  N/A

Highest recorded temperature during hypothermia (°C)    [ : ] [ : ]  N/A

Clinical Status

	At initiation of hypothermia	At completion of hypothermia
Encephalopathy		
HIE Stage I	<input type="checkbox"/>	<input type="checkbox"/>
HIE Stage II	<input type="checkbox"/>	<input type="checkbox"/>
HIE Stage III	<input type="checkbox"/>	<input type="checkbox"/>
Unknown	<input type="checkbox"/>	<input type="checkbox"/>
Normal	<input type="checkbox"/>	<input type="checkbox"/>
Seizures	<input type="checkbox"/>	

Side effects during hypothermia

Hypotension requiring treatment     Yes     No

Thrombocytopenia requiring treatment     Yes     No

Coagulopathy requiring intervention     Yes     No

Persistent metabolic acidosis     Yes     No

Any other side effects

If No: Reasons

Chromosomal anomaly

Major congenital anomalies

Weight < 2000g or GA < 35 weeks

Extreme condition

Head trauma or intracranial hemorrhage

Mild HIE

Unit policy

Health care team preference

Delayed transfer

Parental request

Unknown/Other

Was hypothermia discontinued before 72 hrs of treatment?  
 Yes     No     Unknown

If Yes

Death

Recovered

Palliative care

Unknown

Other

Investigations

Was Full Channel EEG performed?  
 Yes     No     Unknown

If yes

<input type="checkbox"/> Normal	<input type="checkbox"/> Burst suppression
<input type="checkbox"/> Discontinuous	<input type="checkbox"/> Iso electric
<input type="checkbox"/> Low amplitude	<input type="checkbox"/> Unknown
<input type="checkbox"/> Status Epilepticus	<input type="checkbox"/> Other

Was neuroimaging (MRI/MRS) performed?  
 Yes     No     Unknown

If yes

Date of first imaging [Enter Date] [▼]

Normal

Watershed injury / white matter

Basal ganglia / thalamic injury / grey matter

Porencephalic cyst

Diffusion changes

Spectroscopic changes

Hemorrhage

Unknown

Other

Other Complications of Asphyxia

PPHN

Renal failure

DIC

Hepatic dysfunction

Cardiac dysfunction

Was aEEG performed?  
 Yes     No     Unknown

If yes

<input type="checkbox"/> Normal	<input type="checkbox"/> Burst suppression
<input type="checkbox"/> Mild abnormality	<input type="checkbox"/> Iso electric
<input type="checkbox"/> Moderate abnormality	<input type="checkbox"/> Seizures
<input type="checkbox"/> Unknown	<input type="checkbox"/> Other

This screen will be activated if in the “Diagnosis / Procedures” screen it was recorded that baby has any degree of encephalopathy except for none. You need to complete this screen if it is activated in order to complete the case.

Hypothermia treatment	Mention here whether patient received hypothermia treatment or not. If patient has received hypothermia further screen detailing treatment will be activated. Hypothermia treatment is defined as active attempts to maintain temperature below 35.5°C after HIE was diagnosed (mandatory field).
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Timing	Enter timings for initiation of active/passive hypothermia and when warming was initiated. In the event of baby's death whilst receiving hypothermia, please enter time of death as the time of rewarming.
Temperature	Select target temperature range that was planned. This will again conform to your unit policy and would be constant in your unit. From continuous monitoring of patient, enter the lowest and the highest recorded temperature during hypothermia treatment.
Clinical Status	From clinical records, enter HIE staging before (within 6 hours of initiation of hypothermia) and after hypothermia (6 hours after completion of hypothermia). Document if patient had seizures within 6 hours of initiation of hypothermia. If the clinical status is unknown, mention unknown or if it is normal, check normal. (Mandatory field)
Side Effects	If patient developed any side effect during hypothermia record it here. <ol style="list-style-type: none"> <li>1. Hypotension requiring treatment: Treatment could be in the form of fluid or inotropes.</li> <li>2. Thrombocytopenia requiring treatment: Treatment would be in the form of platelet transfusion.</li> <li>3. Coagulopathy requiring intervention: Intervention could be in the form of FFP, Plasma or blood transfusion.</li> <li>4. Persistent metabolic acidosis: Defined as pH &lt;7.0 on two consecutive samples obtained at least 6 hours apart after initiation of hypothermia</li> <li>5. Any other complication / side effect not mentioned above can be free-texted (maximum 50 characters). Bradycardia should not be included in this as it is a known effect.</li> </ol>
Reasons if No	If hypothermia was not provided, please identify reason.
Was hypothermia discontinued before 72 hours of treatment?	Indicate whether hypothermia was discontinued before 72 hours by choosing "yes", "no" or "unknown". If yes, please identify the reason for discontinuation of hypothermia from the list provided. (Mandatory field)
Investigations: EEG	Indicate if full channel EEG (12 or 16 channels/electrodes placed and full EEG tracing taken) was performed and classify findings of background activity as indicated. This refers to background activity only (mandatory field).
Investigations: aEEG/CFM	Amplitude-integrated EEG (aEEG) is a method for electrocortical monitoring, whereas Cerebral Function Monitor (CFM) refers to the device for trend monitoring of changes in the amplitude of the electroencephalogram. Select if aEEG or CFM was performed. If it was performed, indicate the worst result during recording. If seizures were detected during aEEG/CFM, record it here as well (mandatory field).
Investigations: MRI	If neuroimaging in the form of MRI or MRS was performed indicate the results and date of scan. Note that some of the results collected are broad (diffusion changes, restriction changes etc). In the initial period of data collection, this will be kept like this (mandatory field). The "Other" field can hold a maximum of 50 characters.
Other complications of asphyxia	Complications of asphyxia should be mentioned here. You can choose multiple options. <ol style="list-style-type: none"> <li>1. PPHN: Diagnosis will ideally require echocardiographic evidence; however, it may not be practical at every site and we will take any definition used in the chart.</li> <li>2. Renal failure: Urine output &lt;0.5 ml/kg/hr OR rising creatinine &gt;100 mmol/l at any time within first 72 hours</li> <li>3. DIC: Evidence of Coagulopathy for which no explanation could be provided.</li> <li>4. Hepatic dysfunction: AST or ALT &gt;100 IU at any time in the first 7 days after birth</li> </ol> Cardiac dysfunction: Need for inotrope to support BP or echocardiographic evidence of cardiac dysfunction.

# Screen 11: NEUROLOGICAL FINDINGS / ROP SCREEN DEFINITIONS

Reviewed

## Neurological Findings / ROP

Neurological Findings
ROP

Brain Lesions (Ultrasound and/or MRI)

**Left Brain**

	First week of life On or before: 2020 Dec 07	After first week of life After: 2020 Dec 07
Are neuroimaging or autopsy results available?	Yes	Yes
<b>If Yes</b>		
Were they reported normal?	No	No
<b>If No</b>		
Blood in germinal matrix	Unknown	Present
Blood in ventricles	Unknown	Present
Intraparenchymal lesion	Unknown	Present
Periventricular leukomalacia (with cyst development or confirmed by MRI)	Present	Present
<b>Ventricular enlargement</b>		
Ventricular dilatation	Unknown	Yes
Ventricular size (highest) <input checked="" type="checkbox"/>	_._ (mm)	_._ (mm)
Anterior horn width (highest) <input checked="" type="checkbox"/>	_._ (mm)	_._ (mm)
Ventricular index (highest) <input checked="" type="checkbox"/>	_._ (mm)	_._ (mm)
Descriptor <input checked="" type="checkbox"/>	_._	_._
Previous intraventricular hemorrhage reported	Unknown	

Resolved before discharge

 Blood in germinal layer  
 Blood in ventricle  
 Intraparenchymal lesion  
 Ventricular enlargement

Other brain lesion (describe if present)

 Thalamic infarct  
 Cerebellum hemorrhage  
 Conatal cyst  
 Porencephaly  
 Choroid plexus cyst  
 Calcification  
 Other

**Right Brain**

	First week of life On or before: 2020 Dec 07	After first week of life After: 2020 Dec 07
Are neuroimaging or autopsy results available?	Yes	Yes
<b>If Yes</b>		
Were they reported normal?	No	No
<b>If No</b>		
Blood in germinal matrix	Present	Present
Blood in ventricles	Present	Present
Intraparenchymal lesion	Present	Present
Periventricular leukomalacia (with cyst development or confirmed by MRI)	Present	Present
<b>Ventricular enlargement</b>		
Ventricular dilatation	_._	_._
Ventricular size (highest) <input checked="" type="checkbox"/>	_._ (mm)	_._ (mm)
Anterior horn width (highest) <input checked="" type="checkbox"/>	_._ (mm)	_._ (mm)
Ventricular index (highest) <input checked="" type="checkbox"/>	_._ (mm)	_._ (mm)
Descriptor <input checked="" type="checkbox"/>	_._	_._
Previous intraventricular hemorrhage reported	_._	

Resolved before discharge

 Blood in germinal layer  
 Blood in ventricle  
 Intraparenchymal lesion  
 Ventricular enlargement

Other brain lesion (describe if present)

 Thalamic infarct  
 Cerebellum hemorrhage  
 Conatal cyst  
 Porencephaly  
 Choroid plexus cyst  
 Calcification  
 Other

Score the Neurological Findings portion of the screen based on all head ultrasounds, CT scans and MRIs done during this NICU admission and taken during the appropriate time periods. If you come across any serious outcomes, which are not included on the Neurological Findings & ROP screens, mention them in “other” section. **The following should not be scored here:** “possible” or “questionable” diagnoses, subarachnoid hemorrhages, subdural hemorrhages, tentorial bleeds, fluid collections in the brain, arachnoid cysts, caudothalamic groove cysts, choroid plexus cysts, subependymal cysts or cysts other than those found in the brain parenchyma (the brain itself). **If there are conflicting diagnoses, where available use autopsy findings as they are more reliable than diagnostic tests. Also note that MRI findings should be used over Ultrasound findings.**

See appendix III to confirm hemorrhages that are to be included under ‘other diagnosis’ on the diagnosis/procedures screen. Record only “congenital cerebral cysts” (found in the brain parenchyma) under congenital anomalies (nervous cyst); other cysts not mentioned below need not be scored.



# Neurological Findings / ROP

Reviewed

Neurological Findings **ROP**

## Retinopathy of Prematurity

Was ROP Screening done?  Yes  No  Unknown  Transferred/Died before screening age

Record the total # of ROP screening exams the patient received

### Left Eye - Highest Stage

Stage	Zone	Plus
<input type="checkbox"/> None	<input type="checkbox"/> 1	<input type="checkbox"/> None
<input type="checkbox"/> I	<input type="checkbox"/> 2	<input type="checkbox"/> Yes
<input type="checkbox"/> II	<input type="checkbox"/> 3	<input type="checkbox"/> N/A
<input checked="" type="checkbox"/> III	<input type="checkbox"/> N/A	
<input type="checkbox"/> IV		
<input type="checkbox"/> V		
<input type="checkbox"/> Imm		
<input type="checkbox"/> N/A		

AP ROP

### Right Eye - Highest Stage

Stage	Zone	Plus
<input type="checkbox"/> None	<input type="checkbox"/> 1	<input type="checkbox"/> None
<input type="checkbox"/> I	<input type="checkbox"/> 2	<input type="checkbox"/> Yes
<input type="checkbox"/> II	<input type="checkbox"/> 3	<input type="checkbox"/> N/A
<input checked="" type="checkbox"/> III	<input type="checkbox"/> N/A	
<input type="checkbox"/> IV		
<input type="checkbox"/> V		
<input type="checkbox"/> Imm		
<input type="checkbox"/> N/A		

AP ROP

### Was ROP Treatment given?

Yes  No  Unknown/NA

### ROP Treatment Complications

Left Eye	Right Eye
<input type="checkbox"/> None	<input type="checkbox"/> None
<input type="checkbox"/> Infection	<input type="checkbox"/> Infection
<input type="checkbox"/> Hemorrhage	<input type="checkbox"/> Hemorrhage
<input type="checkbox"/> Unknown	<input type="checkbox"/> Unknown
<input type="checkbox"/> Other	<input type="checkbox"/> Other

### ROP Treatments - All

	Date	Type	Eye(s)	VEGF Type	Dosage(mg)	Stage(L)	Zone(L)	Plus(L)	Stage(R)	Zone(R)	Plus(R)
*											

## NEUROLOGICAL / AUTOPSY FINDINGS

- ❖ Score “questionable” and “possible” as None
- ❖ Score “suggestive of…” and “most likely…” as Suspected

Neurological findings are collected for the left and right brain separately and at two time points: within the first week of life and after the first week of life. The infant’s date of birth must be available for these fields to open. The computer will calculate dates and display them on the screen if Birth Date and Admission Date are entered on Admission Screen. Complete both time frames for the left and right brain using the definitions described below.

Brain Lesions (Ultrasound and/or MRI)	Was any neuroimaging performed during infant’s stay in NICU? Mark yes, no or unknown. Mark “yes” if you have a brain autopsy report. Mark “unknown” if you are uncertain whether brain imaging was done during this time period. Also mark “unknown” under “First week of life” section if the date of admission is after one week of age. For section on “After one week of age”, mark “unknown” if baby was discharged before one week of age. By default, the selection for “Are neuroimaging or autopsy results available” one side of the brain will be displayed for the other side of the brain (mandatory field)
If Yes	If neuroimaging was performed, you will be asked to provide a report. If you report that it was abnormal, then the following explanation will help you to fulfill appropriate boxes.

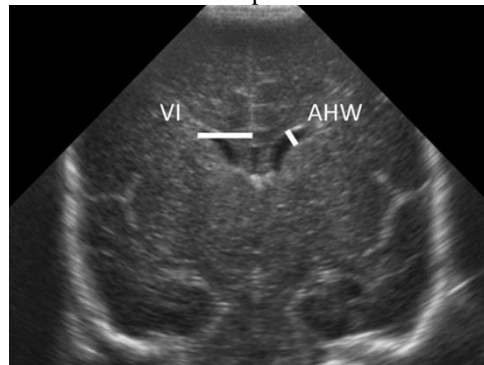
We are interested mainly in 5 types of cerebral ultrasound/CT scan/MRI abnormalities. Any or all of these abnormalities may be present at different times so you need to review all reports during the stay of an infant in the NICU and report any or all of these lesions if present in the first week of life and/or after the first week of life.

Blood in germinal matrix	Evidence of blood in the germinal matrix or “germinal layer/matrix hemorrhage”, “subependymal hemorrhage”, “grade I intraventricular hemorrhage (IVH) (according to Papile)”. This is usually seen in the first 7 days of life. Record as “present”, “suspected”, “none”, or “unknown” irrespective of the presence of other lesions.
Blood in ventricles	Evidence of blood in the ventricles or in the lining of the ventricles, also known as “intraventricular blood”, “intraventricular hemorrhage”, “grade II intraventricular hemorrhage (IVH) (according to Papile)”. This is usually seen in the first 7 days of life. Record as “present”, “suspected”, “none”, or “unknown” irrespective of the presence of other lesions.
Intraparenchymal lesions	Evidence of focal intraparenchymal lesions (echodense or echolucent) in the white or grey matter (with or without intraventricular hemorrhage) also known as “parenchymal lesion”, “parenchymal echodensity or echolucency”, “focal infarction”, “venous infarction”, “intraparenchymal hemorrhage”, “grade IV IVH (according to Papile)”. Remember to indicate whether there is blood in the ventricles or ventricular enlargement if you document a focal lesion. This is usually seen in the first 14 days. A single “porencephalic cyst”, or “cystic change” within a focal lesion also falls in this category – this should be distinguished from “cystic leukomalacia” which is more extensive, occurs later in age, is usually bilateral, and usually consists of multiple cysts. Record as “present”, “suspected”, “none”, or “unknown” irrespective of the presence of other lesions.
Periventricular leukomalacia	Evidence of diffuse brain lesions (usually echodense) in the white matter consistent with periventricular leukomalacia (PVL) or “white matter injury”. To be “present”, these may be confirmed by noticing development of multiple cysts on MRI or ultrasound (“cystic periventricular leukomalacia”). Record as “present”, “suspected”, “none”, or “unknown” irrespective of the presence of other lesions.
Ventricular enlargement	Before entering these measures, please note: <ul style="list-style-type: none"> <li>a. <i>If you have more than one of these measures reported, please enter as many as you can. If the measurement was recorded on imaging reports from the specified time period, select the tick box and enter the highest value recorded. Measurements do not have to come from the same report. If the measurement was not done, leave the tick box unchecked.</i></li> <li>b. <i>Please note that the highest measures are most likely before any procedures such as shunt placement, or ventricular drainage procedure is carried out.</i></li> <li>c. <i>If ventricular enlargement/dilatation is reported but none of the measurement types are incorporated in report, please select Yes for Ventricular dilatation and make appropriate selection in “Descriptor” section.</i></li> <li>d. <i>If there are measurements provided and there is no mention of ventricular dilation/enlargement, please enter the highest measurements and select a descriptor as defined in #5 below.</i></li> <li>e. <i>All measurements are reported between 0.1 and 99.9 to one decimal place. Ventricular size, Anterior horn width and Ventricular index are entered in millimeters. If the imaging report details are in centimeters, convert to millimeters.</i></li> </ul>

- f. Take care to ensure numbers less than 10.0 are entered correctly. Data accuracy will be improved if you enter numbers less than 10.0 with a leading zero. E.g. entering “087” will equal 8.7 but if you enter just “87” or “8.7” it will be accepted as “87.0”.
- g. If a measurement is recorded but the report does not state which side, please do not enter.

**Description:**

1. **Ventricular dilatation** – also known as “hydrocephalus”, “ventriculomegaly”, or “ventricular enlargement”
  - a. Yes = ventricular dilatation / enlargement (of any degree or certainty) was noted by a physician or radiologist during this time period
  - b. No = ventricular dilatation / enlargement was NOT noted by a physician or radiologist during this admission
  - c. Unknown = it is uncertain whether ventricular dilatation / enlargement was present or not during this admission
2. **Ventricular size (highest)**: enter the highest reported measurement in millimeter at any level. The measurement should be clearly one of the following: frontal, occipital or temporal horn. Enter the largest number of ventricular size in the time period.
3. **Anterior horn width (AHW)**: This is typically measured on the more dilated lateral ventricle at the widest point at oblique plane at the level of foramen of Monro. It is reported in millimeter. The measurement should be clearly labeled as “anterior horn width”. See figure below for reference. Enter the largest number of AHW in the time period.



VI = Ventricular index, AHW = Anterior horn width

4. **Ventricular index (VI)**: Ventricular index is the distance between the midline and the most lateral point of the lateral ventricle in millimeters. It is measured in the coronal plane at the level of foramen of Monro. It is reported in mm. The measurement should be clearly labeled as “ventricular index”. Enter the largest number of VI in the time period. See figure above for reference.

Some other types of measurements may be available from your reports. Record only AHW and VI are reported in the database. Do not record any measurement without label or the label/description does not fit with the above options. Enter the largest number of unknown measurement in the time period.

5. **Descriptor**: Enter qualitative descriptions for ventricular enlargement using *normal, mild, moderate or severe*. Choose the worst descriptor in the time period. If a qualitative description is mild/moderate, then enter as moderate. If a qualitative description is moderate/severe, then enter as severe. Enter “suspected” as “mild”.

If measurements were available but a descriptor was not provided on the radiological report, select the descriptor based on the highest measurement as follows:

- a. **“Normal”**: Measurement is <7 mm at any level section of lateral ventricle.
- b. **“Mild”**: Measurement is 7 to 10 mm at any level of the larger lateral ventricle.
- c. **“Moderate”**: Measurement is 11 to 15 mm at any level of the larger lateral ventricle on sagittal scan.
- d. **“Severe”**: Measurement >15 mm at any level of the larger lateral ventricle on a sagittal scan.

**Previous Intraventricular Hemorrhage Reported:**

	<p>a) Yes = intraventricular hemorrhage noted <u>before</u> ventricular dilatation started. This includes intraventricular hemorrhage noted in <u>current or previous admission(s)</u> or at other institutions prior to this admission</p> <p>b) No = intraventricular hemorrhage noted but NOT <u>before</u> ventricular dilatation started</p> <p>c) Not Applicable = NO intraventricular hemorrhage noted at any time in current or previous admission (s) or at other institutions prior to this admission</p> <p>d) Unknown = it is not known or unclear if intraventricular hemorrhage was noted before ventricular dilatation started.</p>
Other lesions	<p>Mention any other lesions that were identified in the imaging or autopsy. Conatal cyst is a term used for cystic lesions in the front of the lateral ventricles (frontal horn). The “Other” field can hold a maximum of 25 characters.</p> <p><b>Cerebellar hemorrhage:</b> report both limited (&gt; 4 mm but &lt; 1/3 of cerebellar hemisphere) and massive (equal to or &gt; 1/3 of cerebellar hemisphere) cerebellar hemorrhage as “cerebellar hemorrhage”.</p>
Resolved before discharge	<p>These fields only become activated when corresponding indications are checked in previous selections (i.e. when Ventricular dilatation = yes, or when Descriptor = Mild, Moderate or Severe).</p> <p>If from last imaging it is determined that there was resolution of abnormalities detected before, indicate here. If it is not known, leave boxes unchecked.</p>

### **RETINOPATHY OF PREMATURITY (HIGHEST STAGE)**

<b>Was Screening Done</b>	<p>Mark yes, no or unknown. Patient may have been transferred to another facility before complete vascularization is noted. If one screen was performed in your hospital – mark it yes.</p> <p>If you select “no” or “unknown”, a question will appear whether the reason for no is because patient died or was transferred to another facility prior to discharge (mandatory field).</p> <p>Do not record eye exams completed for purposes other than ROP Screening. You will know if the eye examination was performed for ROP Screening because the chart mentions any of the following (at least 1 of, however, it is rare to see 1 without another): a) ROP, b) Zone, c) Stage, d) Plus Disease</p>
<b>Total # of ROP Screening exams the patient received</b>	<p>Enter a numeric value greater than or equal to 1 (whole numbers only, two characters only).</p> <p>Enter a value which represents a count or sum of the number of ROP Screening exams the patient experienced while in your NICU. If a baby had two distinctly separate ROP Screening eye exams on the same day, count each one. Count each ROP Screening exam as one event regardless of the number of eyes examined.</p>
<b>Left/Right Eye: Stage</b>	<p>Selecting N/A in any field generates a default N/A selection for the other two. These selections, however, can be changed if desired. Maximum stage of retinopathy of prematurity (ROP) in left/right eye as defined by the International Committee on Retinopathy of Prematurity (ICROP). Score according to the grade of ROP assigned on an eye exam done by an ophthalmologist. If there is no explicit grade listed, then score according to the descriptions given by the ICROP:</p> <ul style="list-style-type: none"> <li>-None denotes that there are no indications of an immature retina or ROP from the eye exam.</li> <li>-<b>Stage 1</b> is characterized by a demarcation line between the normal retina near the optic nerve and the non-vascularized retina more peripherally.</li> <li>-<b>Stage 2</b> ROP has a ridge of scar tissue and new vessels in place of the demarcation line. The white line now has width and height, and occupies some volume. It may take on a pink color as it becomes more vascularized. Small tufts of new vessels ("popcorn vessels") may appear posterior to the ridge.</li> <li>-<b>Stage 3</b> ROP shows an increased size of the vascular ridge, with growth of fibro-vascular tissue on the ridge and extending out into the vitreous. Fibrous scar tissue is beginning to form in this stage, with attachments between the vitreous gel and the ridge.</li> <li>-<b>Stage 4</b> refers to a partial retinal detachment. The scar tissue associated with the fibrovascular ridge contracts, pulling the retina away from the wall of the eye. There may also be an exudation of fluid under the retina, contributing to the detachment.</li> <li>-<b>Stage 5</b> ROP implies a complete retinal detachment, usually with the retina pulled into a funnel-shaped configuration by the fibrovascular scar tissue. Eyes with stage 5 ROP usually have no useful vision, even if surgery is performed to repair the detachment.</li> <li>-<b>Imm</b> is the abbreviation for ‘immature’ retina. If a patient’s eye exam states “imm” or “immature” look to see if this progresses further into a stage of ROP. If not, then score as ‘imm’.</li> <li>- Score “N/A” if there is no eye exam during this hospital stay.</li> </ul>

<b>Left/Right Eye: Zone</b>	Record location of ROP in left/right eye by zone. Score according to eye exam having the greatest degree of ROP severity. Disease severity is worst in Zone 1 (optic disk to macula), very serious in Zone 2, (macula to periphery) and worrisome in Zone 3 (peripheral vision). If there is no eye exam or if stage is scored as “none”, score as “N/A”.
<b>Left/Right Eye: Plus</b>	Presence of plus disease at any stage of ROP in the left/right eyes. Plus disease is indicated by extreme tortuosity and redness of vessels, often accompanied by rapid progression of ROP disease. If an eye exam was completed, but there is no eye exam results available, score as “N/A”.
<b>Aggressive Posterior ROP (APROP)</b>	This is a severe form of ROP that does not progress through the classic stages of ROP from stage 1-3. It is commonly observed in zone 1, but may also occur in zone 2. These patients usually require immediate treatment. Score only if APROP is mentioned in ophthalmologist’s note.
<b>Was ROP Treatment given?</b>	Mark yes, no or unknown. If yes, complete the following sections about ROP treatment.
<b>ROP Treatment Complications</b>	Record any complications associated with ROP Treatment. <ul style="list-style-type: none"> <li>• <b>None:</b> Check this if no complication is recorded.</li> <li>• <b>Infection:</b> Record any ocular infection that occurred within 2 weeks of ROP treatment. e.g. conjunctivitis, chorioretinitis, ophthalmitis etc.</li> <li>• <b>Hemorrhage:</b> Record any intra-ocular haemorrhage that occurred within 2 weeks of ROP treatment. i.e. conjunctival, retinal, vitreal etc.</li> <li>• <b>Unknown:</b> Check this if data is not available or not known.</li> <li>• <b>Other:</b> Check this if a complication arose within 2 weeks of ROP treatment that is a result of the ROP treatment. Specify the complication using the free text box.</li> </ul>
<b>ROP Treatment(s)</b>	<b>ROP Treatment(s) should also be scored as Operations/Procedures &gt; “Others” on the Diagnosis/Procedures screen.</b>
<b>ROP Treatment Details</b>	<p><b>Date</b> – Please enter the date on which the ROP treatment occurred. Enter by selecting from the calendar displayed or by typing in the date in the format YYYYMMDD. If the patient had ROP treatment on two different dates (example two different types of treatment or different eyes on different days), use a different line for each treatment date.</p> <p><b>Type</b> – Record the type of treatment using the drop down selections as follows:</p> <ul style="list-style-type: none"> <li>• <b>Laser</b> - Laser surgery for ROP (also known as diode laser or argon laser)</li> <li>• <b>VEGF Blocker</b> - VEGF Blockers for ROP (also known as anti-VEGF, VEGF Inhibitors, Macugen c [Pegaptanib Sodium], Lucentis c [Ranibizumab], and Avastin c [Bevacizumab])</li> <li>• <b>Other Surgery</b> - Retinal detachment surgery (seen on the operative record notes)</li> <li>• <b>Unknown</b></li> </ul> <p><b>Eye(s)</b> – Select the eye or eyes on which the ROP treatment occurred. Record “Right” or “Left”, or record “Both” if both eyes treated with the same type of treatment and, if VEGF, the same type and dose of medication administered. If both eyes were treated on the same day with different treatment types and/or different type or dose of VEGF blockers, use more than one line and record the treatments separately. If one or both eyes were treated more than once in one calendar day, use more than one line to record all treatments.</p>
<b>VEGF Details</b>	<p><b>Type</b> – Specify the type of VEGF Blocker used for ROP. These are also known as anti-VEGF or VEGF Inhibitors. If both eyes were treated with a different type of VEGF blocker on the same day, then use one line to record the left eye and one line to record the right eye noting the correct VEGF blocker type for each treatment.</p> <ul style="list-style-type: none"> <li>• <b>Avastin</b> © [Bevacizumab]</li> <li>• <b>Lucentis</b> © [Ranibizumab]</li> <li>• <b>Macugen</b> © [Pegaptanib Sodium]</li> <li>• <b>Other</b></li> <li>• <b>Unknown</b></li> </ul> <p><b>Dosage (mg)</b> – Specify the dosage of the VEGF blocker used in milligrams (mg). If the dosage is unknown record 0.0 mg. If both eyes were treated with VEGF blockers on the same day with the same drug but with a different dosage in each eye, then use one line to record the left eye and one line to record the right eye noting the correct dosage for each treatment.</p>
<b>Left/Right Eye: Last Exam Result before Treatment</b>	Record the last ROP screening result available from the most recent exam just before this ROP treatment regardless of if it was the worst or not the worst reported eye result. The results of this eye exam often lead to the decision to treat the patient. Record the Left and the Right eye exam result using the Stage, Zone and Plus results. <ul style="list-style-type: none"> <li>• <b>Left/Right Stage</b> - Record severity of ROP in left/right eye by stage.</li> <li>• <b>Left/Right Zone</b> - Record location of ROP in left/right eye by zone.</li> </ul>

- |  |  |
|--|--|
|  | <ul style="list-style-type: none"><li>• <i>Left/Right Plus</i> – Record the presence of plus disease at any stage ROP.</li></ul> |
|--|--|

## SCREEN 12: CULTURES/TRANSFUSIONS SCREEN DEFINITIONS

### Cultures / Transfusions Reviewed

**Positive Blood or CSF Cultures**

	Date	Organism	Culture
▶*			

**Transfusions**

Number of PRBC transfusions

Number of platelet transfusions

Number of FFP transfusions

**Total number of blood cultures**

**Total number of CSF cultures**

**Type of Infection**

	Date	Diagnosis Type	Organism	Culture
*				

**Positive Viral Isolates**

	Date	Virus	Source
*			

### **POSITIVE BLOOD OR CSF CULTURES**

- ❖ Record only positive cultures that occur at your study hospital. If a blood culture is drawn at another hospital prior to this admission visit, it should *not* be recorded. However, if this culture is positive AND the baby is considered to have an infection at the time of arrival to your hospital, this should be recorded as an infection episode under Type of Infection (given that this is an ongoing concern of the patient). In this instance, record the infection type according to the definitions of infection diagnosis listed in appendix V, and make a note in the comments box regarding the details of the positive culture including the organism discovered.
- ❖ If patient is transferred into your hospital from a non-CNN hospital with a positive blood, CSF or viral culture, or a confirmed diagnosis of infection as identified in Appendix V, record it as “**Admitted with infection from other hospital**” under “Type of Infection”. Do not include infections that occurred at CNN hospitals.
- ❖ Enter positive blood cultures found on **autopsy** here, and enter date of death as date of the blood or CSF draw.
- ❖ **Admissions to NICU from your ER Department:** Include positive cultures drawn in the emergency department of your hospital immediately prior to admission to NICU. Record the appropriate date of the culture (this may be the day before your admission date for those who are admitted after midnight.) These should also be counted in the “Total # of blood cultures” and “Total # of CSF cultures”.

Date	<i>For each positive blood or CSF culture</i> , record the date of the blood draw, NOT the date the culture was found to be positive. Only positive cultures are listed in detail. Negative cultures are to be included in counting the total number of blood/CSF cultures, but are not listed in detail. Enter the date using the pull-down calendar. If date unknown leave the field blank.
Organism	See Appendix VI for the list of pathogenic organisms in alphabetical order with their coded abbreviations. Contact the Coordinating Centre for organisms that do not appear on the list.

	<p>For each positive culture, please check the duration of antibiotics use alongside the same timeline of positive culture.</p> <p>If multiple organisms are found in the same culture, enter each organism separately on a new line in the table.</p> <p><b>Only record the organisms if:</b></p> <ol style="list-style-type: none"> <li><b>1. Organism is listed in Appendix VI; <u>AND</u> one of the following</b></li> <li><b>2. Infant receives 5 or more days of antibiotics; OR</b></li> <li><b>3. Infant dies within 5 days of positive culture</b></li> </ol> <p>Record the organism regardless of physician's note indicating that it was a contaminant.</p> <p><b>Do not record repeat cultures that are considered part of the same infection.</b> Therefore, a second positive blood culture containing the same organism (repeat culture) is NOT included if the culture date is within 10 days of the initial positive blood culture. However, when a positive CSF culture OR a positive blood culture containing a new organism is identified, even if the culture date is within 10 days of the initial positive blood culture, it should be added as new infection separately following the same criteria as described above (for antibiotic duration). Any positive culture drawn after 10 days is considered a new episode of infection and should be included (regardless of the type of organism) separately following the same criteria as described above (for antibiotic duration).</p> <p>For calculation of 10 days, count day of infection as “day 1”.</p> <p>If patients are transferred to your hospital from a non-CNN hospital with a positive culture, do not record here, but record it as “<i>Admitted with infection from other hospital</i>” under “<i>Type of Infection</i>”.</p> <p>Do not record information about resistance to antibiotics.</p>
Code	An organism code is automatically entered once an organism has been selected. It has been included to easily identify contaminants. A <i>contaminant</i> is defined as isolation of organism which is either considered to be non-pathogenic or for which antibiotics were prescribed for less than 5 days (unless baby dies within 5 days of isolation of organism).
Culture	Source of positive culture. Choose from <i>blood or CSF</i> (cerebrospinal fluid).

Total # of blood cultures	Total count of all blood culture draws (regardless of whether culture is positive or negative) received by the clinical laboratory during this NICU admission. Two blood cultures taken at the same time from different sites (2 blood draws) count as two blood cultures. Two bottle aerobic/anaerobic combination count as 1 culture (1 blood draw). Also include blood cultures drawn in the ER if the patient is then admitted to the NICU. Includes blood cultures drawn for serology only. Enter zero if no blood cultures were taken during this NICU admission, or if information is unknown. (Mandatory field)
Total # of CSF cultures	Total number of CSF culture draws (regardless of whether culture is positive or negative) received by the clinical laboratory during this NICU admission. If CSF is obtained without culture, do not include. Also include CSF cultures drawn in the ER if the patient is then admitted to the NICU. Enter zero if no CSF cultures were taken during this NICU admission, or if information is unknown. (Mandatory field)

### **TRANSFUSIONS**

Include transfusions that took place in the Operating Room.

Number of PRBC Transfusions	Include total number of Packed Red Blood Cell transfusions during infant stay. Does NOT include whole blood transfusions. Enter zero if none occurred or if unknown. (Mandatory field)
Number of platelet transfusions	Include number of platelet transfusions during infant stay. Enter zero if none occurred or if unknown. (Mandatory field)
Number of FFP transfusions	Include number of Fresh Frozen Plasma transfusion during infant stay. Enter zero if none occurred or if unknown. (Mandatory field)

### **TYPE OF INFECTION**

An “episode” of infection is defined as any event where infection is suspected and as a result begins with:

- (a) bacteriological or viral samples taken; OR
- (b) antibiotics are initiated; OR



- (c) a diagnosis of infection is made.

If samples are taken: an “episode” of infection includes all cultures drawn within *10 days* of the initial positive culture, except in the following circumstances (which indicate then a new infection episode):

- 1) A new organism is found in a repeat culture within *10 days* of the initial culture draw date.
- 2) The same (or new) organism is found in a *new type* of culture within *10 days* of the initial culture draw date.

After *10 days*, any persistent infection should be considered a new infection episode. However, any repeat culture after *10 days* that proves to be negative should be excluded entirely as it is neither the start of a new episode nor part of the previous episode (as it is beyond the 10 day limit).

For calculation of 10 days, count day of infection as “day 1”.

**CENTRAL NERVOUS SYSTEM INFECTION:** Includes meningitis, ventriculitis, spinal abscess without meningitis and brain abscess (ie. epidural abscess).

***Meningitis or ventriculitis*** must meet the following criterion:

- a) A pathogen must be isolated from or detected in CSF.

**PRIMARY BLOODSTREAM INFECTION:** Includes laboratory-confirmed bloodstream infection and clinical sepsis.

***Laboratory-confirmed bloodstream infection*** must meet one of the following criteria:

1. Recognized pathogen isolated from a blood culture **where** pathogen is not related to infection at another site.<sup>1</sup>
2. One of the following: fever (>38°C), chills, or hypotension **and** any of the following:
  - a) Common skin contaminant<sup>2</sup> isolated from 2 blood cultures drawn on separate occasions and where the organism is not related to infection at another site<sup>1</sup>
  - b) Common skin contaminant isolated from patient’s blood culture with intravascular access device and physician institutes appropriate antimicrobial therapy for at least 5 days
  - c) Common skin contaminant isolated from patient’s blood culture and physician institutes appropriate antimicrobial therapy for at least 5 days
  - d) Positive antigen test on blood<sup>3</sup> and organism is not related to infection at another site
3. Patient is less than or equal to 12 months of age and has one of the following: fever (>38°C), hypothermia (<37°C), apnea, or bradycardia **and** any of the following:
  - a) Common skin contaminant<sup>2</sup> isolated from 2 blood cultures drawn on separate occasions and where the organism is not related to infection at another site<sup>1</sup>
  - b) Common skin contaminant isolated from patient’s blood culture with intravascular access device and physician institutes appropriate antimicrobial therapy for at least 5 days
  - e) Common skin contaminant isolated from patient’s blood culture and physician institutes appropriate antimicrobial therapy for at least 5 days

Positive antigen test on blood<sup>3</sup> and organism is not related to infection at another site

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<sup>1</sup> When an organism isolated from a blood culture is compatible with a related nosocomial infection at another site, the bloodstream infection is classified as a secondary bloodstream infection. Exceptions to this are intravascular device-associated bloodstream infections, all of which are classified as primary even if localized signs of infection are present at the access site.

<sup>2</sup> Organisms that are normal skin flora (eg. *diphtheroids*, *Bacillus sp.*, *Propionibacterium sp.*, *coagulase-negative staphylococci*, or *micrococci*).

<sup>3</sup> Detection of bacterial, fungal, or viral antigen (eg. *Candida sp.*, *herpes simplex*, *varicella zoster*, *Haemophilus influenzae*, *streptococcus pneumoniae*, *Neisseria meningitidis*, group B *strptococci*) by rapid diagnostic test (eg. counterimmunoelectrophoresis, coagulation, or latex agglutination)

**TYPE OF INFECTION (CONTINUED)**

Date	Enter the date using the pull-down calendar. If the date of diagnosis is unknown, please record the date when treatment began (i.e. when antibiotic was initiated). If the start date of treatment is unknown and the patient was admitted with infection from another hospital, then enter the date of admission. Date of diagnosis of infection could be before date of admission. Leave the field blank if information is not available.
Diagnosis Type	Select the diagnosis type for each episode of infection from the scroll down list according to the descriptors of infection types given in appendix V.
UTI Organism	If infant was diagnosed with Urinary Tract Infection (UTI), select the organism from the dropdown list. If there were more than one UTI organisms identified from the same urine culture, select "other organism". If UTI organism is unknown, leave blank.
Urine Culture	If infant was diagnosed with Urinary Tract Infection (UTI), select the type of urine culture from the following options: Bag / Catheterized sample / Suprapubic tap / Clean catch / Other / Unknown. If there were more than one culture sites, record each of them on multiple lines. If done via nephrostomy tube or other surgical site, record as other. If done via indwelling foley catheter or in/out, record as catheter.

**POSITIVE VIRAL ISOLATES**

- ❖ If a culture of secretion, body fluid, surface swab or any other tissue material is found to be positive for virus, or if molecular test (e.g. PCR) is positive for virus, then enter the date when the specimen was taken, the organism isolated and the source of specimen.
- ❖ Record only positive viral isolates that occur at your study hospital. If a specimen is taken at another hospital prior to this admission visit, and it is positive AND the baby is considered to have an infection at the time of arrival to your hospital, this should be recorded as an infection episode under “Type of Infection” (given that this is an ongoing concern of the patient). In this instance, record the infection type according to the definitions of infection diagnosis listed in appendix V, and make a note in the comments box regarding the details of the positive culture including the organism discovered.
- ❖ If patient is transferred to your hospital from a non-CNN hospital with a positive viral isolate, record it as “**Admitted with infection from other hospital**” under “Type of Infection”.
- ❖ Include positive viral isolates found on **autopsy**, and enter date of death as date of the viral isolation.
- ❖ **Admissions to NICU from your Emergency Department:** Include positive viral isolations done in the emergency department of your hospital immediately prior to admission to NICU. Record the appropriate date of the specimen (this may be the day before your admission date for those who are admitted after midnight.)

Virus	See Appendix VII for the list of pathogenic viruses to include. Only enter confirmed cases. Do not include suspected cases.  If multiple viruses are found in the same specimen, enter each virus separately on a new line in the table.  If the same virus was found in more than one specimen and isolated from <i>the same source</i> during the same admission, only enter it once.  If the same virus was found in more than one specimen and isolated from <i>different sources</i> during the same admission, enter them on separate lines.  Enterovirus: examples include Coxsackie virus, echovirus
Source	Identify the source of specimen for isolation. Choose from bronchoalveolar lavage (“bronchioalveolar washing”), endotracheal aspirate, nasopharyngeal swab/nasopharyngeal wash, throat swab, rectal swab/stool, urine, surface swab (including skin blisters) and other body fluid/tissue.
Date	For each positive viral isolate, record the date when the specimen was taken, NOT the date the culture was found to be positive. Enter the date using the pull-down calendar. Leave the field blank if the date is unknown.

## Screen 13: MEDICATIONS SCREEN DEFINITIONS

### Medications Reviewed

Post-Natal Steroids

	Type	Indication	Route	Start Date	End Date
▶*	▼		▼		

Surfactant

	Date	Time	Type	Method	Premedication	Atropine
*			▼	▼	▼	▼

PDA Treatment

	Treatment	Start Date	End Date
*	▼		

Caffeine

Start Date

End Date

**Refer to appendix I for a complete list of drugs** and the categories they are classified under. If you are unsure what category a medication should be classified under contact the CC for advice. Record each complete course of a particular medication as a single line. Therefore if Dexamethasone is given for 11 days but only given every other day, this would be scored as 1 ‘course’, and each day need **not** be scored separately on a new line. Generally if a medication is stopped for more than 48 hours and restarted, this is considered the start of a new ‘course’..

**If a patient is transferred in** on a particular medication, record the date of admission to your unit as the start date.  
**If a patient is transferred out** while still receiving a particular medication, record the discharge date as the end date.

### **POST-NATAL STEROIDS**

Type	Select from the scroll down list the type of post-natal steroid administered. Common steroids include: <b><i>dexamethasone, budesonide, hydrocortisone and beclamethasone</i></b> . If a steroid is not included in the list, select “Other”
Indication	Select indication from the list. Select “other” when an indication is not in the list and “unknown” when not specified. The list of relevant items will be displayed for the given selected steroid type. If prophylactic hydrocortisone was initiated in the first 48 hours after birth as per the PREMILOC protocol, select "Prophylaxis for BPD" under post-natal steroids indication.
Route	Select route of administration from the pull-down list. If the route is IM, select "parenteral".

Start Date	First date of administration of all post-natal steroids given during this hospital admission. Record regardless of method of administration (i.e. bolus or infusion). <b>Do NOT score steroids given to the mother prior to the infant's birth.</b> Enter the date using the pull-down calendar.
End Date	Last date of administration of the listed steroid. Enter the date using the pull-down calendar. <b>If a medication is only given for 1 day score that day as both the start and end date.</b>

### **SURFACTANT**

Here you will enter date, time and type of surfactant administration.

Once you enter details of first dose, a second row will be populated which will allow you to enter subsequent doses if patient has received. Note that date of second dose could not be prior to first dose.

Date of administration	Enter the calendar date in which the dose of surfactant was given (regardless of whether the dose was given <b>while the infant was admitted to your NICU or not</b> ). The default date of the calendar will be set to the birth date, however if this is not correct, you will need to edit this date. If the date on which surfactant is given is unavailable, leave the field blank.
Time of Dose	Record the time in <i>military time</i> (24 hr clock) at which the dose of the particular surfactant was given (should correspond to the date listed above). Record midnight as 00:00 (and the first minute of a new day; for example: 23:59 on Jan 3 <sup>rd</sup> and 00:00 on Jan 4 <sup>th</sup> is 1 minute later). If the time at which surfactant is given is unavailable, leave blank.
Type	Score all surfactants (i.e. BLES, Survanta, Exosurf, Curosurf etc.) given to a patient using the pull-down menu. If surfactant was given to the infant mixed with Budesonide, select the appropriate option. Do not record Budesonide on Post-natal Steroids Screen if it was only given with surfactant. <i>Score regardless of whether given at your hospital or at a different transferring/birth hospital.</i> If surfactant was given at a previous hospital, record all the information if available.
Method	Select the method of surfactant administration from options: <ol style="list-style-type: none"> <li>1. Endotracheal</li> <li>2. LISA/MIST</li> <li>3. Other</li> <li>4. Unknown</li> </ol> Score regardless of whether the surfactant was given at your hospital or at a different transferring/birth hospital. If surfactant was given at a previous hospital, record all the information if available.  Less Invasive Surfactant Administration (LISA) includes administration of surfactant with the use of thin catheter (special catheter, intravenous cannula or NG tube). Also include Minimally Invasive Surfactant Treatment (MIST) under this option.  Other includes aerosol surfactant.
Premedication	If surfactant was given to the infant via Less Invasive Surfactant Administration (LISA) or Minimally Invasive Surfactant Treatment (MIST), record the premedication if it was used to provide <i>analgesia</i> or <i>sedation</i> . Sucrose and Atropine are <u>not</u> considered as premedication for this procedure. Select "other" if more than 1 different medications were used. Select from: Fentanyl / Ketamine/ Propofol / Morphine / Midazolam / None / Other
Atropine	If surfactant was given to the infant via LISA or MIST, record whether Atropine was used with premedication.

**CAFFEINE**

Start and End Dates	Enter the start date and final stop date of caffeine administration using the pull-down calendar. <b><i>DO NOT enter the dates when caffeine was intermittently stopped and restarted.</i></b> Do not enter theophylline or aminophylline here. If caffeine was only given for 1 day, score that day as both the start and end date.
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**PDA TREATMENT**

Treatment	Select the type of treatment from scroll down list. Options include Acetaminophen, Ibuprofen and Indomethacin.
Start Date	First date of administration of the PDA treatment during this hospital admission. Enter the date using the pull-down calendar.
End Date	Last date of administration of the PDA treatment. Enter the date using the pull-down calendar. If the medication is only given for 1 day score that day as both the start and end date.

## SCREEN 14: DISCHARGE SCREEN DEFINITIONS

### Discharge

Reviewed

Discharge Destination

Discharge Status

Community Hospital

Tertiary Hospital

Inpatient Location

Autopsy

Yes  No  Unknown

Cause of Death

CNFUN referral

Yes  No  Unknown

Please, select follow-up hospital

Chronic Pulmonary Hypertension after day 28 of birth

Evaluation

Diagnosis

[Enter Date]

At Discharge

Monitor

Gavage

Ostomy

Tracheostomy

Gastrostomy

Breast Milk

Formula

BPD

Recorded by abstractor

Yes

No

Unknown

Calculated @ Validation

No BPD

Mild BPD

Moderate BPD

Severe BPD

Missing data

	Day 28 After Birth	W36 Post Menstrual	W40 Post Menstrual	Discharge Date
Date:	2023 Jan 28	2023 Feb 26	2023 Mar 26	[Enter Date] <input type="text"/>
Weight (g)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Head Circumference (cm)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Length (cm)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Level of Respiratory Support	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Oxygen %	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Nasal Cannula (cc)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
MAP	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Complete this screen for all patients that are discharged from your NICU, regardless of whether or not they are transferred out of your hospital or to another ward/nursery within your hospital. If a patient is transferred within your hospital enter your hospital name and the nursery name under the appropriate level of care received there. If a patient is **discharged to another hospital for less than 24 hours for either surgical or medical care** that can not be given at your hospital, you need **not** count them as a discharged patient. Mention “other facility” in Patient Chart screen and continue data collection for the rest of the patient’s hospital stay in the current data set. If however the patient is discharged for more than 24 hours, complete the discharge information and record their return as a readmission, entering the remainder of the hospital stay in the new ‘readmission’ data set.

Discharge Status	<p>Indicate the status on discharge from your NICU.</p> <p>Select “Current Inpatient: if: the baby is not yet discharged from your NICU, but you wish to keep track of the record in the database.</p> <p><b>Score “Died” if:</b> the baby died during this hospital stay.</p> <p><b>Score “Discharged on Palliative Care” if:</b> the baby was discharged to home or other institution for palliative care.</p> <p><b>Select “Discharged to Community Hospital” if:</b> the baby was discharged from your NICU and transferred to any term (level I/level II/regular/healthy baby) nursery/community hospital. Then complete the <i>Community Hospital</i> field by selecting the province, city and name of the community hospital from the</p>
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	<p>drop-down menus (in which case you should follow up on this baby with the post-transfer screen, if possible).</p> <p><b>Score “Discharged to Home” if:</b> the baby was discharged home (or into home foster care) from your NICU. If the baby is discharged into foster care, it is <b>not</b> necessary to make a note in the comments box. We are only interested in the type of care the baby is to receive, i.e. home care versus hospital care. However, if the infant is discharged home on palliative care, record as palliative care.</p> <p><b>Score “Discharged to Tertiary Hospital” if:</b> the baby was transferred to one of the other CNN hospitals (in which case you should follow up on this baby with the post-transfer screen) or to another tertiary care centre. Then complete the <i>Tertiary Hospital</i> field by selecting the province, city and name of the tertiary hospital from the drop-down menus.</p> <p><b>Score “Out of Country Discharge” if:</b> the baby was discharged to a facility outside of Canada or home outside of Canada.</p> <p><b>Score “Transferred to another inpatient area in hospital” if:</b> the baby was transferred to an inpatient area within your hospital. Selection of this field will activate the Post Transfer screen. Complete the Inpatient Location field by selecting from the drop-down menu:</p> <ol style="list-style-type: none"> <li>1. PICU/PCCU</li> <li>2. L2N/SCN</li> <li>3. Operation Room*</li> <li>4. CCU/CCCU</li> <li>5. Labor &amp; Delivery</li> <li>6. Mother/Baby unit</li> <li>7. Pediatric Ward</li> <li>8. Others</li> <li>9. Unknown</li> </ol> <p><b>*If the baby was transferred to the OR,</b> and does not return to the NICU (i.e. discharged from the NICU on the way to the OR), enter as OR (at your hospital), but do not score this operation on the diagnosis and procedures screen.</p>
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### **SUPPORT AT DISCHARGE**

If the infant was not on any of the supports listed below at the time of discharge or transfer, leave this section blank.  
**Do NOT mark anything in this column if the baby died.**

Monitor	Score this if the patient is receiving continuous cardiac or apnea monitoring <b>at the time of discharge/transfer</b> . If the chart does not specify and discharge is to a level 2 or 3 community hospital, score monitor at discharge. If the chart does not specify and discharge is to the routine (level 1) nursery, do not score. If discharged home, there must be clear evidence of plans for home monitoring to score this item.
Gavage	Score this if the patient received gavage feeding (any PG or NG feeds) <b>at any time in the 24 hours prior to discharge/transfer</b> . If you are already scoring gastrostomy at discharge, <b>do not</b> score gavage at discharge as well.
Ostomy	Score this if the patient has any ostomy (ileostomy or colostomy, <b>but not</b> tracheostomy or gastrostomy) <b>at the time of discharge/transfer</b> .
Tracheostomy	Score this if the patient has a tracheostomy in place <b>at the time of discharge/transfer</b> .
Gastrostomy	Score this if the patient has a gastrostomy in place <b>at the time of discharge/transfer</b> .
Breast Milk	Record if patient was receiving any breast milk in the previous 24 hours of discharge. If powder or liquid formula supplements are being added to breast milk in the 24 hours before discharge, then it should be recorded as breast milk and NOT recorded as formula. <u>Note:</u> powder or liquid formula supplements are often small in volume and used for the purposes of increasing caloric content.

Formula	Record if patient was receiving any formula in the previous 24 hours of discharge. Do not score formula if powder or liquid formula supplements are being added to breast milk in the 24 hours before discharge. <u>Note:</u> powder or liquid formula supplements are often small in volume and used for the purposes of increasing caloric content).
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**AUTOPSY**

If the death is selected as disposition of the infant during this admission, then the following screen will be activated and require completion.

Autopsy	Record whether autopsy consent was obtained. This information will be used to recall charts later to verify causes of death.
Cause of death	<b>Record the principle cause of death as stated by the attending physician or autopsy findings</b> (maximum 255 characters). Where there is a discrepancy, ask the physician to verify the cause of death. <b>Use underlying diagnoses, NOT terminal events</b> like "cardiac arrest." Field is only activated if "Died" was selected from the Discharge Destination field and it becomes mandatory in this case.

**CNFUN REFERRAL**

CNFUN Referral	Complete this section regardless of whether or not your site participates in CNFUN. Select yes if baby was referred to a CNFUN hospital for neurodevelopmental assessment. This field is applicable to babies less than 29 weeks GA and for the purpose of linking to CNFUN babies only.
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**CHRONIC PULMONARY HYPERTENSION**

Section is enabled only if infant is more than 28 days of age during the NICU visit

Evaluation	Select yes if infant was evaluated by cardiac ultrasound or cardiac catheter at any point > 28 days after birth. Cardiac ultrasound includes cardiology echocardiogram and targeted neonatal echocardiography.
Diagnosis	Select yes if, at any point > 28 days after birth, there is documentation of chronic pulmonary hypertension (some may document it as pulmonary hypertension).
Diagnosis date	Document the diagnosis date as the date of the first cardiac ultrasound or cardiac catheter if it was done at >28 days after birth and the report mentioned <i>at least one</i> of the following: <ul style="list-style-type: none"> <li>- pulmonary hypertension</li> <li>- chronic pulmonary hypertension</li> <li>- flat interventricular septum in systole</li> <li>- bidirectional or right to left shunt at PDA</li> <li>- bidirectional or right to left shunt at Ventricular septal defect (VSD)</li> </ul> <p>Score even if the condition was resolved before discharge.</p>



**BRONCHOPULMONARY DYSPLASIA (BPD) / CHRONIC LUNG DISEASE (CLD)**

Applicable for babies ≤ 32 weeks GA

BPD/CLD	<p>There are two displays of BPD/CLD in the CNN database:</p> <ol style="list-style-type: none"> <li>1. BPD recoded by abstractor: Manually entered by selecting yes, no or unknown based on clinician’s notes. (Mandatory field) <ul style="list-style-type: none"> <li>• <b>Yes</b> – Clinical notes describe BPD <u>OR</u> there is use of “oxygen” and/or “invasive or non-invasive ventilatory support” and/or “high flow air” when infant reaches 36 weeks of postmenstrual age or at the time of discharge to Level 2 hospital, whichever is earlier.</li> <li>• <b>No</b> <ul style="list-style-type: none"> <li>- There is no use of “oxygen” and/or “invasive or non-invasive ventilatory support” and/or “high flow air” <u>OR</u></li> <li>- There is use of “oxygen” and/or “invasive or non-invasive ventilatory support” and/or “high flow air” <u>BUT</u> there is a confirmed explanation for such requirement like an acute event such as surgical procedures, postoperative care, or congenital central hypoventilation syndrome at 36 weeks CGA or at discharge. The infant prior to this acute event was in room air for prolonged period of time.</li> </ul> </li> <li>• <b>Unknown</b> – Score this if diagnosis of BPD is unknown or not available.</li> </ul> </li> <li>2. Upon validation (when the “Validate Case” button is clicked on the Validate Case Screen), a calculated value is displayed based on other data entries using the following algorithm:</li> </ol> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="background-color: #4F81BD; color: white;">BPD</th> <th style="background-color: #4F81BD; color: white;">Oxygen</th> <th style="background-color: #4F81BD; color: white;">Mode of delivery of oxygen</th> <th style="background-color: #4F81BD; color: white;">Flow rate</th> </tr> </thead> <tbody> <tr> <td style="background-color: #4F81BD; color: white;">No BPD</td> <td>21%</td> <td>None</td> <td>-</td> </tr> <tr> <td rowspan="3" style="background-color: #4F81BD; color: white;">Mild BPD</td> <td>&gt;21%</td> <td>Headbox or Incubator</td> <td>Any amount</td> </tr> <tr> <td>100%</td> <td>Nasal cannula</td> <td>&lt;100cc/min</td> </tr> <tr> <td>≥21-99%</td> <td>Nasal cannula blended air/oxygen</td> <td>&lt; 1.5 L/min</td> </tr> <tr> <td rowspan="3" style="background-color: #4F81BD; color: white;">Moderate BPD</td> <td>100%</td> <td>Nasal cannula</td> <td>≥100 cc/min</td> </tr> <tr> <td>≥21-29%</td> <td>Nasal cannula blended air/oxygen</td> <td>≥ 1.5 L/min</td> </tr> <tr> <td>≥21-29%</td> <td>CPAP, SIPAP, NIPPV, NIHFV</td> <td></td> </tr> <tr> <td rowspan="3" style="background-color: #4F81BD; color: white;">Severe BPD</td> <td>≥30%</td> <td>Nasal cannula blended oxygen</td> <td>≥ 1.5 L/min</td> </tr> <tr> <td>≥30%</td> <td>CPAP, SIPAP, NIPPV, NIHFV</td> <td></td> </tr> <tr> <td>≥21-100%</td> <td>Mechanical ventilation (Intubated)</td> <td></td> </tr> </tbody> </table> <p>The manually entered BPD value will NOT override the calculated value. In case of discrepancies between the two values, the CNN Site Investigator will advise the coordinating centre with respect to the final call of BPD for reporting purposes.</p>	BPD	Oxygen	Mode of delivery of oxygen	Flow rate	No BPD	21%	None	-	Mild BPD	>21%	Headbox or Incubator	Any amount	100%	Nasal cannula	<100cc/min	≥21-99%	Nasal cannula blended air/oxygen	< 1.5 L/min	Moderate BPD	100%	Nasal cannula	≥100 cc/min	≥21-29%	Nasal cannula blended air/oxygen	≥ 1.5 L/min	≥21-29%	CPAP, SIPAP, NIPPV, NIHFV		Severe BPD	≥30%	Nasal cannula blended oxygen	≥ 1.5 L/min	≥30%	CPAP, SIPAP, NIPPV, NIHFV		≥21-100%	Mechanical ventilation (Intubated)	
BPD	Oxygen	Mode of delivery of oxygen	Flow rate																																				
No BPD	21%	None	-																																				
Mild BPD	>21%	Headbox or Incubator	Any amount																																				
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Moderate BPD	100%	Nasal cannula	≥100 cc/min																																				
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	≥30%	CPAP, SIPAP, NIPPV, NIHFV																																					
	≥21-100%	Mechanical ventilation (Intubated)																																					

**DAY 28 / WEEK 36 / WEEK 40 / DISCHARGE**

*The scoring period for “day 28” data should be from 00:00 hr on day 28 of life to 23:59 hr (24 hours).* For your convenience, the calendar date of day 28 has been calculated and is listed in red. If you are missing part of the day 28 flow sheet and you can get accurate information from other sources (i.e. progress notes), then score based on these other sources. Otherwise, you should use the closest complete 24 hour scoring period (it is okay to adjust times of day), but it should not be more than 48 hours off from day 28.

The scoring period for “week 36” data should be from 00:00 hr on day one of **week 36** to 23:59 hr (24 hours). Otherwise, you should use the closest complete 24 hour scoring period (it is okay to adjust times of the day). For your convenience, the calendar date of the first day of week 36 has been calculated where appropriate and is displayed in red on the right upper corner of this screen.

The scoring period for “week 40” data should be from 00:00 hr on day one of **week 40** to 23:59 hr (24 hours). Otherwise, you should use the closest complete 24 hour scoring period (it is okay to adjust times of the day). For your convenience, the calendar date of the first day of week 40 has been calculated where appropriate and is displayed in red on the right upper corner of this screen.

**Week 36 and week 40 data need never be collected if the gestational age is 33 weeks or more. Week 36 and week 40 also need not be collected if the baby is discharged home, to a level 1 nursery, or if the patient dies before 36 or 40 weeks.** In these instances, the week 36 and week 40 weights and head circumferences will be grayed out and you will not need to enter anything under this section. If a baby is transferred to another **level 2 or level 3** hospital before week 36 where available, score according to the receiving hospital's records.

Day 28, week 36 and week 40 data are auto-populated for readmissions of each baby.

Date	These dates will be automatically calculated from the admission screen (mandatory field).
Discharge Date	Record the infant's date of discharge.
Weight	Record infant's weight nearest day 28, week 36, week 40 and discharge (within 2 days). If not weighed or data not available, leave the field blank.
Head circumference	Record infant's head circumference nearest day 28, week 36, week 40 and at discharge (within 7 days). If the information is unavailable, or if head circumference is not measured within 7 days, leave the field blank.
Length	Record the length of infant in centimeters nearest day 28, week 36, week 40 and at discharge (within 7 days). If the information is unavailable, or if length is not measured within 7 days, leave the field blank. Range is valid between 20 and 65 cm.
Level of Respiratory Support	<p><b>Score "None"</b> if no respiratory support was being used or if the baby was only receiving humidity for tracheostomy patients at day 28, week 36 or week 40 as appropriate.</p> <p><b>Score "Headbox or Incubator"</b> if the baby is receiving oxygen via headbox or incubator, without Nasal Cannula, at day 28, week 36 or week 40 as appropriate and at discharge/transfer.</p> <p><b>Score "Nasal cannula"</b> if the baby is receiving air and/or oxygen via nasal cannula, at day 28, week 36 or week 40 as appropriate and at discharge/transfer. This also includes "High Flow Nasal Prongs (HFNP)" (item #6) and "Low Flow Air" (item #8) as defined on the Patient Chart screen.</p> <p><b>Score "CPAP/SiPAP/NI"</b> if the baby is on CPAP, SiPAP or Non Invasive Ventilation – items #4 or #5 on the Patient Chart Screen, at day 28, week 36 or week 40 as appropriate and at discharge/transfer.</p> <p><b>Score "Mechanical Ventilation"</b> if baby was receiving mechanical ventilation as defined on the Patient Chart Screen as "HFV (item #2) or "IPPV" (item #3), at day 28, week 36 or week 40 as appropriate and at discharge/transfer. Route must be endotracheal tube or tracheostomy tube.</p>
Oxygen %	<p>This field is enabled if the corresponding <i>Level of Respiratory Support</i> is headbox or incubator, nasal cannula, CPAP/SiPAP/NI or mechanical ventilation.</p> <p>Record the level of oxygen percentage on day 28, week 36 or week 40 as appropriate and at discharge. Please enter maximum oxygen percentage given on that day between 21 and 100. Enter 100 if patient is on oxygen via nasal cannula.</p>
Nasal Cannula (cc)	<p>This field is enabled if the corresponding <i>Level of Respiratory Support</i> is nasal cannula.</p> <p>Record the flow of gas in cc per minute given via the nasal cannula on day 28, week 36 or week 40 as appropriate and at discharge. (maximum value 10,000 cc)</p>
MAP	<p>This field is enabled if the corresponding <i>Level of Respiratory Support</i> is CPAP/SiPAP/NI or mechanical ventilation.</p> <p>Record the highest mean airway pressure given on day 28, week 36 or week 40 as appropriate and at discharge. If baby is on CPAP record the CPAP level as MAP. If baby is on NIPPV, enter Expiratory positive airway pressure (EPAP) as MAP.</p>

## **SCREEN 15: POST TRANSFER SCREEN DEFINITIONS (OPTIONAL)**

**Post Transfer**  Reviewed

	Destination from next hospital	Date of next discharge	If transferred/other (specify)	Last day on oxygen
▶*	▼			

**Complete this screen only for patients that are discharged to another level 2 or level 3 nursery from your NICU.**

**If patients are discharged home or to a level 1 nursery from your NICU leave this screen blank.** Once a patient has been classified as being included under ‘post-transfer’ then you should continue to complete this screen for each time there is a transfer, until the patient is finally discharged home or to a level 1 nursery. If the information for certain items will never be available (e.g. the baby has been discharged from the next hospital and they do not have the oxygen information anymore), score these items as “unknown” or leave the field blank where appropriate.

Destination from next hospital	Record destination on discharge from second hospital here. If second discharge is to another hospital or the baby died at the subsequent location <b>score “other”</b> and <b>record the destination/death in the “if transferred/other (specify)” box</b> . If second discharge is unknown score as “unknown or N/A”. If baby has died, select “Death”.
Date of next discharge	Record the date of discharge from the subsequent location. If that discharge was to a destination other than home, record the next discharge information again in the box that pops up below.
If transferred/other, specify	If discharge destination is other than home, record destination from second hospital here.
Last day on oxygen at hospital	If the infant was still on oxygen at the time of the primary discharge, attempt to ascertain from the receiving hospital what day supplemental oxygen was finally discontinued. If the baby did not receive O2 at the receiving hospital, leave blank. If the baby went home or to another hospital/nursery on O2 and last day on O2 is unknown, use the date of discharge.

## **SCREEN 16: VALIDATE CASE SCREEN DEFINITIONS**

**Validate Case**

Please enter any extra notes for this case that did not fit in the Comments box in the Admission page.

Notes

Validation Status [Print Status](#)

Here you can enter any notes that you did not have enough room previously to enter (maximum characters=255). Press “Validate Case” for an error report that will highlight missing, inaccurate or conflicting data and dates, or an acknowledgement of a correctly entered case.

You can print off a status report if you so desire by selecting the “Print Status” link to the upper right of the “Validate Case” button.

# SCREEN 17: RESPIRATORY CARE SCREEN DEFINITIONS

\*To be completed by sites participating in the NIPPV vs CPAP study\*

## Respiratory Care (GA < 29 weeks)

Reviewed

Screening of all patients and confirmation of eligibility

Was patient intubated and ventilated for longer than 2 hours?

Yes  No

Was patient ever extubated in your NICU?

Yes  No

Did first extubation occur for withdrawal of life sustaining treatment?

Yes  No

Date/Time(24hr) of Initial Extubation

[Enter Date]  :

NRS support mode following first extubation

CPAP  NIPPV  Other

Was initial post-extubation CPAP use in "BiPhasic" form?

Yes  No

Was initial post-extubation NIPPV use in "NIV-NAVA" form?

Yes  No

Assess transitions (if any) from CPAP within first 7 days post-initial extubation

Remained on CPAP only or any combination of CPAP/HFNC/LFNC/BiPhasic CPAP or room air

Maximum PEEP on CPAP or MAP on BiPhasic CPAP  cmH2O

If Maximum PEEP/MAP >12 cmH2O

Date/Time(24hr) when exceeded [Enter Date]  :

BiPhasic CPAP used at any time within first 7 days post-extubation

Changed (escalated) to another mode from CPAP

NIPPV  
 NIV-NAVA  
 NIV-NAVA  
 Intubation  
 Other

Date/Time(24hr) when changed

[Enter Date]  :

Settings on CPAP/BiPhasic prior to change

PEEP/MAP  cmH2O

FiO2

Assess transitions (if any) from NIPPV within first 7 days post-initial extubation

Remained on NIPPV only or combination of NIPPV/ CPAP <= 10cmH2O/ HFNC/ LFNC or room air

Maximum PEEP on NIPPV  cmH2O

If Maximum PEEP >10 cmH2O

Date/Time(24hr) when exceeded [Enter Date]  :

Maximum PIP on NIPPV  cmH2O

If Maximum PIP >24 cmH2O

Date/Time(24hr) when exceeded [Enter Date]  :

Maximum set rate on NIPPV  bpm

If Rate >60 bpm

Date/Time(24hr) when exceeded [Enter Date]  :

Changed (escalated) to another mode from NIPPV

CPAP >10 cmH2O  
 PEEP on CPAP  cmH2O  
 NIV-NAVA  
 NIV-NAVA  
 Intubation  
 Other

Date/Time(24hr) when changed

[Enter Date]  :

Settings on NIPPV prior to change

PIP  cmH2O

PEEP  cmH2O

Rate  bpm

i-Time  sec

FiO2

## **Screening of all patients and confirmation of eligibility**

Was patient intubated and ventilated for longer than 2 hours?	Check “yes” if patient was intubated and ventilated for longer than 2 hours. Remaining screen is disabled when “no” is selected.
Was patient ever extubated in your NICU?	Check “yes” if patient was ever extubated in your NICU. Remaining screen is disabled when “no” is selected.
Did first extubation occur for withdrawal of life sustaining treatment?	Check “yes” if the first extubation occur due to withdrawal of life-sustaining treatment, palliation, or redirection of care. Remaining screen is disabled if “yes” is selected.
Date/Time (24hr) of Initial Extubation	Enter the date and time of the <i>initial</i> extubation (regardless of postmenstrual age/chronological age at time of extubation). This includes unplanned extubation where decision was made to leave the infant un-intubated and includes extubation as part of INSURE. <i>However, only count extubation if not re-intubated within 1 hour. If re-intubated within 1 hour, ignore this attempt, and look for next extubation.</i>
NRS support mode following the first extubation	Select the Non-invasive Respiratory Support (NRS) mode utilized following the first extubation. <ol style="list-style-type: none"> <li>1. <b>CPAP:</b> Check this box if the infant received CPAP (including “Biphasic CPAP” – see below) following the first extubation.</li> <li>2. <b>NIPPV:</b> Check this box if the infant received NIPPV following the first extubation. <b>Note:</b> “NIV-NAVA” is included in this NIPPV arm. Other labels for NIPPV may include “NIMV”, “NIV-PC” or “NIV-PS”. In rare instances, the term “NIV” by itself may be used to refer to NIPPV.</li> <li>3. <b>Other:</b> Check this box if the infant received NRS other than CPAP or NIPPV following the first extubation. This may include, but not limited to, NIHFV (which may also be labeled as nHFOV or NIHFV); HFNC (which may also be labeled as HHHFNC or HHFNC); and LFNC.</li> </ol>
Was initial post-extubation CPAP use in “BiPhasic” form?	Indicate whether <i>initial</i> post-extubation CPAP was utilized in “Biphasic” form by choosing “yes” or “no”. <b>Note:</b> Biphasic CPAP offers two levels of CPAP and may be labeled as any of the following: “Biphasic CPAP”, “Bi-level CPAP”, “Duo-PAP”, “SiPAP”, or “BiPAP”.
Was initial post-extubation NIPPV use in “NIV-NAVA” form?	Indicate whether initial post-extubation NIPPV was utilized in “NIV-NAVA” form by choosing “yes” or “no”.

## **CPAP arm - Assessment of respiratory support following *initial* extubation**

This section is enabled when CPAP is selected as the NRS support mode utilized.

Remained on CPAP only or any combination of CPAP/HFNC/LFNC /BiPhasic CPAP or room air	Select this box if the infant remained on CPAP only, a combination of CPAP/HFNC/LFNC/BiPhasic CPAP or room air for the first 7 days post- <i>initial</i> extubation. The end point refers to the end of 7 24-hour periods. This end point (date/time) is displayed on the screen when the date/time of initial extubation is entered above.  If selected, indicate the maximum value (cmH <sub>2</sub> O) of PEEP on CPAP or MAP on BiPhasic CPAP used over the first 7 days post-initial extubation.  If the maximum PEEP or MAP on BiPhasic >12 cmH <sub>2</sub> O, enter the date and time when it exceeded the suggested maximum. This value only needs to be recorded for the <i>first event</i> in which PEEP was exceeded.
BiPhasic CPAP	Score if BiPhasic CPAP was used at any time within first 7 days post-initial extubation. <b>Note:</b> Biphasic CPAP offers two levels of CPAP and may be labeled as any of the following: “Biphasic CPAP”, “Bi-level CPAP”, “Duo-PAP”, “SiPAP”, or “BiPAP”.
Changed (escalated) to another mode from CPAP	If the infant was changed (or escalated) to another mode from CPAP within the first 7 days post-initial extubation, select the new mode used. Record the first event only. <ol style="list-style-type: none"> <li>1. NIPPV</li> </ol>

	<ol style="list-style-type: none"> <li>2. NIHFV</li> <li>3. NIV-NAVA</li> <li>4. Intubation</li> <li>5. Other</li> </ol> <p>If selected, record the date and time when the mode was changed from CPAP to another mode. Record the <i>first event</i> only.</p> <p>Also enter the settings on CPAP or BiPhasic prior to switching modes. Include the value of PEEP or MAP respectively in cmH<sub>2</sub>O and FiO<sub>2</sub>.</p>
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**NIPPV arm - Assessment of respiratory support following *initial* extubation**

This section is enabled when NIPPV is selected as the NRS support mode utilized.

<p>Remained on NIPPV only or combination of NIPPV/HFNC/LFN C or room air</p>	<p>Select this box if the infant remained on NIPPV only, a combination of NIPPV/HFNC/LFNC or room air for the first 7 days post-initial extubation. The end point refers to the end of 7 24-hour periods. This end point (date/time) is displayed on the screen when the date/time of initial extubation is entered above.</p> <p>If selected, enter the following:</p> <ol style="list-style-type: none"> <li>1. The maximum value of PEEP on NIPPV within the first 7 days post-initial extubation. <ul style="list-style-type: none"> <li>➤ If the maximum PEEP &gt;10 cmH<sub>2</sub>O, record the date and time when it exceeded the suggested maximum.*</li> </ul> </li> <li>2. The maximum value of PIP on NIPPV within the first 7 days post-initial extubation. <ul style="list-style-type: none"> <li>➤ If the maximum PIP &gt;24 cmH<sub>2</sub>O, record the date and time when it exceeded the suggested maximum.*</li> </ul> </li> <li>3. The maximum set rate on NIPPV in bpm within the first 7 days post-initial extubation. <ul style="list-style-type: none"> <li>➤ If the maximum set rate on NIPPV &gt;60 bpm, record the date and time when it exceeded the suggested maximum.*</li> </ul> </li> </ol> <p><b>*Only record the <i>first event out of the three</i> and no need to look beyond.</b></p>
<p>Changed (escalated) to another mode from NIPPV</p>	<p>If the patient was changed (or escalated) to another mode from NIPPV within the first 7 days post-initial extubation, select the new mode used. Record the first event only.</p> <ol style="list-style-type: none"> <li>1. CPAP &gt;10 cm H<sub>2</sub>O If the patient was switched to CPAP from NIPPV, record the PEEP level.</li> <li>2. NIHFV</li> <li>3. NIV-NAVA</li> <li>4. Intubation</li> <li>5. Other</li> </ol> <p>If selected, record the date and time when the mode was changed from NIPPV to another mode. Record the <i>first event</i> only.</p> <p>Also enter the settings on NIPPV prior to switching modes. Include the values of PIP and PEEP in cmH<sub>2</sub>O, NIPPV rate in bpm, i-Time in seconds and FiO<sub>2</sub>.</p>

## **SCREEN 18: ANTIMICROBIAL USE & SUSCEPTIBILITY SCREEN DEFINITIONS**

\*This screen is enabled only for sites that are participating in the Antimicrobial Stewardship Plan (ASP) study and for babies with birth weight <1500 grams and with at least one positive blood or CSF culture recorded  
**\* Only complete this screen for infants with birth weight <1500 grams**

### Antimicrobial use and Susceptibility (birth weight <1500 grams) ☐ Reviewed

Positive Blood or CSF Culture (if any) are listed by date of sample draw  
*Susceptible (S), Intermediate/Moderate (I/M), Resistant (R) or Unknown/ Not reported (U)*

Antimicrobial Use 2020 Nov 03

Antimicrobial	Start Date	End Date
<div style="border: 1px solid #ccc; padding: 5px;"> <div style="display: flex; justify-content: space-between; align-items: center;"> <span>▶*</span> <span>▼</span> </div> <ul style="list-style-type: none"> <li>Acyclovir</li> <li>Amikacin</li> <li>Amphoteroicin B</li> <li>Ampicillin</li> <li>Azithromycin</li> <li>Caspofungin</li> <li>Cefazolin</li> <li>Cefixime</li> <li>Cefotaxime (Claforan)</li> <li>Cefoxitin</li> <li>Ceftazidime</li> <li>Ceftriaxone</li> <li>Cephalexin</li> <li>Clarithromycin</li> <li>Clindamycin</li> <li>Cloxacillin</li> <li>Erythromycin</li> <li>Fluconazole</li> <li>Foscarnet</li> <li>Ganciclovir</li> <li>Gentamycin</li> <li>Linezolid</li> <li>Meropenem</li> <li>Metronidazole (Flagyl)</li> <li>Nitrofurantoin</li> <li>Nystatin (oral suspension)</li> <li>Pip-Tazo (same as Tazocin)</li> <li>Septra (same as Bactrim or Cotrimoxazole)</li> <li>Tobramycin</li> </ul> </div>		

### **ANTIMICROBIAL USE**

Antimicrobial	Select from the dropdown list the antimicrobial administered during this hospital admission. Enter on separate lines if the infant received more than one antimicrobials during this admission. Exclude antimicrobials given for prophylactic purposes.
Antimicrobial Start Date	<p>Record the first date of antimicrobial administration using the dropdown calendar.</p> <p>If an infant is transferred to your NICU from another CNN hospital while receiving a particular antimicrobial, record the date of admission to your NICU as the start date.</p> <p>If an infant is transferred to your NICU from a non-CNN facility or another inpatient area of your hospital, record the start date of antimicrobials at the referring facility. If the start date at the referring facility is not available, record the date of admission to your NICU as the start date.</p> <p>The start day of every single antimicrobial needs to be entered. Any re-start after a stop date refers to a second course, and needs to be entered on a separate line.</p>
Antimicrobial End Date	<p>Record the last date of antimicrobial administration using the dropdown calendar.</p> <p>If an infant is transferred out while receiving a particular medication, record the discharge date as the end date. If an antimicrobial was given for 1 day, record that day as both the start and end date.</p> <p>The end day of every single antimicrobial needs to be entered. Any re-start after a stop date refers to a second course.</p>



**ANTIMICROBIAL SUSCEPTIBILITY**

**Antimicrobial use and Susceptibility (birth weight <1500 grams)**

Reviewed

Positive Blood or CSF Culture (if any) are listed by date of sample draw  
*Susceptible (S), Intermediate/Moderate (I/M), Resistant (R) or Unknown/ Not reported (U)*

Antimicrobial Use 2020 Nov 03

Organism: Acinetobacter Baumannii									
Culture: Blood									
Amikacin	<input type="checkbox"/> S	<input type="checkbox"/> I/M	<input type="checkbox"/> R	<input checked="" type="checkbox"/> U	Cloxacillin/Oxacillin/ Methicillin	<input type="checkbox"/> S	<input type="checkbox"/> I/M	<input type="checkbox"/> R	<input checked="" type="checkbox"/> U
Ampicillin	<input type="checkbox"/> S	<input type="checkbox"/> I/M	<input type="checkbox"/> R	<input checked="" type="checkbox"/> U	Gentamicin	<input type="checkbox"/> S	<input type="checkbox"/> I/M	<input type="checkbox"/> R	<input checked="" type="checkbox"/> U
Cefazolin	<input type="checkbox"/> S	<input type="checkbox"/> I/M	<input type="checkbox"/> R	<input checked="" type="checkbox"/> U	Imipenem	<input type="checkbox"/> S	<input type="checkbox"/> I/M	<input type="checkbox"/> R	<input checked="" type="checkbox"/> U
Cefotaxime	<input type="checkbox"/> S	<input type="checkbox"/> I/M	<input type="checkbox"/> R	<input checked="" type="checkbox"/> U	Meropenem	<input type="checkbox"/> S	<input type="checkbox"/> I/M	<input type="checkbox"/> R	<input checked="" type="checkbox"/> U
Ceftazidime	<input type="checkbox"/> S	<input type="checkbox"/> I/M	<input type="checkbox"/> R	<input checked="" type="checkbox"/> U	Vancomycin	<input type="checkbox"/> S	<input type="checkbox"/> I/M	<input type="checkbox"/> R	<input checked="" type="checkbox"/> U
Ceftriaxone	<input type="checkbox"/> S	<input type="checkbox"/> I/M	<input type="checkbox"/> R	<input checked="" type="checkbox"/> U					

For each positive blood or CSF culture that occurs during this NICU admission, a tab is displayed with the date of specimen draw and organism name as recorded on the Cultures/Transfusions Screen. In the screenshot example above, Achromobacter Species was identified in a blood sample that was obtained on July 1, 2020. A list of 11 antimicrobials are displayed. Select the antimicrobial susceptibility as found on the laboratory reports.

Antimicrobial Susceptibility	For each of the 11 antimicrobials, select from: Susceptible (S), Intermediate/Moderate (I/M), Resistant (R) or Unknown/ Not reported (U) based on laboratory results.
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## **SCREEN 19: RESOURCES ALLOCATED SCREEN DEFINITIONS**

\*This screen is enabled only for sites that are participating in the Resource Use Project

**\*Only complete this screen for the first NICU admission of infants born less than 33 weeks of gestation who are inborn and not delivery room deaths.**

### Resources Allocated (GA < 33 weeks) Reviewed

Date of Birth : 2020 Nov 01      Time of Birth : N/A  
 Date of First Admission : 2020 Nov 01      Time of First Admission : 05:55

**Team Composition at Delivery**

<input type="checkbox"/> Neonatologist	<input type="text"/>
<input type="checkbox"/> Pediatrician	<input type="text"/>
<input type="checkbox"/> Fellow	<input type="text"/>
<input type="checkbox"/> Clinical Assistant	<input type="text"/>
<input type="checkbox"/> Resident	<input type="text"/>
<input type="checkbox"/> Neonatal Nurse Practitioner	<input type="text"/>
<input type="checkbox"/> Respiratory Therapist	<input type="text"/>
<input type="checkbox"/> Registered Nurse	<input type="text"/>
<input type="checkbox"/> Other1 (specify)	<input type="text"/>
<input type="checkbox"/> Other2 (specify)	<input type="text"/>
<input type="checkbox"/> Team Composition Unknown	

**Nursing ratio received in first 7 days**

	Days of admission	Date	Length of day shift	Morning		Afternoon		Evening		Night	
▶	1	2020 Nov 01	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	2	2020 Nov 02	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	3	2020 Nov 03	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	4	2020 Nov 04	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	5	2020 Nov 05	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	6	2020 Nov 06	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	7	2020 Nov 07	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

### **Team composition at delivery**

Complete the details regarding team composition by indicating the type and number of each type of staff that was present at time of delivery (including up to 5 minutes after delivery). Staff members that entered the delivery room more than 5 minutes after the delivery should not be counted. Only count providers that are part of the team caring for the infant; do not count providers that are present to care specifically for the mother (e.g. anesthesiologist present for C-section). Do not count medical students or observers. Select "Team composition unknown" if the delivery team composition is not known for this baby.

Select the number of each type of staff from 1, 2, 3, 4, >4 and unknown.

Neonatologist	Attending/staff neonatologist, licensed to practice in Canada
Pediatrician	Attending/staff pediatrician, licensed to practice in Canada
Fellow	Departmental fellows currently enrolled in a training program such as a subspecialty residency
Clinical assistant	Trained clinical assistants; generally, physicians trained and licensed outside of Canada who do not meet the requirements for a full license to practice medicine in Canada, but are licensed to practice as clinical assistants in Canada
Resident	Currently enrolled in a Canadian residency training program (but not in neonatology subspecialty)
Neonatal nurse practitioner	Nurse practitioner with specialty training in neonatology

Respiratory therapist	Licensed respiratory therapist
Registered nurse	Includes bedside or dedicated resuscitation nurse
Other (specify)	Specify any other type of clinical staff present during delivery if they do not fit into any of the available options.

**Nursing ratio received in first 7 days**

Indicate the nursing ratio this baby was assigned at the start of each nursing shift.

Date	The dates for the first 7 days will be automatically populated based on the date of admission.
Length of day shift	Select the length of the scheduled daytime nursing shift (either 8-hour or 12-hour shift).
Nursing shift	<p>Fill the appropriate columns based on the nursing ratio assigned to the infant at the beginning of the shift. Since specific shift start times vary based on the hospital, these generally correspond to the following:</p> <ul style="list-style-type: none"> <li>• <b>Morning:</b> starts between 6 AM and 9 AM</li> <li>• <b>Afternoon:</b> starts between 3 PM and 5 PM</li> <li>• <b>Evening:</b> starts between 7 PM and 9 PM</li> <li>• <b>Night:</b> starts between 11 PM and 1 AM</li> </ul> <p>One or more of these shifts may not apply; if there is no shift change at a given time, please repeat the values from the previous shift (column to the left).</p>
Nursing ratio	<p>Select the nursing ratio this baby was assigned <u>at the start of each nursing shift.</u></p> <ul style="list-style-type: none"> <li>• <b>2:</b> Two nurses were assigned to this baby</li> <li>• <b>1:</b> One nurse was assigned to this baby</li> <li>• <b>0.5:</b> This baby's nurse was assigned to 2 patients</li> <li>• <b>0.3:</b> This baby's nurse was assigned to 3 patients</li> <li>• <b>0.25:</b> This baby's nurse was assigned to 4 patients</li> <li>• <b>Not in NICU:</b> At the start of the shift, this baby was not yet admitted, was discharged or had died</li> <li>• <b>Unknown:</b> The nursing ratio assigned to the baby at the start of the shift is not known</li> </ul>

## SCREEN 20: CANRxPDA SCREEN DEFINITIONS

\*This screen is enabled only for sites that are participating in the CANRxPDA study

**\* Only complete this screen for infants born less than 29 weeks of gestation and who are diagnosed with PDA**

**\* For infants who never receive treatment, exclude those echocardiograms where:**

- (a) PDA size was not mentioned and PDA was described as “small” or “tiny”
- (b) The report specifically mentioned that the PDA shunt direction was either “bidirectional” or “predominantly right-to-left” or “right-left”.

### CANRxPDA (GA < 29 weeks) Reviewed

Echocardiogram data/ Respiratory support at the echocardiogram time

	Date	PDA Size (mm)	LA: Ao ratio	Mean airway pressure (cm of H2O)	FiO2 requirement (%)
*					

Pharmacotherapy Done:  Yes  No  Unknown

Pharmacotherapy details

	Medication type	Route of medication	Start Date	Weight on the course start day (g)	First dose of the course (mg)	# of doses	Pre-treatment serum creatinine (uMol/L) within 48 h prior to course start	Maximum serum creatinine (uMol/L) within 1 week of course initiation	Maximum serum bilirubin (uMol/L) within 1 week of course initiation	Maximum serum ALT (u/L) during or within 1 week of completion of pharmacotherapy	Maximum serum AST (u/L) during or within 1 week of completion of pharmacotherapy
▶*											

Validated Validate data

### Echocardiogram data / Respiratory support at the echocardiogram time

Date	<p>Record the date of echocardiogram using the pulldown calendar. Enter multiple lines if more than one Echocardiograms were performed. Leave blank if the date is unavailable.</p> <p><b>For infants who never receive treatment</b>, only enter the details of the echocardiogram in which PDA was diagnosed. <b>Exclude</b> those echocardiograms where:</p> <ul style="list-style-type: none"> <li>(a) PDA size was not mentioned and PDA was described as “small” or “tiny”</li> <li>(b) The report specifically mentioned that the PDA shunt direction was either “bidirectional” or “predominantly right-to-left” or “right-left”.</li> </ul> <p><b>For infants receiving treatment</b>, only enter the details of echocardiograms done prior to each course of PDA treatment. Echocardiograms done for other purposes can be omitted.</p>
PDA Size	<p>Enter the PDA size in millimeter.</p> <p>If a range is provided (e.g., 2-2.5 mm), please select the lower end of the range (i.e., 2 mm)</p> <p>If PDA size is not reported, leave blank.</p>
LA:Ao Ratio	<p>Left atrium:aortic root ratio, irrespective of whether the infant received PDA treatment.</p> <p>This information can be found on the echo report [formal echo report on Meditech or informal TnECHO report on patient’s progress notes (for those centers that do not have a formal TnECHO reporting process). Please check with your local site investigator as to location of echo results (Meditech and/or patient’s progress notes)].</p>

	If it is not recorded, leave blank.
Mean Airway Pressure (MAP)	Enter the mean airway pressure (cm of H <sub>2</sub> O) at the time of each echocardiogram. This information can be found on respiratory therapy (RT) notes. Please check with your local site investigator as to exact location of RT notes. If the MAP at the exact time of echocardiogram is not noted on the RT notes, please use the values documented on the most recent check prior to the echocardiogram.
FiO <sub>2</sub> Requirement	Enter the FiO <sub>2</sub> requirement (%) at the time of each echocardiogram. This information can be found on respiratory therapy (RT) notes. Please check with your local site investigator as to exact location of RT notes. If the FiO <sub>2</sub> at the exact time of echocardiogram is not noted on the RT notes, please use the values documented on the most recent check prior to the echocardiogram.

### **Pharmacotherapy**

PDA Pharmacotherapy	Select Yes, no or unknown.
---------------------	----------------------------

### **Pharmacotherapy details**

Enter course data as collected at the time of initiation of each course or at the specified moment.

Please use a separate row for each course of treatment.

Typically a course of ibuprofen (both standard and adjustable dose) will last 3 days, a course of indomethacin will last 36 hours to 3 days (depending on whether the dosing interval for the 3 doses is 12h or 24h), and a course of acetaminophen can last for 3-7 days. Please check with local site investigator to confirm the typical duration of the selected medication for the site.

Medication Type	Select medication type from: Standard dose ibuprofen Adjustable dose ibuprofen Indomethacin Acetaminophen
Route of Medication	Select from: intravenous/oral/rectal
Start Date	Date when infant received first dose (not date of order).
Weight on the course start day	Please record infant's weight on the day he/she received first dose of medication.
First dose of the course	Please record actual amount of first dose (in mg). <b>DO NOT</b> calculate mg/KG.
Number of doses in each course*	Please record number of doses (continuous or every 6/8/12/24 hourly). If there is gap of more than 24 hours, count it as a second course and not include in number of doses.
Pre-treatment serum creatinine within 48 hr prior to course start	Please enter the latest pre-treatment serum creatinine value (uMol/L) documented within 48 hours prior to course start. <b>This applies only to the following:</b> <b>Standard dose ibuprofen</b> <b>Adjustable dose ibuprofen</b> <b>Indomethacin</b>
Maximum serum creatinine within 1 wk of course initiation	Please enter the maximum serum creatinine value (uMol/L) within 1 week of the first dose of that particular course of treatment. <b>This applies only to the following:</b> <b>Standard dose ibuprofen</b> <b>Adjustable dose ibuprofen</b> <b>Indomethacin</b>
Maximum serum bilirubin within 1 wk of course initiation	Please enter the maximum <i>total</i> serum bilirubin value (uMol/L) within 1 week of the first dose of that particular course of treatment. This applies only to the following: <b>Standard dose ibuprofen</b> <b>Adjustable dose ibuprofen</b> <b>Acetaminophen/Paracetamol</b>
Maximum serum ALT (u/L) during or within 1 wk of completion of pharmacotherapy	Please enter the maximum serum ALT (u/L) during or within 1 week of completion of acetaminophen/paracetamol. This applies to <b>Acetaminophen/Paracetamol ONLY</b> .
Maximum serum AST (u/L) during or within 1 wk of completion of pharmacotherapy	Please enter the maximum serum AST (u/L) during or within 1 week of completion of acetaminophen/paracetamol. This applies to <b>Acetaminophen/Paracetamol ONLY</b> .

**APPENDIX I****MEDICATION CLASSIFICATION LIST**

CNN medications include only those drugs classified as: nitric oxide, narcotics, sedatives, inotropes, caffeine, antibiotics, post-natal steroids, surfactant.

<b>DRUG NAME</b>	<b>CLASSIFICATION on Patient Chart Screen</b>	<b>Other information</b>
ACETAMINOPHEN (Tylenol)	ANALGESIC, PDA TREATMENT	Do not score analgesic use. If used for PDA treatment, score on Medications Screen and Diagnosis/Procedures Screen.
ACYCLOVIR	ANTIVIRAL	Score as Antibiotic on Patient Chart Screen. If given prophylactically, score on Diagnosis/Procedure Screen under Prophylactic Interventions.
ALFENTANYL	NARCOTIC INFUSION	
ALPROSTADIL	PROSTAGLANDIN E1	Do not score. This drug is usually given for keeping the ductus arteriosus open in neonates with critical cardiac condition. Do not score that the baby had a PDA if this medication was used to artificially keep the ductus arteriosus open.
AMIKACIN	ANTIBIOTIC	
AMOXICILLIN (same as Amoxil)	ANTIBIOTIC	
AMPHOTEROCIN B	ANTIBIOTIC	
AMPICILLIN	ANTIBIOTIC	
ANCEF	ANTIBIOTIC	
ATIVAN (same as Lorazepam)	SEDATIVE, ANTICONVULSANT	Score as Sedative on Patient Chart Screen if used as sedative and not as anticonvulsant. Do not score if the reason is unclear. Score as seizure treatment if applicable.
AVASTIN (Bevacizumab)	VEGF BLOCKER	If given intraocularly, score on the ROP screen under ROP treatment
AZT (same as Retrovir and Zidovudine)	ANTIVIRAL	Score as Antibiotic on Patient Chart Screen. If given prophylactically, score on Diagnosis/Procedure Screen under Prophylactic Interventions.
BACTRIM (same as Septra)	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen <i>only if</i> given daily to treat infection. Do not score on Patient Chart Screen if given for prophylaxis. Score prophylactic use on Diagnosis/Procedure Screen in Other Prophylactic Interventions.
BECLOMETHASONE	POST-NATAL STEROID	Score on Medications Screen
BECLOVENT PUFFS	POST-NATAL STEROID	Score on Medications Screen
BEMIPARIN	ANTICOAGULANT	Score on Diagnosis/Procedure screen under Neonatal Thrombosis Treatment if given for treatment. Do not score if given prophylactically.
BETAMETHASONE	POST-NATAL STEROID, ANTENATAL CORTICOSTEROID	Score on Medications Screen if given to baby.

		Score on Mother/Obstetrics Screen if given to mother prior to delivery.
BEVACIZUMAB (Avastin)	VEGF BLOCKER	If given intraocularly, score on the ROP screen under ROP treatment
BIAXIN (same as Clarithromycin)	ANTIBIOTIC	
BIOGAIA	PROBIOTICS	Score prophylactic use on Diagnosis/Procedure Screen
BLES	SURFACTANT	Score on Medications Screen
BUDESONIDE (inhaled)	POST-NATAL STEROID	Score on Medications Screen
CAFFEINE	CAFFEINE	Score on Medications Screen – first and last dose only
CEFAZOLIN	ANTIBIOTIC	
CEFIXIME	ANTIBIOTIC	
CEFOTAXIME (same as Claforan)	ANTIBIOTIC	
CEFOXITIN	ANTIBIOTIC	
CEFTAZIDIME	ANTIBIOTIC	
CEFTRIAZONE	ANTIBIOTIC	
CEFUROXIME	ANTIBIOTIC	
CELESTONE	POST-NATAL STEROID, ANTENATAL CORTICOSTEROID	Score on Medications Screen if given to baby. Score on Mother/Obstetrics Screen if given to mother prior to delivery.
CENTOPARIN	ANTICOAGULANT	Score on Diagnosis/Procedure screen under Neonatal Thrombosis Treatment if given for treatment. Do not score if given prophylactically.
CEPHALEXIN	ANTIBIOTIC	
CHLORAL HYDRATE	SEDATIVE	
CHLORAMPHENICOL	ANTIBIOTIC	
CIPROFLOXACIN (CIPRO)	ANTIBIOTIC	
CLAFORAN (same as Cefotaxime)	ANTIBIOTIC	
CLARITHROMYCIN (same as Biaxin)	ANTIBIOTIC	
CLINDAMYCIN	ANTIBIOTIC	
CLOXACILLIN	ANTIBIOTIC	
CODEINE	NARCOTIC INFUSION	
CORTISONE	POSTNATAL STEROID, ANTENATAL CORTICOSTEROID	Score on Medications Screen if given to baby. Score on Mother/Obstetrics Screen if given to mother prior to delivery.
COSYNTROPIN	POST-NATAL STEROID	Score on Medications Screen
CO-TRIMOXAZOLE (same as Septra)	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen only if given daily to treat infection. Do not score on Patient Chart Screen if given for prophylaxis. Score prophylactic use on Diagnosis/Procedure Screen in Other Prophylactic Interventions.
CUROSURF	SURFACTANT	Score on Medications Screen
DALTEPARIN	ANTICOAGULANT	Score on Diagnosis/Procedure screen under Neonatal Thrombosis Treatment if given for treatment. Do not score if given prophylactically.

DECADRON	POST-NATAL STEROID	Score on Medications Screen
DEXAMETHASONE	POST-NATAL STEROID, ANTENATAL CORTICOSTEROID	Score on Medications Screen if given to baby. Score on Mother/Obstetrics Screen if given to mother prior to delivery.
DEXMEDETOMIDINE	SEDATIVE	
DIAZEPAM (same as Valium)	SEDATIVE, ANTICONVULSANT	Score as Sedative on Patient Chart Screen if used as sedative and not as anticonvulsant. Do not score if the reason is unclear. Score as seizure treatment if applicable.
DIHYDROCORTISONE	POSTNATAL STEROID	Score on medication screen if given to baby.
DILANTIN (same as Phenytoin)	ANTICONVULSANT	Score as seizure treatment if applicable
DOBUTAMINE	INOTROPES	
DOPAMINE	INOTROPES	
ENOXAPARIN	ANTICOAGULANT	Score on Diagnosis/Procedure screen under Neonatal Thrombosis Treatment if given for treatment. Do not score if given prophylactically.
EPINEPHRINE (EPI DRIP)	INOTROPES	
ERYTHROMYCIN	ANTIBIOTIC	
EXOSURF	SURFACTANT	Score on Medications Screen
FENTANYL	NARCOTIC INFUSION	
FLAGYL (same as Metronidazole)	ANTIBIOTIC	
FLORABABY	PROBIOTICS	Score prophylactic use on Diagnosis/Procedure Screen
FLORINEF	POST-NATAL STEROID	Score on Medications Screen
FLOVENT (Inhaled, same as flonase, fluticasone)	POST-NATAL STEROID	Score on Medications Screen
FLUCONAZOLE	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen only if given daily to treat infection. Do not score on Patient Chart Screen if given for prophylaxis. If used as Antifungal agent, score under Prophylactic Interventions on Diagnosis/Procedure Screen.
FLUCYTOSINE	ANTIBIOTIC	
FLUDROCORTISONE	POST-NATAL STEROID	Score on Medications Screen
FLUTICASONE	POST-NATAL STEROID	Score on Medications Screen
GANCYCLOVIR	ANTIVIRAL	Score as Antibiotic on Patient Chart Screen. If given prophylactically, score on Diagnosis/Procedure Screen under Prophylactic Interventions.
GENTAMYCIN	ANTIBIOTIC	
HEPARIN	ANTICOAGULANT	Score on Diagnosis/Procedure screen under Neonatal Thrombosis Treatment if given for treatment. Do not score if given prophylactically.
HYDROCORTISONE	POST-NATAL STEROID	Score on Medications Screen. Do not score if only given topically as Hydrocortisone cream.
IBUPROFEN	ANTI-INFLAMMATORY, PDA TREATMENT	If used for PDA treatment, score on Medications Screen and Diagnosis/Procedures Screen.



IMIPENEM	ANTIBIOTIC	
INDOMETHACIN, INDOCIN, INDOCID	PDA TREATMENT,  IVH PROPHYLAXIS	If used for PDA treatment, score on Medications Screen and Diagnosis/Procedures Screen.  If used prophylactically for prevention of neurological injury, score <b>only</b> on Diagnosis/Procedures Screen under Prophylactic Interventions.
INFASURF	SURFACTANT	Score on Medications Screen
INHALED STEROIDS	POST-NATAL STEROID	Score on Medications Screen
ISONIAZID	ANTIBIOTIC	
KEFLEX	ANTIBIOTIC	
KEFZOL	ANTIBIOTIC	
KETAMINE (Anesthetic)	SEDATIVE	
KETOCONAZOLE	ANTIFUNGAL	Score as Antibiotic on Patient Chart Screen
LINEZOLID	ANTIBIOTIC	
LORAZEPAM (same as Ativan)	SEDATIVE, ANTICONVULSANT	Score as Sedative on Patient Chart Screen if used as sedative and not as anticonvulsant. Do not score if the reason is unclear. Score as seizure treatment if applicable.
LUCENTIS (Ranibizumab)	VEGF BLOCKER	If given intraocularly, score on the ROP screen under ROP treatment
MACUGEN (Pegaptanib Sodium)	VEGF BLOCKER	If given intraocularly, score on the ROP screen under ROP treatment
MEPERIDINE	NARCOTIC INFUSION	
MEROPENEM	ANTIBIOTIC	
METHADONE	NARCOTIC INFUSION	
METHYLPREDNISOLONE, METHYLPREDNISONE	POST-NATAL STEROID	Score on Medications Screen
METICILLIN	ANTIBIOTIC	
METRONIDAZOLE (same as Flagyl)	ANTIBIOTIC	
MIDAZOLAM	SEDATIVE	
MILRINONE	INOTROPES	
MORPHINE	NARCOTIC INFUSION	
MORPHINE SULFATE (same as MSO4)	NARCOTIC INFUSION	
MUPIROCIN (same as Bactroban)	NOT SCORED (topical antibiotic)	
MYCOSTATIN (same as Nystatin)	See Nystatin (oral vs. topical)	
NADROPARIN	ANTICOAGULANT	Score on Diagnosis/Procedure screen under Neonatal Thrombosis Treatment if given for treatment. Do not score if given prophylactically.
NAFCILLIN	ANTIBIOTIC	
NETILMICIN	ANTIBIOTIC	
NEVIRAPINE	ANTIVIRAL	Score as Antibiotic on Patient Chart Screen. If given prophylactically, score on Diagnosis/Procedure Screen under Prophylactic Interventions.
NITRIC OXIDE (iNO)	NITRIC OXIDE	
NYSTATIN ORAL SUSPENSION	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen <b>only if</b> given daily to treat infection.

		Do not score on Patient Chart Screen if given for prophylaxis. If used as Prophylactic Antifungal agent, score under Prophylactic Interventions on Diagnosis/Procedure Screen.
OXACILLIN	ANTIBIOTIC	
PARMAPARIN	ANTICOAGULANT	Score on Diagnosis/Procedure screen under Neonatal Thrombosis Treatment if given for treatment. Do not score if given prophylactically.
PEDIAPRED	POST-NATAL STEROID	Score on Medications Screen
PEGAPTANIB SODIUM (Macugen)	VEGF BLOCKER	If given intraocularly, score on the ROP screen under ROP treatment
PENICILLIN G	ANTIBIOTIC	
PENTOBARBITAL	SEDATIVE	
PHENOBARBITAL	SEDATIVE, ANTICONVULSANT	Score as Sedative on Patient Chart Screen if used as sedative and not as anticonvulsant. Score as seizure treatment if applicable. Do not score if the reason is unclear. Do not score if given as treatment for cholestasis or other uses.
PHENYLEPHRINE	INOTROPES	
PHENYLEPHRINE EYE DROPS	NOT SCORED	
PHENYTOIN (same as Dilantin)	ANTICONVULSANT	Score as seizure treatment if applicable
PIPERACILLIN	ANTIBIOTIC	
POLYSPORIN	NOT SCORED (topical)	Topical or ophthalmic ointments are not scored
PREDNISOLONE	POST-NATAL STEROID	Score on Medications Screen
PREDNISONE	POST-NATAL STEROID	Score on Medications Screen
PROBIOTICS	PROBIOTICS	Score prophylactic use on Diagnosis/Procedures Screen
PROPOFOL	SEDATIVE	
PROSTIN	PROSTAGLANDIN E1	Do not score. This drug is usually given for keeping the ductus arteriosus open in neonates with critical cardiac condition. Do not score that the baby had a PDA if this medication was used to artificially keep the ductus arteriosus open.
PROSTAGLANDIN E1	PROSTAGLANDIN E1	Do not score. This drug is usually given for keeping the ductus arteriosus open in neonates with critical cardiac condition. Do not score that the baby had a PDA if this medication was used to artificially keep the ductus arteriosus open.
PULMICORT	POST-NATAL STEROID	Score on Medications Screen
RANIBIZUMAB (Lucentis)		If given intraocularly, score on the ROP screen under ROP treatment
RETROVIR (same as Zidovudine and AZT)	ANTIVIRAL	Score as Antibiotic on Patient Chart Screen. If given prophylactically, score on Diagnosis/Procedure Screen under Prophylactic Interventions.
REVIPARIN	ANTICOAGULANT	Score on Diagnosis/Procedure screen under Neonatal Thrombosis Treatment if given for treatment.

		Do not score if given prophylactically.
RIFAMPIN	ANTIBIOTIC	
RIVOTRIL	ANTICONVULSANT	Score as seizure treatment if applicable
SEPTRA (same as Bactrim or Co-Trimoxazole)	ANTIBIOTIC	Score as Antibiotic on Patient Chart Screen only if given daily to treat infection. Do not score on Patient Chart Screen if given for prophylaxis. Score prophylactic use on Diagnosis/Procedure Screen in Other Prophylactic Interventions.
SOLUCORTEF	POST-NATAL STEROID	Score on Medications Screen
SOLUMEDROL, SOLU-MEDROL	POST-NATAL STEROID	Score on Medications Screen
STREPTOMYCIN	ANTIBIOTIC	
SUFENTA	NARCOTIC INFUSION	
SURVANTA	SURFACTANT	Score on Medications Screen
TAZOCIN	ANTIBIOTIC	
TINZAPARIN	ANTICOAGULANT	Score on Diagnosis/Procedure screen under Neonatal Thrombosis Treatment if given for treatment. Do not score if given prophylactically.
TOBRAMYCIN	ANTIBIOTIC	
TRIMETHOPRIM	ANTIBIOTIC	
UROKINASE	ANTICOAGULANT	Score on Diagnosis/Procedure screen under Neonatal Thrombosis Treatment if given for treatment. Do not score if given prophylactically.
VALIUM (same as Diazepam)	SEDATIVE, ANTICONVULSANT	Score as Sedative on Patient Chart Screen if used as sedative and not as anticonvulsant. Do not score if the reason is unclear. Score as seizure treatment if applicable.
VALPROIC ACID	ANTICONVULSANT	Score as seizure treatment if applicable
VANCOMYCIN	ANTIBIOTIC	
VIGABATRIN	ANTICONVULSANT	Score as seizure treatment if applicable
WARFARIN	ANTICOAGULANT	Score on Diagnosis/Procedure screen under Neonatal Thrombosis Treatment if given for treatment. Do not score if given prophylactically.
ZIDOVUDINE (same as Retrovir and AZT)	ANTIVIRAL	Score as Antibiotic on Patient Chart Screen. If given prophylactically, score on Diagnosis/Procedure Screen under Prophylactic Interventions - OTHER.

**APPENDIX II**

**CLASSIFICATION OF OPERATIONS**

Score all operations/procedures performed in the operating room and/or requiring anaesthesia or analgesia.  
If multiple operations were performed under the same anesthesia episode, include them all.

<p><b><u>LAPAROTOMY (MAJOR)</u></b></p> <p>Abdominal omphalocele, repair of Aneurysm in right internal iliac artery, removal of Bladder rupture, closure of Bowel, re-section of     correction of atresia Colostomy     revision of prolapsing colostomy Corkscrew duodenum, release of Dermoid Cyst, removal of     (laparo- or thora- depending on site) Diaphragmatic hernia repair (from under the diaphragm) Duodenojejunostomy Fundoplication Enterotomy (for removal of meconium) Epispadias repair Gastroschisis defect, closure of Ileostomy     closure/reversal of ileostomy     resection ileum &amp; formation ileostomy/mucus-fistula     closure of ileostomy &amp; mucus fistula NEC Nephrectomy Omphalocele, closure or re-section of Omphalomesenteric duct fistula, repair of Orchidectomy Pyloromyotomy (for pyloric stenosis) Pyloroplasty Urinary ascites w/ bladder rupture, repair Vesicostomy, closure/revision of Volvulus correction</p>	<p><b><u>THORACOTOMY (MAJOR)</u></b></p> <p>ASD closure BTS for tricuspid atresia Coarctation repair Cystic adenomatoid malformation, correction of Cystic hygroma Diaphragmatic hernia repair (from above the diaphragm) Esophageal atresia (laparo- or thoro- as per location) Lobectomy Lung biopsy (open chest) Pacemaker, insertion of (permanent) Pneumonectomy Pulmonary artery banding Pulmonary artery plasty (Blalock-Tassug shunt placed) Tracheoesophageal fistula (TEF), repair Vascular ring operation</p>
<p><b><u>VP SHUNT (MAJOR)</u></b></p>	<p><b><u>ECMO (MAJOR)</u></b></p>
<p><b><u>RESERVOIR/DRAIN (MAJOR)</u></b></p>	<p><b><u>OSTOMY (MAJOR)</u></b></p>
<p><b><u>OTHER CNS SURGERY (MAJOR)</u></b></p> <p>Myelomeingoecele repair Spina bifida related surgeries</p>	

<u>OTHER / MINOR</u>	<u>OTHER / MINOR</u>
Amputation, below the knee Angiogram Anoplasty Ballon dilations of the esophagus (also of pulm. valve) Ballon Septostomy Bone marrow biopsy Bronchoscopy Catheters, surgically placed arterial (cardiac) catheterization CVL placement (in OR or w/anaesthesia) Elecath pacing catheter placement IVC catheter peritoneal drainage catheter Choanal atresia repair Circumcision Craniotomy to drain subdural hematoma closure/re-section of Cryo/Laser treatment (for ROP) Cytoscopy Embolization Esophagoscopy Examination under anaesthesia (i.e.) Eye surgery to re-attach cornea (with or without banding) Gastrosopy Gastrostomy G-tube insertion under general anaesthesia G-tube replacement (with general anaesthesia) Hepatic cyst drainage (in radiology under U/S guidance) Hernia repair Herniorrhaphy HICKMAN™ catheter line, placement of (if to superior vena cava) Iridectomy Laparoscopic fundoplication Laryngoscopy Laryngo-bronchoscopy Lensectomy Lung biopsy (if by puncture) Myeloschisis, closure of Myringotomy tubes	Nephrostomy (tube placement) Nerve biopsy (under general anaesthesia) Occipital encephalocele, re-section of Orchiopexy Osteomyelitis, drainage of left tibial Pacemaker insertion of (if put in intracardiac) PDA ligation/closure (even if a thoracotomy is required) PDA closed during cardiac catheterization with device Penrose drain insertion for spontaneous intestinal perforation for NEC (with or without general anaesthesia) Posterior laryngeal cleft, repair of through a laryngeal fissure approach Rectal biopsy Right femoral artery, resection of w/ proximal thrombectomy & w/ a 4-compartment fasciotomy of lower leg Right forearm fasciotomy, both dorsal & volar compartments Right groin wound, debridement & repair of ROP therapy Scalp wound debridement (with formation of multiple flaps & skin grafts) Septum pellucidum fenestration for hydrocephalus Silo placement for gastroschisis Skin grafting Stint Placement (even if only lidocaine applied) Subperiosteal release for mouth Tethered cord, release of with operating microscope Tongue adhesion to palate, release of Tongue lip adhesion Tracheostomy (on Diagnosis/Procedures screen only) cricoid split Tracheotomy Ventricular taps, multiple frontal ventricular drain insertion (if w/ general anaesthesia) Vitreotomy

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<u>NO SCORE</u>	<u>NO SCORE</u>
Ballon catheter in lung (with fentanyl & pavulon but not anaesthesia) Chest tube placement Cutdown venous access CVL removal (or any line, unless sent to OR specifically for removal) Extra digit removal 10 French thoracotomy tube placement G-Tube replacement (without anaesthesia) Lumbar puncture Pacemaker insertion via esophageal lead	Peripheral arterial line (PAL) placement Skin biopsy Thora/paracentesis Tooth extraction under local anaesthetic Tracheostomy (only counts on Diagnosis/Procedures screen) UAL or UVL placement Ventricular drain insertion (with fentanyl but not general anaesthesia) Ventriculopuncture (through a previously implanted catheter)

**APPENDIX III****CLASSIFICATION OF OTHER DIAGNOSIS LIST**

Name	Number	Description
Anemia of prematurity	P61.2	Anaemia due to no identified cause or other causes in preterm infant
Apnea of prematurity	P28.4	Apnoea due to no other reason in a preterm infant
Arrhythmia	P29.1	Cardiac rhythm disturbances
Aspiration of amniotic fluid and mucus	P24.1	Amniotic fluid aspiration
Aspiration of meconium	P24.0	Meconium aspiration syndrome
Aspiration of milk and regurgitated food	P24.3	Milk aspiration
Birth injury, intracranial hemorrhage	P10.1	Intracranial hemorrhage due to birth injury
Birth injury, subarachnoid hemorrhage	P10.3	Subarachnoid haemorrhage due to birth injury
Birth injury, subdural hemorrhage	P10.0	Subdural haemorrhage due to birth injury
Birth injury, subgaleal hemorrhage	P12.2	Subgaleal hemorrhage due to birth injury (also called Epicranial subaponeurotic hemorrhage due to birth injury)
Birth injury, unspecified and other	P15.9	Trauma during birth
Cardiomyopathy	I42	Cardiomyopathy
Coagulopathy but not DIC	P61.6	Coagulation abnormalities not meeting diagnosis of DIC, Transient coagulation disorder
Coagulopathy due to Disseminated intravascular coagulation	P60	DIC
Congenital cytomegalovirus infection	P35.1	Congenital cytomegalovirus refers to detection of CMV DNA in the urine, saliva, or blood, within three weeks after birth. The diagnosis was made by attending physician based on the history, clinical presentations and serologies.
Congenital herpes viral [herpes simplex] infection	P35.2	Congenital herpes viral [herpes simplex] infection
Congenital rubella syndrome	P35.0	Congenital rubella infection
Congenital syphilis		Congenital syphilis refers to infection in babies born to mothers who are infected with the bacteria ( <i>Treponema pallidum</i> ) during pregnancy. The diagnosis was made by attending physician based on the maternal history, infants' clinical presentations and serologies, with relevant investigations conducted and at least a course of Penicillin G given.
Congenital toxoplasmosis	P37.1	Congenital toxoplasmosis is a disease caused by mother-to-foetal transmission of a parasite called <i>Toxoplasma gondii</i> . The diagnosis was made by attending physician based on the history, clinical presentations, serologies and imaging.
Dehydration	P74.1	Dehydration
Fetal blood loss, other	P50.8	Other foetal blood loss
Fever	P81.9	Pyrexia
Gastroesophageal reflux disease	K21	GERD

Hemolytic disease due to ABO incompatibility	P55.1	ABO incompatibility
Hemolytic disease due to Rh incompatibility	P55.0	Blood group Rh incompatibility
Hemolytic diseases of fetus and newborn, other	P55.8	Other haemolytic diseases of foetus and newborn
Hemorrhage into co-twin	P50.3	Feto-fetal hemorrhage
Hemorrhage into maternal circulation	P50.4	Feto-maternal hemorrhage
Hemorrhagic disease due to cause other than Vitamin K Deficiency	P54	Neonatal hemorrhage
Hemorrhagic disease due to Vitamin K deficiency	P53	HDNB
Human immunodeficiency virus (HIV), congenital or perinatally acquired		This refers to the acquisition of Human Immunodeficiency Virus (HIV) during pregnancy or after birth. The diagnosis was made by attending physician based on the history and confirmatory laboratory tests. P.S. infants born to mothers with HIV or AIDS, but with negative serologies themselves should NOT be counted.
Hydrocele	P83.5	Scrotal swelling
Hydrops fetalis due to isoimmunization	P56.0	Hydrops fetalis due to isoimmunization
Hydrops fetalis due to other and unspecified haemolytic disease	P56.9	Hydrops fetalis due to other and unspecified haemolytic disease
Hyperglycemia	R73.9	Hyperglycemia
Hyperkalemia	P74.31	Hyperkalaemia
Hypernatremia	P74.21	Hypernatraemia
Hypertension	P29.2	High blood pressure
Hypertonia	P94.1	Increasead tone
Hypoglycaemia	P70.4	Neonatal hypoglycaemia
Hypokalemia	P74.30	Hypokalaemia
Hyponatremia	P74.20	Hyponatraemia
Hypotension	R03.1	Low blood pressure, refractory hypotension
Hypothermia	P81	Hypothermia not as part of therapy
Hypotonia	P94.2	Congenital hypotonia
Inguinal hernia	K40.9	Hernia, inguinal
Intestinal obstruction	P76.9	Bowel obstruction , unspecified includes atresia
Meconium ileus	P75	Meconium ileus, meconium plug
Neonatal abstinence syndrome (NAS) due to maternal use of addictive substances	P96.1	Withdrawal symptoms from maternal use of drugs in newborn
Neonatal abstinence syndrome (NAS) due to	P96.2	Withdrawal symptoms from therapeutic use of drugs in newborn

neonatal use of addictive substances		
Neonatal cerebral infarction	P91.82	Includes neonatal stroke, perinatal arterial ischemic stroke, perinatal cerebral infarction not related to IVH
Neutropenia	P61.5	Transient neonatal neutropenia
Osteopenia of prematurity	M85.9	Metabolic bone disease of prematurity
Persistent pulmonary hypertension (PPHN)	P99.9	Persistent pulmonary hypertension or PPHT
Pneumomediastinum	P25.2	Pneumomediastinum
Pneumopericardium	P25.3	Pneumopericardium
Pulmonary edema	J81	Pulmonary edema
Pulmonary haemorrhage	P26.8	Pulmonary haemorrhage
Pulmonary Interstitial Emphysema	P.25.0	Interstitial emphysema originating in the perinatal period
Renal failure	P96.0	Renal failure
Respiratory distress, unspecified (not RDS)	P22.9	Respiratory distress (excluding RDS)
Thrombocytopenia	P.61.0	Transient neonatal thrombocytopenia due to: •exchange transfusion •idiopathic maternal thrombocytopenia •isoimmunization
Transient myocardial ischemia	P29.4	Transient myocardial ischemia
Transient tachypnea of newborn	P22.1	TTN
Traumatic Perforation of the Esophagus	S27	Laceration of intrathoracic organs (includes esophagus)
Traumatic Perforation of the Bowel (includes stomach)	S36	Traumatic laceration of intraabdominal organ(s) (includes stomach, duodenum, bowel and other organs)
Twin-to-twin transfusion syndrome	P02.3	Twin-to-twin transfusion syndrome (TTTS – donor) Twin-to-twin transfusion syndrome (TTTS – recipient) Twin-to-twin transfusion syndrome (TTTS – donor/recipient status unknown)"  TTTS may be treated antenatally which could prevent the presentation of TTTS at birth. Please record treated/resolved and untreated cases.
Umbilical hernia	K42.9	Hernia, Umbilical but not omphalocele
Vocal cord palsy	J38.0	Paralysis of vocal cords and larynx
Wilson-Mikity syndrome	P27.0	Wilson-Mikity syndrome
Other	O00.0	<u>Optional:</u> "Other" may be chosen to enter any diagnoses that are not listed in the scroll down menu. A free text field (maximum characters=100) for "Other Diagnosis Description" will display.



## APPENDIX IV

Note: In 2022, 30 new congenital anomalies were added to the list, as highlighted in yellow.  
Use the **most precise diagnosis** possible for congenital anomalies when the diagnosis fits in the list, otherwise use the general categories. For example, diagnosis of choanal atresia should be recorded as Q30.0 and not Q30. As another example, choanal stenosis is not specifically itemized on the list, therefore it should be recorded as Q30 (i.e. Congenital Malformations Of The Nose).

### CLASSIFICATION OF CONGENITAL ANOMALIES

System	Name	ICD10	Comments
Cardiac Chambers And Circulation	Atrial Septal Defect	Q21.1	
Cardiac Chambers And Circulation	Atrioventricular Septal Defect	Q21.2	
Cardiac Chambers And Circulation	Coarctation Of The Aorta	Q25.1	
Cardiac Chambers And Circulation	Congenital Absence And Hypoplasia Of The Umbilical Artery (Single Umbilical Artery)	Q27.0	
Cardiac Chambers And Circulation	Congenital Heart Block	Q24.6	
Cardiac Chambers And Circulation	Double Outlet Right Ventricle	Q20.1	
Cardiac Chambers And Circulation	Hypoplastic Right Heart Syndrome	Q22.6	
Cardiac Chambers And Circulation	Hypoplastic Left Heart Syndrome	Q23.4	
Cardiac Chambers And Circulation	Other Congenital Malformations Of The Circulatory System	Q28	
Cardiac Chambers And Circulation	Other Congenital Malformations Of The Heart	Q24	This includes dextrocardia, cor triatriatum, pulmonary infundibular stenosis, subaortic stenosis
Cardiac Chambers And Circulation	Patent Ductus Arteriosis (after 37 weeks gestation)	Q25.0	
Cardiac Chambers And Circulation	Pulmonary Valve Stenosis	Q22.1	
Cardiac Chambers And Circulation	Tetralogy Of Fallot	Q21.3	
Cardiac Chambers And Circulation	Total Anomalous Pulmonary Venous Connection	Q26.2	
Cardiac Chambers And Circulation	Transposition Of The Great Vessels (Tgv)	Q20.3	
Cardiac Chambers And Circulation	Tricuspid valve atresia/stenosis	Q22.4	
Cardiac Chambers And Circulation	Ventricular Septal Defect	Q21	
Chromosomal Abnormalities	Balanced Rearrangements And Structural Markers Not Elsewhere Classified	Q95	
Chromosomal Abnormalities	Down's Syndrome	Q90	
Chromosomal Abnormalities	Edwards' Syndrome Or Trisomy 18	Q91.3	
Chromosomal Abnormalities	Monosomies And Deletions From The Autosomes Not Elsewhereclassified	Q93	
Chromosomal Abnormalities	Other Sex Chromosome Abnormalities, Female	Q97	

	Phenotype Not Elsewhere Classified		
Chromosomal Abnormalities	Other Sex Chromosome Abnormalities, Male Phenotype Not Elsewhere Classified	Q98	
Chromosomal Abnormalities	Other Trisomies And Parial Trisomies Of The Autosomes Not Elsewhere Classified	Q92	
Chromosomal Abnormalities	Patau Syndrome Or Trisomy 13	Q91.7	
Chromosomal Abnormalities	Turner'S Syndrome	Q96	
Digestive	Atresia Of Oesophagus With Tracheo-Oesophageal Fistula	Q39.1	
Digestive	Atresia Of Oesophagus Without Fistula	Q39.0	
Digestive	Atresis Of The Bile Ducts	Q44.2	
Digestive	Congenital Absence, Atresia And Stenosis Of The Anus (Imperforate Anus)	Q42.3	
Digestive	Congenital Absence, Atresia And Stenosis Of The Duodenum	Q41.0	
Digestive	Congenital Absence, Atresia And Stenosis Of The Jejunum	Q41.1	
Digestive	Congenital Absence, Atresia And Stenosis Of The Small Intestine	Q41	
Digestive	Congenital Hypertrophic Pyloric Stenosis	Q40.0	
Digestive	Congenital Malformations Of The Esophagus	Q39	
Digestive	Congenital Malformations Of The Gallbladder, Bile Ducts And Liver	Q44	
Digestive	Congenital Malformations Of The Tongue, Mouth, Pharynx	Q38	
Digestive	Other Congenital Malformations Of The Digestive System	Q45	
Digestive	Other Congenital Malformations Of The Intestine	Q43	This includes meckel's diverticulum, Hirschprung's disease, ectopic anus, cloacal anomaly
Ear	Congenital absence of (ear) auricle	Q16.0	
Ear	Congenital Malformations Of The Ear Causing Impairment Of Hearing	Q16	This includes anomalies of auditory canal, eustachian tube, ossicles
Ear	Microtia	Q17.2	
Ear	Other Congenital Malformations Of The Ear	Q17	This includes small ear, large ear, misplaced ear, accessory auricle
Eye	Anophthalmos, Microphthalmos And Macrophthalmos	Q11	
Eye	Congenital Lens Malformations	Q12	This included congenital cataract, lens abnormalities

Eye	Congenital Malformations Of Eyelid,Lacrimal Apparatus And Orbit	Q10	
Eye	Congenital Malformations Of The Anterior Segment Of The Eye	Q13	This includes abnormalities of iris, cornea
Eye	Congenital Malformations Of The Posterior Segment Of The Eye	Q14	This includes abnormalities of vitreous, retina, optic disc, choroid
Eye	Other Congenital Malformations Of The Eye	Q15	
Face And Neck	Congenital Anomalies Of Neck Region	Q18	This includes sinus, cyst, fistula, webbing of neck region
Face And Neck	Truncus Arteriosus (common arterial trunk)	Q20.0	
Genital	Congenital Malformations Of Ovaries, Fallopian Tubes And Broad Ligaments	Q50	
Genital	Congenital Malformations Of The Uterus And Cervix	Q51	
Genital	Hypospadias	Q54.0	
Genital	Indeterminate Sex And Pseudohermaphroditism	Q56	
Genital	Other Congenital Malformations Of The Female Genitals	Q52	
Genital	Other Congenital Malformations Of The Male Organs	Q55	
Genital	Undescended Testicle, Bilateral	Q53.2	
Genital	Undescended Testicle, Unilateral	Q53.1	
Musculoskeletal	Accessory finger(s)	Q69.0	
Musculoskeletal	Accessory thumb(s)	Q69.1	
Musculoskeletal	Accessory toe(s)	Q69.2	
Musculoskeletal	Congenital Deformities Of The Feet	Q66	This includes varus and valgus deformities. Congenital talipes equinovarus is scored separately as Q66.0.
Musculoskeletal	Congenital Deformities Of The Hip	Q65	
Musculoskeletal	Congenital Diaphragmatic Hernia	Q79.0	
Musculoskeletal	Congenital Malformations Of The Musculoskeletal System, Not Elsewhere Classified	Q79	
Musculoskeletal	Congenital Malformations Of The Spine And Bony Thorax	Q76	
Musculoskeletal	Congenital Musculoskeletal Deformities Of Arm, Leg, Long Bones	Q68	
Musculoskeletal	Congenital Musculoskeletal Deformities Of Head, Face, Spine And Chest	Q67	
Musculoskeletal	Congenital talipes equinovarus, unilateral	Q66.0	
Musculoskeletal	Congenital talipes equinovarus, bilateral	Q66.0	
Musculoskeletal	Craniosynostosis	Q75.0	

Musculoskeletal	Exomphalos	Q79.2	
Musculoskeletal	Gastroschisis	Q79.3	
Musculoskeletal	Other Congenital Malformations Of Limbs (Shoulder Girdle, Knee, Arthrogyrosis)	Q74	
Musculoskeletal	Other Congenital Malformations Of The Skull And Face Bones	Q75	
Musculoskeletal	Polydactyly	Q69	
Musculoskeletal	Prune belly syndrome	Q79.4	
Musculoskeletal	Reduction Defects Of The Lower Limb	Q72	
Musculoskeletal	Reduction Defects Of The Upper Limb	Q71	
Musculoskeletal	Reduction Defects Of Unspecified Limb	Q73	
Musculoskeletal	Syndactyly	Q70	
Nervous	Agenesis of the corpus callosum	Q04.0	
Nervous	Anencephaly	Q00	
Nervous	Cervical spina bifida with hydrocephalus	Q05.0	
Nervous	Cervical spina bifida without hydrocephalus	Q05.5	
Nervous	Congenital Hydrocephalus	Q03	
Nervous	Encephalocele	Q01	
Nervous	Holoprosencephaly	Q04.2	
Nervous	Lumbar spina bifida with hydrocephalus	Q05.2	
Nervous	Lumbar spina bifida without hydrocephalus	Q05.7	
Nervous	Microcephaly	Q02	
Nervous	Other Congenital Malformations Of The Brain	Q04	This includes malformation of corpus callosum, holoprosencephaly, reduction anomaly of brain, cerebellar anomaly
Nervous	Other Congenital Malformations Of The Nervous System	Q07	This includes any other malformations of central nervous system not mentioned above
Nervous	Sacral spina bifida with hydrocephalus	Q05.3	
Nervous	Sacral spina bifida without hydrocephalus	Q05.8	
Nervous	Spina Bifida	Q05	
Nervous	Spinal Cord Anomaly Other Than Spina Bifida	Q06	
Nervous	Thoracic spina bifida with hydrocephalus	Q05.1	
Nervous	Thoracic spina bifida without hydrocephalus	Q05.6	
Nervous	Unspecified spina bifida with hydrocephalus	Q05.4	
Other Congenital Malformations	Congenital Ichthyosis	Q80	
Other Congenital Malformations	Congenital Malformations Of The Breast	Q83	
Other Congenital Malformations	Congenital Malformations Syndromes Due To Known	Q86	This includes fetal alcohol syndrome, fetal hydantoin

	Exogenous Causes Not Elsewhere Classified		
Other Congenital Malformations	Epidermolysis Bullosa	Q81	
Other Congenital Malformations	Fetal Alcohol Syndrome (Dysmorphic)	Q86.0	
Other Congenital Malformations	Neurocutaneous Syndromes	Q85	This includes neurofibromatosis, tuberous sclerosis
Other Congenital Malformations	Other Congenital Malformations Of Skin Appendages Such As Nail, Hair	Q84	This includes hair and nail abnormalities
Other Congenital Malformations	Other Congenital Malformations Of The Skin	Q82	This includes incontinentia pigmenti, mastocytosis, xeroderma, hereditary lymphoedema
Other Congenital Malformations	Other Congenital Malformations, Not Elsewhere Classified	Q89	This includes malformations of spleen, adrenal, endocrine glands, conjoint twins
Other Congenital Malformations	Other Specified Congenital Malformation Syndromes Affecting Multiple Systems	Q87	
Other Congenital Malformations	Situs Inversus	Q89.3	
<b>Respiratory</b>	<b>Choanal Atresia</b>	<b>Q30.0</b>	<b>Sometimes referred to as atresia of the nares</b>
Respiratory	Cleft Lip	Q36	
Respiratory	Cleft Palate	Q35	
Respiratory	Cleft Palate With Lip	Q37	
Respiratory	Congenital Cystic Lung	Q33.0	
Respiratory	Congenital Malformations Of The Larynx	Q31	
Respiratory	Congenital Malformations Of The Lung	Q33	This includes agenesis, ectopic, hypoplastic and dysplastic lung
Respiratory	Congenital Malformations Of The Nose	Q30	This includes choanal stenosis and other malformations of the nose. Choanal atresia is scored separately as Q30.0.
Respiratory	Congenital Malformations Of The Trachea And Bronchus	Q32	
Respiratory	Sequestration Of The Lung	Q33.2	
<b>Urinary</b>	<b>Bladder/Cloacal Exstrophy</b>	<b>Q64.1</b>	
Urinary	Congenital Hydronephrosis	Q62.0	
Urinary	Congenital Posterior Urethral Valves	Q64.2	
Urinary	Congenital Renal Cystic Diseases	Q61	
<b>Urinary</b>	<b>Epispadias</b>	<b>Q64.0</b>	
<b>Urinary</b>	<b>Lower Urinary Tract Obstruction (LUTO)</b>	<b>Q64.3</b>	
Urinary	Other Congenital Malformations Of The Urinary System	Q64	This includes epispadias, exstrophy of bladder, stricture of meatus
<b>Urinary</b>	<b>Renal Agenesis, unilateral</b>	<b>Q60.0</b>	
<b>Urinary</b>	<b>Renal Agenesis, bilateral</b>	<b>Q60.1</b>	
Urinary	Renal Agenesis And Other Defects Of The Kidney	Q60	This includes hypoplasia and Potter's syndrome. Renal agenesis is scored separately as Q60.0/Q60.1.
<b>Urinary</b>	<b>Renal dysplasia</b>	<b>Q61.4</b>	

## APPENDIX V

### DEFINITIONS OF TYPE OF INFECTION

When confirming a diagnosis for a given infection episode, look first for a positive culture, then for the administration of appropriate antibiotic therapy and finally look for the clinical signs listed below to infer the appropriate diagnosis type for a particular infection.

#### ***Admitted with infection from other hospital:***

Select this if a patient is admitted to your hospital from a ***non-CNN hospital*** with a positive blood, CSF or viral culture, or a confirmed diagnosis of infection as identified in Appendix V. Also select this if a patient is admitted to your hospital after culture was taken at a non-CNN hospital and later was confirmed to be positive. If a patient is admitted from another CNN hospital and later identified to be positive for blood, CSF or viral culture, then please contact the original CNN hospital to code the infection in their CNN record.

***Lower respiratory tract*** (pneumonia) must meet the following criterion:

1. Patient is less than or equal to 12 months of age and has chest x-ray changes consistent with 'pneumonia', 'consolidation' or 'infiltrates' ***and***
2. Worsening respiratory signs (increased respiratory distress or oxygen or ventilation requirements in the previous 24 hours (ie. temp. instability, apnea, bradycardia, etc.) and
3. Isolation of pathogenic organism from ETT culture or bronchoalveolar lavage and
4. Treatment with antimicrobial for at least 5 days

***Clinical sepsis*** must meet the following criterion:

1. Patient is less than or equal to 12 months of age and has one of the following clinical signs or symptoms with no other recognized cause: fever (>38°C), hypothermia (<37°C), apnea, or bradycardia ***and all*** of the following:
  - a) Blood culture not done or no organism or antigen detected in blood
  - b) No apparent infection at another site
  - c) Physician institutes (or intends) appropriate antimicrobial therapy for sepsis for at least 5 days

***Skin and Soft Tissue Infection:*** Includes skin infection (other than an incisional wound infection), soft tissue infection, decubitus ulcer infection, burn infection, breast abscess or mastitis, omphalitis, infant pustulosis, and newborn circumcision infection **AND must meet any of the following criteria:**

1. Purulent drainage, pustules, vesicles, or boils, necrotizing fasciitis, infectious gangrene, necrotizing cellulitis, infectious myositis, lymphadenitis, or lymphangitis
2. Two of the following at affected site: localized pain or tenderness, swelling, redness, or heat and any of the following:
  - a) Organism isolated from culture of aspirate or drainage from affected site; if organism is normal skin flora, must be a pure culture of a single organism
3. Physician institutes (or intends) appropriate antimicrobial therapy for at least 5 days

***Surgical Site Infection (SSI):*** SSI can be superficial infections involving the skin only. Other SSI can involve tissues under the skin or organs. Score if there was infection of the surgical site diagnosed ***within 30 days of surgery and*** physician institutes (or intends) ***at least 5 days of antibiotics treatment.*** The surface swab/fluid/pus from the site needs to be positive for pathogenic bacteria. This category includes wound infection or abscess at surgical site.

***Urinary Tract Infection:*** Includes symptomatic urinary tract infection, asymptomatic bacteriuria, as well as other infections of the urinary tract.

***Symptomatic urinary tract infection*** must meet either of the following criteria:

1. Patient is less than or equal to 12 months of age and has one of the following: fever (>38°C), hypothermia (<37°C), apnea, bradycardia, dysuria, lethargy, or vomiting ***and*** a urine culture of  $\geq 10^2$  colonies/ml of urine with no more than 2 species of organisms in a catheter specimen or bladder tap.
2. Physician institutes (or intends) appropriate antimicrobial therapy for at least 5 days

**POSITIVE CULTURES - ORGANISM LIST**

<b>Organism</b>
Acinetobacter Baumannii
Acinetobacter Lwoffii
Actinomyces Acremonium Species
Actinomyces Species
Adenovirus
Aggregatibacter Species
Alpha Streptococcus
Anaerobic Gram Negative Rod(s)
Aspergillus Species
Astrovirus
Bacillus Cereus
Bacillus Species
Bacteroides Species
Bifidobacterium Species
Bordetella Pertussis
Campylobacter Jejuni
Candida Albicans
Candida Glabrata
Candida Guilliermondii
Candida Lusitaniae
Candida Parapsilosis
Candida Species (unspecified)
Candida Tropicalis
Chlamydia Trachomatis
Citrobacter Freundii
Citrobacter Koseri
Clostridium Botulinum Toxin
Coronavirus
Corynebacterium Species
Cronobacter Sakazakii
Cytomegalovirus
Dengue
EBV, Epstein Barr Virus
Eikenella Species
Elizabethkingia Species
Enteric Streptococcus
Enterobacter Cloacae or Enterobacter Asburiae
Enterococcus Avium
Enterococcus Faecalis
Enterococcus Faecium
Enterococcus Gallinarum
Enterococcus Species
Enterovirus or Coxsackievirus or Echovirus
Escherichia Coli
Eubacterium Species
Gram Negative Bacteria

Gram Negative Rod(s) (includes coliform)
Gram Positive Bacteria
Gram Positive Coccus (Cocci)
Gram Positive Rods
Group B Streptococcus or Streptococcus Agalactiae
Haemophilus Influenzae
Hepatitis A Virus
Hepatitis B Virus
Herpes Simplex Virus Type 1
Herpes Simplex Virus Type 2
HIV
Human Herpes Virus 6
Human Metapneumovirus
Influenza A Virus
Influenza B Virus
Klebsiella
Klebsiella Aerogenes
Klebsiella Oxytoca
Klebsiella Pneumoniae
Lactobacillus Species
Lactococcus Lactis
Listeria Monocytogenes
Malassezia Furfur
Measles Virus
Moraxella Species
Morganella Morganii
Mycobacterium Species
Mycobacterium Tuberculosis
Mycoplasma / Mycoplasma Hominis
Neisseria Gonorrhoeae
Neisseria Meningitidis
Neisseria Species
Norovirus
Other Organism
Paenibacillus Pabuli
Pantoea Agglomerans
Parainfluenza
Parechovirus
Parvovirus
Pasteurella Aerogenes
Peptostreptococcus Species
Plasmodium Species or Malaria
Prevotella Species
Proteus Mirabilis
Proteus Species
Proteus Vulgaris
Providencia Species
Pseudomonas Aeruginosa
Pseudomonas Alcaligenes
Pseudomonas Fluorescens Group



Pseudomonas Putida Group
Respiratory Syncytial Virus
Rhinovirus
Rhodotorula Rubra
Rotavirus
Salmonella Species
Salmonella Typhimurium
Sapovirus
Serratia Marcescens
Shigella Species
Staphylococcus Aureus Coagulase Positive
Staphylococcus Capitis
Staphylococcus Coagulase Negative
Staphylococcus Epidermidis
Staphylococcus Haemolyticus
Staphylococcus Hominis
Staphylococcus Lugdunensis
Staphylococcus Species
Staphylococcus Warneri
Stenotrophomonas Maltophilia
Streptococcus Anginosus
Streptococcus Bovis
Streptococcus Milleri
Streptococcus Pneumoniae
Streptococcus Pyogenes or Group A Streptococcus
Streptococcus Viridans Group
Streptococcus Zooepidermidis
Toxoplasma Gondii
Treponema Pallidum or Syphilis
Ureaplasma Species
Varicella Zoster Virus
Yeast

**APPENDIX VII**

**POSITIVE VIRAL ISOLATES - ORGANISM LIST**

<b>Organism</b>
Adenovirus
Coronavirus
Cytomegalovirus
Enterovirus
Herpesvirus - Herpes Simplex virus I or II
Influenza virus
Norovirus/Norwalk virus
Parainfluenza virus
Respiratory Syncytial Virus
Rhinovirus
Rotavirus
Other virus

## **APPENDIX VIII**

### **CANADIAN NEONATAL NETWORK SITES**

<b>HOSPITAL</b>	<b>SITE INVESTIGATOR</b>
BC Women's Hospital	Dr. Jonathan Wong
Children's Hospital of Eastern Ontario	Dr. Brigitte Lemyre
Centre Hospitalier Universitaire de Quebec	Dr. Christine Drolet & Dr. Bruno Piedboeuf
Centre Hospitalier Universitaire de Sherbrooke	Dr. Valerie Bertelle & Dr. Edith Masse
Dr. Everett Chalmers Hospital	Dr. Hala Makary
Foothills Medical Centre	Dr. Ayman Abou Mehram
Victoria General Hospital	Dr. Jaideep Kanungo
Hamilton Health Sciences Centre	Dr. Amit Mukerji
Hospital for Sick Children	Dr. Kyong-Soon Lee
Health Sciences Centre	Dr. Molly Seshia & Dr. Deepak Louis
Hôpital Sainte-Justine	Dr. Keith Barrington, Dr. Anie Lapointe & Mr. Guillaume Ethier
IWK Health Centre	Dr. Jehier Afifi
Janeway Children's Health and Rehabilitation Centre	Dr. Jo-Anna Hudson
Jewish General Hospital	Dr. Victoria Bizgu
Kingston General Hospital	Dr. Faiza Khurshid
McGill University Health Centre	Ms. Martine Claveau & Dr. Marc Beltempo
Mount Sinai Hospital	Dr. Prakeshkumar Shah
Royal Alexandra Hospital / Stollery Children's Hospital	Dr. Jennifer Toye & Dr. Joseph Ting
Royal Columbian Hospital	Dr. Miroslav Stavel
Regina General Hospital	Dr. Jaya Bodani
Royal University Hospital	Dr. Lannae Strueby
St. Boniface General Hospital	Dr. Ruben Alvaro & Dr. Ann Yi
Moncton Hospital	Dr. Caio Barbosa de Oliveira
St. Joseph Health Centre	Dr. Orlando Da Silva
Saint John Regional Hospital	Dr. Cecil Ojah
Sunnybrook Health Sciences Centre	Dr. Eugene Ng
Surrey Memorial Hospital	Dr. Rebecca Sherlock
Cape Breton Regional Hospital	Dr. Andrzej Kajetanowicz
Windsor Regional Hospital	Dr. Sajit Augustine
Alberta Children's Hospital	Dr. Carlos Fajardo
Maisonneuve-Rosemont Hospital	Dr. Marie St-Hilaire
The Ottawa Hospital	Dr. Brigitte Lemyre
University of Utah Hospital	Dr. Bradley Yoder

For a detailed listing of abstractors and their up-to-date contact information, please go to the CNN website at [www.canadianneonatalnetwork.org](http://www.canadianneonatalnetwork.org)